BENEFIT PLANS AND AGREEMENTS

between

UAW®

and the

FORD MOTOR COMPANY

Volume III

SUPPLEMENTAL UNEMPLOYMENT BENEFIT AGREEMENT AND PLAN
PROFIT SHARING AGREEMENT AND PLAN
TAX – EFFICIENT SAVINGS AGREEMENT AND PLAN
LEGAL SERVICES PLAN

Agreements Dated
November 5, 2015
(Effective November 23, 2015)
Ford Motor Company and the UAW recognize their respective responsibilities under federal and state laws relating to fair employment practices.

The Company and the Union recognize the moral principles involved in the area of civil rights and have reaffirmed in their Collective Bargaining Agreement their commitment not to discriminate because of race, religion, color, age, sex, sexual orientation, union activity, national origin, or against any employee with disabilities.
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LEGAL SERVICES PLAN

Agreements Dated
November 5, 2015
(Effective November 23, 2015)
NOTE:
This booklet (Volume III) is presented to you so that you may know the terms of certain benefit plans and programs negotiated between the Company and the UAW **November 5, 2015**.

Specifically, the following material is presented in the order given:

1. Supplemental Unemployment Benefit Agreement and Plan
2. Profit Sharing Agreement and Plan
3. Tax-Efficient Savings Agreement and Plan
4. UAW-Ford Legal Services Plan

Portions of the Plans and Agreements reproduced here which are new or changed from previous agreements are shown in bold type.

Please note that any gender specific references in the Agreement language shall apply to either sex.

Other agreements and plans reproduced in separate booklets are: Volume I, Collective Bargaining Agreement; Volume II, Retirement Agreement and Plan and Insurance Program; and Volume IV, Letters of Understanding.

We hope you will find this booklet helpful.

**JIMMY SETTLES**  
Vice President and Director  
UAW, National Ford Department

**WILLIAM P. DIRKSEN**  
Vice President,  
Labor Affairs
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AGREEMENT CONCERNING SUPPLEMENTAL UNEMPLOYMENT BENEFIT PLAN AND SUPPLEMENTAL UNEMPLOYMENT BENEFIT PLAN

On this 5th day of November, 2015 at Dearborn, Michigan, Ford Motor Company, a Delaware Corporation, hereinafter designated as the Company, and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW, an unincorporated voluntary association, hereinafter designated as the Union, agree as follows:
PART A
AGREEMENT CONCERNING SUPPLEMENTAL
UNEMPLOYMENT BENEFIT PLAN

Section 1. Continuation and Amendment of the Plan

(a) This Agreement shall become effective on the first Monday immediately following November 20, 2015.

(b) The Supplemental Unemployment Benefit Plan which was attached as Part B to the Agreement Concerning Supplemental Unemployment Benefit Plan between the parties dated September 16, 1996, shall be amended as set forth in Part B, Supplemental Unemployment Benefit Plan, attached hereto, effective as November 23, 2015 except as otherwise specified in this Agreement and the Plan.

(c) Provision for payment of Benefits and Separation Payments under the Supplemental Unemployment Benefit Plan which was attached as Part B to the 1996 Agreement Concerning Supplemental Unemployment Benefit Plan between the parties dated September 16, 1996 shall continue in full force and effect in accordance with the conditions, provisions, and limitations of such Supplemental Unemployment Benefit Plan, as constituted, for Weeks prior to November 23, 2015. Benefits or Separation Payments paid or payable (or denied) under the Supplemental Unemployment Benefit Plan for Weeks commencing on or after November 23, 2015 shall reflect amendments to the Supplemental Unemployment Benefit Plan which are provided for in Section 1 of this Agreement and incorporated in Part B hereof. In the event revisions in the Plan are made in accordance with Subsection 5(d) of this Agreement which require adjustments of payments of Benefits and Separation Payments made previously under the Plan incorporated in Part B hereof, such adjustments will be made within a reasonable time. No such adjustments (or payment) will be made in Benefits for Weeks commencing prior to November 23, 2015 or in Separation Payments paid prior to November 23, 2015.
(d) The Company shall maintain the Plan for the duration of this Agreement, except as otherwise provided in, and subject to the terms of, the Plan.

Section 2. Termination of the Plan Prior to Expiration Date

In the event that the Plan shall be terminated in accordance with its terms prior to the expiration date of this Agreement so that the Company’s obligation to contribute to the Plan shall cease entirely, the parties thereupon shall negotiate for a period of sixty (60) days from the date of such termination with respect to the use which shall be made of the money which the Company otherwise would be obligated to contribute under the Plan. If no agreement with respect thereto shall be reached at the end of such period, there shall be a general wage increase in the amount of the basic contribution rate then in effect, but not less than $.22 per hour to all hourly rated employees then in the Contract Unit, applied in the manner provided in Article IX (Sections captioned “Application of Increases to Spread Rates; Rate Progression Under Merit Increase Agreement”) of the Collective Bargaining Agreement, and effective as of the date of such termination.

Section 3. Obligations During Term of This Agreement

During the term of this Agreement, neither the Company nor the Union shall request any change in, deletion from or addition to the Plan or this Agreement, or be required to bargain with respect to any provision or interpretation of the Plan or this Agreement; and during such period no change in, deletion from or addition to any provision, or interpretation, of the Plan or this Agreement, nor any dispute or difference arising in any negotiations pursuant to Section 2 of this Agreement shall be an objective of, or a reason or cause for, any action or failure to act, including, without limitation, any strike, slowdown, work stoppage, lockout, picketing or other exercise of economic force, or threat thereof, by the Union or the Company.
Section 4. Term of Agreement; Notice to Modify or Terminate

This Agreement and the Plan shall continue in effect until September 14, 2019. They shall be renewed automatically for successive one (1)-year periods thereafter unless either party shall give written notice to the other at least sixty (60) days prior to September 14, 2019 (or any subsequent anniversary date) of its desire to amend or modify this Agreement and the Plan as of one of the dates specified in this Section (it being understood, however, that the foregoing provision for automatic one (1)-year renewal periods shall not be construed as an endorsement by either party of the proposition that one (1) year is a suitable term for such an agreement). If such notice is given, this Agreement and the Plan shall be open to modification or amendment on September 14, 2019, or the subsequent anniversary date, as the case may be. If either party shall desire to terminate this Agreement, it may do so on September 14, 2019, or any subsequent anniversary date by giving written notice to the other party at least sixty (60) days prior to the date involved. Anything herein which might be construed to the contrary notwithstanding, however, it is understood that termination of this Agreement shall not have the effect of automatically terminating the Plan.

Any notice under this Agreement shall be in writing and shall be sufficient, if to the Union, if sent by mail addressed to International Union, UAW, 8000 East Jefferson Avenue, Detroit, Michigan 48214, or to such other address as the Union shall furnish to the Company in writing; and if to the Company, to Ford Motor Company, Dearborn, Michigan 48121, or to such other address as the Company shall furnish to the Union in writing.

Section 5. Governmental Rulings

(a) The amendments to the Plan which are provided for in Section 1 of this Agreement and incorporated in Part B hereof and which shall be implemented for Weeks on or after November 23, 2015 shall be subject to subsequent receipt by the Company of rulings satisfactory to the Company, if such rulings are deemed
necessary by the Company, from the United States Internal Revenue Service and the United States Department of Labor, holding that such amendments will not have any adverse effect upon the favorable rulings previously received by the Company that: (i) contributions to the Fund established pursuant to the Plan constitute a currently deductible expense under the Internal Revenue Code, (ii) the Fund qualifies for exemption from Federal income tax under Section 501(c) of the Internal Revenue Code, (iii) contributions by the Company to, and Benefits (except Automatic Short Week Benefits) paid out of the Fund are not treated as “wages” for purposes of the Federal Unemployment Tax, the Federal Insurance Contributions Act Tax, or Collection of Income Tax at Source on Wages, under Subtitle C of the Internal Revenue Code (except as Benefits or Separation Payments paid from the Fund are treated as if they were “wages” solely for purposes of Federal income tax withholding as provided in the 1969 Tax Reform Act), and (iv) no part of any such contributions or of any Benefits paid are included for purposes of the Fair Labor Standards Act in the regular rate of any Employee; provided, however, that if the rulings referred to in this Subsection (a) are unfavorable and are unfavorable because of provisions of the Plan, as amended, regarding Automatic Short Week Benefits, this fact shall not delay the effective date of the other amendments to the Plan.

(b) In the event that any ruling described in Subsection (a) of this Section as to the provisions of the Plan, as amended, regarding Automatic Short Week Benefits is not obtained, or having been obtained shall be revoked or modified so as to be no longer satisfactory to the Company; or in the event that any state, by legislation or by administrative ruling or court decision, in the opinion of the Company: (i) does not permit Supplementation solely because of the provisions of the Plan, as amended, regarding Automatic Short Week Benefits; or (ii) in determining State System “waiting week” credit or benefits for a Week, fails to treat as wages or remuneration, as defined in the law of the applicable State System, the amount of any Automatic Short Week Benefit paid for a Week which has one or more days in common with such State System Week; or (iii) permits an
Employee to start a “waiting week” or a benefit week under the law of the State System within a Week for which his Compensated or Available Hours, plus the hours for which an Automatic Short Week Benefit was paid to him, total at least forty (40); then, but in the latter cases only with respect to Employees in such state:

(1) The Supplemental Unemployment Benefit Plan shall be amended to delete such provisions of the Plan which are the subject of such ruling, legislation, or court decision;

(2) Automatic Short Week Benefits which would have been payable in accordance with such deleted provisions of the Plan shall be provided under a separate plan or plans incorporating as closely as possible the same terms as the deleted provisions;

(3) Automatic Short Week Benefits which may become payable under such separate plan or plans shall be paid by the Company.

(c) The Company shall apply promptly to the appropriate agencies for the rulings described in Subsection (a) of this Section.

(d) Notwithstanding any other provision of this Agreement or of the Plan, the Company, with the consent of the National Ford Director of the Union, may, during the term of this Agreement, make revisions in the Plan not inconsistent with the purposes, structure, and basic provisions thereof which shall be necessary to obtain or maintain any of the rulings referred to in Subsection (a) of this Section or in Section 2 of Article VIII of the Plan. Any such revisions shall adhere as closely as possible to the language and intent of the provisions outlined in Part B.

Section 6. In-Progression and Certain Other Employees

In-Progression Employees hired on or after November 19, 2007, will be eligible for benefits as set forth in Article III, Duration of Benefits, Section 1 (c).

Skilled Trades employees hired after October 24, 2011, In-Progression Employees who transition to Skilled Trades, and
former Entry Level employees who transitioned to Regular employment during 2015 shall be eligible for benefits as set forth in Article III, Duration of Benefits, Section 1 (a) and (b), except as specified in Letter of Understanding dated November 5, 2015, Subject: Benefits for Former “Entry Level” Employees Who Transitioned to Regular Employment and Certain Skilled Trades Employees.
IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the date first above written.

FORD MOTOR COMPANY

William C. Ford, Jr.        Jim Larese
Mark R. Fields             James E. Brown
Joe Hinrichs               Steve Guilfoyle
John J. Fleming            Tyffani Morgan-Smith
William P. Dirksen         Mark Jones
Bruce Hettle               Julie Lavender
Stacey Allerton           Stephen M. Kulp
Bernie Swartout            Terri Faison
Jack L. Halverson          John Wright
Alan Evans                 Don Gelinas
Frederiek Toney            Cameron Ruesch
Anthony Hoskins            Christine Baker
Alex Maciag
Helmut E. Nittmann
David Cook

UAW

International Union
Dennis Williams
Jimmy Settles
Greg Drudi
Chuck Browning
Darryl Nolen
Bob Tiseo
Don Godfrey
Garry Bernath

National Ford Council
Bernie Ricke, Subcouncil #1
Scott Eskridge, Subcouncil #2
Anthony Richard, Subcouncil #1
Tim Rowe, Subcouncil #2
Fred Weems, Subcouncil #2
Jeff Wright, Subcouncil #2
Greg Tyler, Subcouncil #3
Mike Beydoun, Subcouncil #3
T. J. Gomez, Subcouncil #4
Mark Payne, Subcouncil #4
Dave Mason, Subcouncil #5
Jim Caygill, Subcouncil #5
Romeo Torres, Subcouncil #7
Anderson Robinson Jr., Recording Secretary
PART B
SUPPLEMENTAL UNEMPLOYMENT BENEFIT PLAN

ARTICLE I

ELIGIBILITY FOR BENEFITS

Section 1. Eligibility for a Regular Benefit

An Employee shall be eligible for a Regular Benefit or a Transition Assistance Plan (TAP) Benefit for any Week beginning on or after November 23, 2015 if with respect to such Week the Employee:

(a) Was on a qualifying layoff, as described in Section 3 of this Article, for all or part of the Week;

(b) Received a State System Benefit not currently under protest by the Company or was ineligible for a State System Benefit only for one or more of the following reasons:

(i) The Employee did not have prior to layoff a sufficient period of employment, or sufficient earnings, covered by the State System;

(ii) Exhaustion of the Employee’s State System Benefit rights;

(iii) The period the Employee worked or because the Employee’s pay (from the Company and from any other employer(s)) for the Week equaled or exceeded the amount which disqualifies the Employee for a State System Benefit or “waiting week” credit; or because the Employee was employed full time by an employer other than the Company;

(iv) The Employee was serving a “waiting week” of layoff under the State System during a period while the Employee had sufficient Seniority to work in the plant but was laid off out of line of Seniority in accordance with the terms of the Collective Bargaining Agreement; provided, that the provisions of this item (iv) shall not be applicable to a
layoff under the provisions of Section 16(d) or Section 21 of Article VIII of the Collective Bargaining Agreement;

(v) The Employee was on a qualifying layoff and the week served as a “waiting week” under the State System;

(vi) The Employee refused an offer of work by the Company which the Employee had an option to refuse under an applicable Collective Bargaining Agreement or which the Employee could refuse without disqualification under Section 3(b)(3) of this Article;

(vii) If before the effective date of the 2015 Agreement, the Employee was on layoff because the Employee was unable to do work offered by the Company while able to perform other work in the plant to which the Employee would have been entitled if the Employee had sufficient seniority;

(viii) The Employee failed to claim a State System Benefit if by reason of his pay received or receivable from the Company for the Week such State System Benefit would have amounted to less than $2;

(ix) The Employee was receiving pay for military service with respect to a period following the Employee’s release from active duty therein; or was on short-term active duty of thirty (30) days or less, for required military training, in a National Guard, Reserve or similar unit, or was on short-term active duty of thirty (30) days or less because the Employee was called to active service in the National Guard, Reserve or similar unit by state or federal authorities in case of public emergency;

(x) The Employee was entitled to benefits for retirement or disability which he received or could have received while working full time;

(xi) Because of the circumstances set forth under Section 3(b)(4) of this Article which existed during only part of a week of unemployment under the applicable State System; or
(xii) He was denied a State System Benefit and it is determined that, under the circumstances, it would be contrary to the intent of the Plan to deny him a benefit;

(xiii) He was denied a State System Benefit, and it was determined that he otherwise would have been qualified except that he failed to satisfy the State’s claim filing or certification requirements, and is otherwise qualified for a Regular Benefit.

(c) Has met any registration and reporting requirements of an employment office of the applicable State System, except that this subparagraph shall not apply to an Employee who was ineligible for a State System Benefit or “waiting week” credit for the Week only because of the reason specified in item (iii) of Subsection (b) of this Section (period of work, amount of pay or full-time employment by an employer other than the Company) or the reason specified in item (viii) of Subsection (b) of this Section (failure to claim a State System Benefit which would have amounted to less than $2) or the reason specified in the second clause of item (ix) of Subsection (b) of this Section (short-term active duty of thirty (30) days or less, for required military training, in a National Guard, Reserve or similar unit, or was on short-term active duty of thirty (30) days or less because he was called to active service in the National Guard, Reserve or similar unit by state or Federal authorities in case of public emergency);

(d) Had at least one year of seniority as of his last day worked prior to a qualifying layoff;

(e) Did not receive an unemployment benefit under any contract or program of another employer or under any other “SUB” plan of the Company (and was not eligible for such a benefit under a contract or program of another employer with whom he had greater seniority than with the Company);

(f) Was not eligible for an Automatic Short Week Benefit;

(g) Qualified for a Benefit of at least $2; and

(h) Has made a Benefit application in accordance with procedures established by the Company hereunder and, if he was ineligible
for a State System Benefit only for the reason set forth in item (ii) of Subsection 1(b) of this Article, is able to work, is available for work, and has not failed (i) to maintain an active registration for work with the state employment service, (ii) to do what a reasonable person would do to obtain work and (iii) to apply for or to accept available suitable work of which he has been notified by the employment service or by the Company.

Section 2. Eligibility for an Automatic Short Week Benefit

(a) An Employee shall be eligible for an Automatic Short Week Benefit for any Week beginning on or after November 23, 2015 if:

(1) During such Week the Employee had less than forty (40) Compensated or Available Hours and

   (i) The Employee performed some work for the Company, or

   (ii) For such Week the Employee received some jury duty pay, bereavement pay or military pay from the Company, or

   (iii) For such Week, the Employee received only holiday pay from the Company and, for the immediately preceding Week, the Employee either received an Automatic Short Week Benefit or had forty (40) or more Compensated or Available hours.

(2) The Employee had at least one year of Seniority as of the last day of such Week (or during some part of such Week the Employee had at least one year of Seniority and broke Seniority by reason of death or of retirement under the provisions of the Retirement Plan established by agreement between the Company and the Union); and

(3) The Employee was on a qualifying layoff, as described in Section 3 of this Article, for some part of such Week or the Employee was ineligible as defined under the Collective Bargaining Agreement for pay from the Company for all or part of a period of jury duty, bereavement or short-term
active duty of thirty (30) days or less because the Employee was called to active service in the National Guard, Reserve or similar unit by state or Federal authorities in case of public emergency during the Week and during all or part of such period the Employee would otherwise have been on a qualifying layoff under the Plan.

(b) No application for an Automatic Short Week Benefit shall be required of an Employee. However, if an Employee believes to be entitled to (i) an Automatic Short Week Benefit for a Week which the Employee does not receive on the date when such Benefits for such Week are paid or (ii) an Automatic Short Week Benefit in an amount greater than the Employee received, the Employee may file written application therefore within sixty (60) calendar days after such date in accordance with procedures established by the Company.

(c) An Automatic Short Week Benefit payable for a Week shall be in lieu of any other Benefit under the Plan for that Week.

Section 3. Conditions With Respect to Layoff

(a) A layoff for purposes of the Plan includes any layoff resulting from a reduction in force or temporary layoff, including a layoff resulting from the discontinuance of a Plant or an operation, and if before the effective date of the 2015 Agreement any layoff occurring or continuing because the Employee was unable to do the work offered by the Company although able to perform other work in the Plant to which he would have been entitled if the Employee had had sufficient Seniority.

(b) An Employee’s layoff for all or part of any Week shall be deemed qualifying for Plan purposes only if:

(1) Such layoff was from the Contract Unit;

(2) Such layoff was not for disciplinary reasons, and was not a consequence of

(i) Any strike, slowdown, work stoppage, picketing (whether or not by Employees), or concerted action, at a Company Plant or Plants, or any dispute of any kind involving Employees, whether at a Company Plant or Plants or elsewhere,
(ii) Any fault attributable to the Employee,

(iii) Any war or hostile act of a foreign power (but not government regulation or controls connected therewith),

(iv) Sabotage (including but not limited to arson) or insurrection,

(v) Any Act of God; provided, however, that this Subparagraph (v) shall not apply to any Automatic Short Week Benefit or to the first two (2) consecutive full weeks of layoff for which a Regular Benefit is payable in any period of layoff resulting from such cause, or

(vi) Act of terrorism;

(3) With respect to such Week the Employee did not refuse to accept work when recalled pursuant to the Collective Bargaining Agreement, and did not refuse an offer by the Company of other available work, which the Employee had no option to refuse under the provisions of an applicable Collective Bargaining Agreement, at the same Plant or at another Plant in the same labor market area (as defined by the State Employment Security Commission of the state in which the Plant from which the Employee was laid off is located); provided, however, that refusal by skilled Tool and Die, Maintenance and Construction or Power House Employees or apprentices of work other than work in Tool Room Departments, Maintenance Departments and Power House Departments, respectively, shall not result in ineligibility for a benefit;

(4) With respect to such Week the Employee was not eligible for, and was not claiming:

(i) Any statutory or Company accident or sickness or any other disability benefit (except a benefit which the Employee received or could have received while working full time, and except a lost time benefit which he received under a Workers’ Compensation law or other law providing benefits for occupational
ARTICLE I

ELIGIBILITY FOR BENEFITS

injury or disease, while not totally disabled and while ineligible for an accident and sickness benefit under the Insurance Program), or

(ii) Any Company pension or retirement benefit; and

(5) With respect to such Week the Employee was not in military service (other than short-term active duty of thirty (30) days or less, including required military training, in a National Guard, Reserve or similar unit) or on a military leave.

(c) If an Employee is on short-term active duty of thirty (30) days or less, for required military training, in a National Guard, Reserve or similar unit and is ineligible under the Collective Bargaining Agreement for pay from the Company for all or part of such period solely because he would be on a qualifying layoff but for such active duty, he will be deemed to be on a qualifying layoff, for the determination of eligibility for not more than two Regular Benefits in a calendar year, provided, however, that this two Regular Benefit limitation shall not apply to short-term active duty of thirty (30) days or less because he was called to active service in the National Guard, Reserve or similar unit by state or Federal authorities in case of public emergency.

(d) If an Employee is eligible for a Leveling Week Benefit or is ineligible for a Benefit by reason of Subsection (b)(2) or Subsection (b)(4) of this Section with respect to some but not all of his regular work days in a Week, and is otherwise eligible for a Benefit, he shall be entitled to a reduced Benefit payment as provided in Section 1(b) of Article II.

(e) If an Employee enters the Armed Services of the United States directly from the employ of the Company, he shall while in such service be deemed, for purposes of the Plan, to be on leave of absence and shall not be entitled to any Benefit. This Section shall not affect the payment of Benefits to any Employee referred to in Section 3 (c) of Article I.

(f) An Employee who attempts to return to work from medical leave of absence or military leave on or after October 29, 1990 and for whom there is no work available in line with his
Seniority and who is placed on layoff status, shall be deemed to have been “at work” on or after October 29, 1990.

(g) If, with respect to a Week, or with respect to any prior Week during the Employee’s same continuous period of layoff from the Company, the Employee willfully misrepresents any material fact in connection with an application by him for Benefits under the Plan, the Employee shall be disqualified for Benefits for all Weeks of layoff thereafter during the same continuous period of layoff from the Company.

Section 4. Disputed Claims for State Systems Benefits

(a) With respect to any Week for which an Employee has applied for a Benefit and for which he:

(1) Has been denied a State System Benefit, and the denial is being protested by the Employee through the procedure provided therefor under the State System, or

(2) Has received a State System Benefit, payment of which is being protested by the Company through the procedure provided therefor under the State System and such protest has not, upon appeal, been held by the Board to be frivolous,

and the Employee is eligible to receive a Benefit under the Plan except for such denial, or protest, the payment of such Benefit shall be suspended until such dispute shall have been determined.

(b) If the dispute shall be finally determined in favor of the Employee, the Benefit shall be paid to him.

ARTICLE II

AMOUNT OF BENEFITS

Section 1. Regular Benefits

(a) The Regular Benefit payable to an eligible Employee for any full Week shall be an amount which, when added to the Employee’s State Benefit and other compensation, will equal,
on average, 95% of the Employee’s Weekly After-Tax Pay as set forth in the Regular Benefit Table provided below, minus $30.00 to take into account work-related expenses not incurred; provided, however, that such benefit shall not exceed $200 for any Week with respect to which the Employee is not receiving State System Benefits because of a reason listed in item (ii) or (vi) of Section 1(b) of Article I and is laid off or continues on layoff by reason of having refused to accept work when recalled pursuant to the Collective Bargaining Agreement or having refused an offer by the Company of other available work at the same Plant or at another Plant in the same labor market area (as defined in Section 3(b)(3) of Article I); except that refusal by skilled Tool and Die, Maintenance and Construction or Power House Employees or apprentices of work other than work in Tool Room Departments, Maintenance Departments and Power House Departments, respectively, shall not result in the application of the maximum provided for in this Paragraph.

### Regular Benefit Table

<table>
<thead>
<tr>
<th>Base Hourly Wage</th>
<th>Regular SUB Benefit*</th>
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* Prorated for incremental amounts on the basis of the Employee’s highest base hourly wage rate in the previous 13 weeks
ARTICLE II  AMOUNT OF BENEFITS

Regular Benefit Table (cont.)

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* Prorated for incremental amounts on the basis of the Employee’s highest base hourly wage rate in the previous 13 weeks
### Regular Benefit Table (cont.)

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* Prorated for incremental amounts on the basis of the Employee’s highest base hourly wage rate in the previous 13 weeks*
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<th>Base Hourly Wage</th>
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* Prorated for incremental amounts on the basis of the Employee’s highest base hourly wage rate in the previous 13 weeks
(b) An otherwise eligible Employee entitled to a Benefit reduced because of ineligibility (or eligibility for a Leveling Week Benefit) with respect to part of the Week, as provided in Section 3(d) of Article I (reason for layoff or eligibility for a disability, pension or retirement benefit, for disciplinary reasons or for any of the reasons stated in Section 3(b)(2)(i) of Article I), will receive 1/5 of a Regular Benefit computed under Subsection (a) of this Section for each work day of the Week in which the Employee is otherwise eligible.

(c) Transition Assistance Plan (TAP) benefits are payable to Employees who are on a qualifying indefinite layoff and have exhausted their Regular Benefit payable. TAP benefits shall be calculated using 50% of the Employee’s gross weekly wages, based on a 40-hour Week. In calculating the weekly TAP benefit for an Employee on a qualifying layoff, only the offsets for State System Benefits received for that Week shall apply. In-Progression Employees as identified in Appendix V of the UAW-Ford Agreement are not eligible for TAP benefits.

An Employee may elect, prior to becoming eligible to receive TAP benefits, to opt out of TAP benefits and receive a lump-sum cash payment; in doing so, the Employee shall forfeit eligibility for weekly TAP benefit payments, and also shall forfeit all recall rights. The gross (pre-tax) amount of the opt out lump-sum cash payment is calculated as $10,000 plus the maximum TAP benefit for which the Employee would otherwise be eligible (i.e., 50 percent of the Employee’s gross weekly wages, based on a 40-hour week, multiplied by their TAP duration). An Employee who elects to opt out of the TAP will continue to receive health care coverage for the remainder of the months of extended coverage for which the Employee would have been eligible, based on years of seniority at the time of layoff, had the Employee not elected to opt out of the TAP.

Section 2. Automatic Short Week Benefit

(a) The Automatic Short Week Benefit payable to an eligible Employee for any Week beginning on or after November 23, 2015 shall be an amount equal to the product of the number by which forty (40) exceeds the Employee’s Compensated or
Available hours, computed to the nearest tenth of an hour, multiplied by eighty percent (80%) of the Employee’s Base Hourly Rate (plus eighty percent (80%) of any applicable cost-of-living allowance in effect at the time of computation of the Benefit, but excluding all other premiums and bonuses of any kind).

(b) An Employee, who breaks Seniority during a Week by reason of death or of retirement under the provisions of the Retirement Plan established by agreement between the Company and the Union and is eligible for an Automatic Short Week Benefit with respect to certain hours of layoff during the Week prior to the date the Employee’s Seniority is broken, will receive an amount computed as provided in Subsection 2(a) of this Section based on the number by which the hours for which the Employee would regularly have been compensated exceeds the Employee’s Compensated or Available hours with respect to that part of the Week prior to the date the Employee’s Seniority is broken.

Section 3. State Benefit and Other Compensation

(a) An Employee’s “State Benefit and Other Compensation” for a Week means:

(1) The amount of State System Benefit received or receivable by the Employee for such Week or the estimated amount which the Employee would have received if the Employee had not been ineligible therefore solely because of failure to fully satisfy the State’s claim filing or certification requirements, or because of exhaustion of the Employee’s State System Benefit rights (or because of insufficient covered employment/earnings prior to layoff), if the Employee had received a State System Benefit for one or more weeks of layoff during the current State System benefit year (or, if no such benefit year is in effect, during the immediately preceding benefit year) for which the Employee did not receive a Regular Benefit. Such estimated amount shall be used in the Regular Benefit calculation for a number of Weeks equal to the number of Weeks for which a State System Benefit was received and
for which no Regular Benefit was paid under this Plan or under any other Company SUB plan, during the applicable current, or immediately preceding, State System benefit year; plus

(2) All pay received or receivable by the Employee from the Company (excluding call-in pay for purposes of determining a Regular Benefit only and excluding pay in lieu of vacation), and the amount of any pay which could have been earned, computed, as if payable, for hours made available by the Company but not worked, after reasonable notice has been given to the Employee, for such Week; provided, however, that if the hours made available but not worked are hours which the Employee had an option to refuse under the Collective Bargaining Agreement or which the Employee could refuse without disqualification under Section 3(b)(3) of Article I, such hours shall not be considered as hours made available by the Company; and provided, further, that if wages or remuneration or any military pay are received or receivable by the Employee from employers other than the Company and are applicable to the same period as hours made available by the Company but not worked, only the greater of (a) such wages or remuneration in excess of the greater of $10 or 20% of such wages or remuneration from other employers (capped at the Employee’s State System Benefit weekly benefit amount), or military pay in excess of $10, or (b) any amount of pay which could have been earned, computed, as if payable, for hours made available by the Company but not worked, shall be included; and provided, further, that all of the pay received or receivable by the Employee for a shift which extends through midnight shall be allocated

(i) To the day on which the shift started if the Employee was on layoff with respect to the corresponding shift on the following day,
(ii) To the day on which the shift ended if the Employee was on layoff with respect to the corresponding shift on the preceding day, or

(iii) According to the pay for the hours worked each day, if the Employee was on layoff with respect to the corresponding shifts on both the preceding and the following days;

and in any such event, the maximum Regular Benefit amount shall be modified to any extent necessary so that the Employee’s Benefit will be increased to offset any reduction in State System Benefit which may have resulted solely from the State System’s allocation of the Employee’s earnings for such a shift otherwise than as prescribed in this proviso; plus

(3) All wages or remuneration, as defined under the law of the applicable State System, in excess of the greater of $10 or 20% of such wages or remuneration, received or receivable (capped at the Employee’s State System Benefit weekly benefit amount) from other employers for such Week excluding such wages or remuneration which were considered in the calculation under Subsection (a)(2) of this Section; plus

(4) The amount of all military pay in excess of $10 received or receivable for such Week, excluding such military pay which was considered in the calculation under Subsection (a)(2) of this Section; plus

(5) The weekly equivalent of the monthly retirement benefit and fifty (50) percent of the Social Security old age or disability benefit for eligible employees receiving a retirement benefit from the Company which the Employee is eligible to receive while working full time for the Company.

(b) If the State System Benefit received by an Employee for a state week shall be for less, or more, than a full state week (for reasons other than his receipt of wages or remuneration for such state week):
(1) Because he has been disqualified or otherwise determined ineligible for a portion of his State System Benefit for reasons other than those set forth in Section 1(b) of Article I, or

(2) Because the state week for which the benefit is paid includes one or more “waiting period effective days”, or

(3) Because of an underpayment or overpayment of a previous State System Benefit,

the amount of the State System Benefit to which he otherwise would have been entitled for such state week shall be used in the calculation of “State Benefit and Other Compensation” for such state week.

Section 4. Benefit Overpayments

(a) If the Company or the Board shall determine that any Benefit paid under the Plan should not have been paid or should have been paid in a lesser amount (as the result of a subsequent disqualification for State System Benefits or otherwise), written notice thereof shall be mailed to the Employee receiving such Benefit and the Employee shall return the amount of overpayment to the Trustee or Company whichever is applicable; provided, however, that no such repayment shall be required if the cumulative overpayment is $3 or less, or if notice has not been given within sixty (60) days from the pay ending date for the pay period in which the error occurred, or in cases involving legislative changes, no repayment is required if notice has not been given within 60 days of notification from the applicable government agency, except that no such limitation shall be applicable in cases of fraud or willful misrepresentation.

(b) If the Employee shall fail to return such amount promptly, the Trustee or Company shall arrange to reimburse the Fund for the amount of overpayment by making a deduction from any future Benefits (not to exceed an amount equal to one-half of any one Benefit, up to a maximum of $100, except that no limit shall apply to the amount of such deductions in cases of fraud or willful misrepresentation) or Separation Payment otherwise
payable to such Employee or by requesting the Company to make a deduction from compensation payable by the Company to such Employee (not to exceed $100 from any one paycheck except in cases of fraud or willful misrepresentation), or both. The Company is authorized to make such deduction from the Employee’s compensation and may pay the amount deducted to the Trustee.

(c) If the Company determines that an Employee has received an Automatic Short Week Benefit for any Week for which the Employee has received a State System Benefit, the amount of such Automatic Short Week Benefit, or a portion of such Benefit equivalent to the State System Benefit, whichever is less, shall be treated as an overpayment and deducted in accordance with this Section from future Benefits or compensation payable by the Company.

(d) The Company may adjust for any overpayments or underpayments in the amount of an Automatic Short Week Benefit at the same time as related adjustments are made with respect to any wages for the same Workweek. Such Automatic Short Week Benefit adjustments shall be shown on the paycheck stub or other equivalent record given to the Employee. Such paycheck stub or equivalent record shall constitute a determination which may be appealed as provided in Section 3 of Article V.

Section 5. Withholding Tax

The Trustee or the Company shall deduct from the amount of any Benefit (or Separation Payment) as computed under the Plan any amount required to be withheld by the Trustee or the Company by reason of any law or regulation, for payment of taxes or otherwise to any federal, state or municipal government. In determining the amount of any applicable tax entailing personal exemptions, the Trustee or the Company shall be entitled to rely on the official form filed by the Employee.
with the Company for purposes of income tax withholding on regular wages.

Section 6. Deduction of Union Dues
The Trustee or the Company, upon authorization from an Employee, and during any period while there is in effect an agreement between the Company and the Union concerning the maintaining of the Plan, shall deduct monthly Union dues from Regular Benefits paid under the Plan and pay such sums directly to the Union in his/her behalf.

ARTICLE III

DURATION OF BENEFITS

Section 1. Indefinite Layoffs
An Employee, with one or more years of Seniority, on or after November 23, 2015 will be granted income security based on the following:

(a) A Traditional Employee shall be eligible for Regular Benefits based on their seniority as of their last day worked prior to the qualifying layoff as follows:
   (1) One (1) year, but less than ten (10) years – 26 weeks
   (2) Ten (10) years, but less than twenty (20) years – 39 weeks
   (3) Twenty (20) or more years – 52 weeks

(b) A Traditional Employee shall be eligible for Transition Assistance Plan (TAP) Benefits based on their seniority as of their last day worked prior to the qualifying layoff as follows:
   (1) One (1) year, but less than ten (10) years – 26 weeks
   (2) Ten (10) years, but less than twenty (20) years – 39 weeks
   (3) Twenty (20) or more years – 52 weeks

(c) An In-Progression Employee shall be eligible for Regular Benefits based on their seniority as of their last day worked prior to the qualifying layoff as follows:
(1) One (1) year, but less than three (3) years – 13 weeks
(2) Three (3) or more years – 26 weeks

Section 2. Temporary Layoffs
An Employee, with one or more years of Seniority, on or after November 23, 2015 and placed on a qualifying, temporary layoff thereafter will be eligible for Benefits for the duration of such layoff subject to the provisions of Article I of this Plan.

Section 3. Limitation of Duration of Benefits
If it appears that total expenditures under this Plan will exceed the SUB Maximum Financial Liability Cap during the term of this Agreement, the parties may take appropriate action to reduce the rate of expenditure and extend Benefit duration.

ARTICLE IV
SEPERATION PAYMENT

Section 1. Eligibility
An Employee shall be eligible for a Separation Payment if:

(a) He has been on a layoff from the Contract Unit for a continuous period of at least twelve (12) months (or any shorter period determined by the Company) and such layoff was not the result of any of the circumstances or conditions set forth in Section 3(b)(2) of Article I; provided, however, that an Employee shall be deemed to have been on layoff from the Company for a continuous period if, while on layoff, he accepts an offer of work by the Company and subsequently is laid off again within not more than ten (10) work days from the date he was reinstated;

(b) He was actively at work on or after September 1, 1958 but became totally and permanently disabled on or after such date and does not have the requisite years of credited service for a “disability retirement benefit” under Section 3 of Article IV of the Retirement Plan established by agreement between the Company and the Union and said disability has been found to
be total and permanent by the local industrial relations activity (the Plant physician in conjunction with the hourly employment supervisor) at the Company Plant or Plants where the applicant has Seniority; provided, however, that any difference of opinion between the Plant physician and the Employee’s personal physician concerning whether the Employee is totally and permanently disabled shall be resolved in accordance with the procedure prescribed in the Topic “Referral-Difference of Opinion Between Personal and Plant Physician” which is included in the Company Medical Guide. An Employee shall be deemed to be totally and permanently disabled only if it is found (i) that he is totally disabled by bodily injury or disease so as to be prevented thereby from engaging in any regular occupation or employment with the Company at the Plant or Plants where he has Seniority and (ii) that such disability will be permanent and continuous during the remainder of his life; provided, however, that no Employee shall be deemed to be totally and permanently disabled if his incapacity resulted from service in the armed forces of any country except that on or after October 25, 1967, nothing herein shall prevent an Employee from being deemed so disabled under the Plan if he has accumulated at least ten (10) years of Seniority after separation from service in the armed forces and before such incapacity occurs; or

(c) He has had a combination of such lay-off period and disability period which combined period is continuous through the date on which application for a Separation Payment is received by the Company; and in addition to (a), (b) or (c) above;

(d) He had one or more years of Seniority on the last day on which he was on the Active Employment Rolls and such Seniority has not been broken on or prior to the earliest date on which he can make application;

(e) He has not refused an offer of work pursuant to any of the conditions set forth in Section 3(b)(3) of Article I on or after the last day he worked in the Contract Unit and prior to the earliest date on which he can make application; and
He has made application for a Separation Payment within twenty-four (24) months (thirty-six (36) months in the case of an Employee who has ten (10) or more years of Seniority) from the commencement of his layoff or disability period, except that an Employee who meets the requirements of Subsection 1(b) of this Section may make such application on or before the 30th day following the last month for which he was eligible to receive an Extended Disability Benefit under Section 13 of the Group Life and Disability Insurance part of the Insurance Program; provided, however, that in the case of layoff no application may be made prior to the completion of twelve (12) continuous months of layoff from the Company (or any shorter period determined by the Company).

Section 2. Payment

(a) A Separation Payment shall be payable in a lump sum.

(b) Determination of Amount

(1) Except as provided in Paragraphs (2) and (3) of this Subsection (b), the Separation Payment of an Employee shall be an amount determined by multiplying

   (i) The Employee’s Base Hourly Rate (plus any applicable cost-of-living allowance in effect on the last day he worked in the Contract Unit but excluding all other premiums and bonuses of any kind) by

   (ii) The applicable number of hours’ pay as shown in the following table:
### SEPARATION PAYMENT TABLE

<table>
<thead>
<tr>
<th>Years of Seniority on Last Day on the Active Employment Rolls</th>
<th>Number of Hours Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 but less than 2</td>
<td>50</td>
</tr>
<tr>
<td>2 but less than 3</td>
<td>70</td>
</tr>
<tr>
<td>3 but less than 4</td>
<td>100</td>
</tr>
<tr>
<td>4 but less than 5</td>
<td>135</td>
</tr>
<tr>
<td>5 but less than 6</td>
<td>170</td>
</tr>
<tr>
<td>6 but less than 7</td>
<td>210</td>
</tr>
<tr>
<td>7 but less than 8</td>
<td>255</td>
</tr>
<tr>
<td>8 but less than 9</td>
<td>300</td>
</tr>
<tr>
<td>9 but less than 10</td>
<td>350</td>
</tr>
<tr>
<td>10 but less than 11</td>
<td>400</td>
</tr>
<tr>
<td>11 but less than 12</td>
<td>455</td>
</tr>
<tr>
<td>12 but less than 13</td>
<td>510</td>
</tr>
<tr>
<td>13 but less than 14</td>
<td>570</td>
</tr>
<tr>
<td>14 but less than 15</td>
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<tr>
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<td>700</td>
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<tr>
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<td>770</td>
</tr>
<tr>
<td>17 but less than 18</td>
<td>840</td>
</tr>
<tr>
<td>18 but less than 19</td>
<td>920</td>
</tr>
<tr>
<td>19 but less than 20</td>
<td>1,000</td>
</tr>
<tr>
<td>20 but less than 21</td>
<td>1,085</td>
</tr>
<tr>
<td>21 but less than 22</td>
<td>1,170</td>
</tr>
<tr>
<td>22 but less than 23</td>
<td>1,260</td>
</tr>
<tr>
<td>23 but less than 24</td>
<td>1,355</td>
</tr>
<tr>
<td>24 but less than 25</td>
<td>1,455</td>
</tr>
<tr>
<td>25 but less than 26</td>
<td>1,560</td>
</tr>
<tr>
<td>26 but less than 27</td>
<td>1,665</td>
</tr>
<tr>
<td>27 but less than 28</td>
<td>1,770</td>
</tr>
<tr>
<td>28 but less than 29</td>
<td>1,875</td>
</tr>
<tr>
<td>29 but less than 30</td>
<td>1,980</td>
</tr>
<tr>
<td>30 and over</td>
<td>2,080</td>
</tr>
</tbody>
</table>
The amount of Separation Payment so computed shall be reduced by the amount of any Benefits paid or payable to an Employee with respect to a Week occurring after the last day he worked in the Contract Unit.

(2) The amount of a Separation Payment computed under this Subsection (b) shall also be reduced by:

(i) The amount of any payment, financed in whole or in part by the Company, received or receivable on or after the last day the Employee worked in the Contract Unit, with respect to any layoff or separation from the Company (other than a Benefit, a State System Benefit or a benefit payable under the Federal Social Security Act);

(ii) The amount of any Moving Allowance payable under Article IX of the Collective Bargaining Agreement; and

(iii) Any amount required to be withheld by the Trustee or the Company by reason of any law or regulation, for payment of taxes or otherwise, to any Federal, state or municipal government.

(3) If an applicant has been paid a prior Separation Payment and thereafter was reemployed by the Company within three (3) years from the last day he worked in the Contract Unit:

(i) Years of Seniority for purposes of determining the amount of his current Separation Payment shall mean the sum of the years of Seniority used to determine the amount of his prior Separation Payment and the number of years of Seniority acquired by him after he was rehired, and

(ii) There shall be subtracted, from the number of hours’ pay based on his years of Seniority determined as provided in (i) above, the number of hours’ pay used to calculate his prior Separation Payment.
Section 3.  Effect of Separation Payment on Seniority

An Employee who is issued and accepts a Separation Payment (A) agrees that such Payment is a lump sum payment allocable to an inactive period (“Allocation Period”) during which no other pay or benefits or rights of employment shall apply, (B) shall cease to be an Employee and the Employee’s Seniority shall be deemed to have been broken as of the date the Employee’s application for such Separation Payment was received by the Company (“Termination Date”) for all purposes, (C) shall not be eligible to receive a special early retirement under any Company retirement plan, (D) shall not be permitted to retire under any Company retirement plan during the Allocation Period following the Termination Date, and (E) cannot grow-in to retirement if ineligible as of the break in Seniority (but without prejudice to any right to a deferred vested benefit). An Employee’s Allocation Period in weeks shall equal the Employee’s Separation Payment divided by one-half the unreduced Regular Benefit the Employee received, or would have received, for the current period of layoff.

An Employee eligible for an immediate pension benefit under the Ford-UAW Retirement Plan, at the time of his/her break in service (due to receipt of a SUB Separation Payment), shall upon completion of the Allocation Period and application for a pension benefit under the Ford-UAW Retirement Plan become eligible for post retirement health care and life insurance on the same basis as other retirees. For purposes of applying the terms of the Ford-UAW Retirement Plan, such Employees shall not be treated as deferred vested by reason of their receipt of a SUB Separation Payment.

Section 4.  Overpayments

If the Company or the Board determines, after issuance of a Separation Payment, that the Separation Payment should not have been issued or should have been issued in a lesser amount, written notice thereof shall be mailed to the former Employee and he shall return the amount of the overpayment to the Trustee.
Section 5. Repayment
If a former Employee is re-employed by the Company after he has received a Separation Payment, no repayment (except as provided in Section 4 of this Article) by him of such Separation Payment shall be required or allowed and no Seniority cancelled in connection with such Separation Payment shall be reinstated except for the specific purpose provided in Section 2(b)(3) of this Article.

Section 6. Notice of Application Time Limits
The Company shall provide written notice of the time limit for filing a Separation Payment application to all persons who may be eligible for such payment. Such notice shall be mailed to the person’s last known address according to the Company’s records not later than thirty (30) days prior to both the earliest and the latest dates as of which he may apply pursuant to the provisions of Section 1(f) of this Article.

Section 7. Armed Services
An Employee who enters the Armed Services of the United States directly from the employ of the Company shall, while in such service, be deemed for the purposes of the Plan to be on leave of absence and shall not be entitled to any Separation Payment.
ARTICLE V

APPLICATION, DETERMINATION OF ELIGIBILITY AND APPEAL PROCEDURES FOR BENEFITS AND SEPARATION PAYMENTS

Section 1. Applications

(a) Filing of Applications

An application for a Benefit or for a Separation Payment may be filed, either in person or by mail, in accordance with procedures established by the Company. No application for a Benefit shall be accepted unless it is submitted to the Company within sixty (60) calendar days after the end of the Week with respect to which it is made; provided, however, that if the amount of the Employee’s State System Benefit is adjusted retroactively with the effect of establishing a basis for eligibility for a Benefit or for a Benefit in a greater amount than that previously paid, the Employee may apply within sixty (60) calendar days after the date on which such basis for eligibility is established. However, in cases where an actual State System Benefit is issued, no filing time limit will be applicable.

(b) Application Information

Applications filed for a Benefit or a Separation Payment under the Plan shall include:

(1) In writing, any information deemed relevant by the Company with respect to other benefits received, earnings and the source and amount thereof, Dependents and such other information as the Company may require in order to determine whether the Employee is eligible to be paid a Benefit or Separation Payment and the amount thereof; and

(2) With respect to a Regular Benefit, the exhibition of the Employee’s State System Benefit check or other evidence satisfactory to the Company of either

(i) The Employee’s receipt of or entitlement to a State System Benefit or
(ii) The Employee’s ineligibility for a State System Benefit only for one or more of the reasons specified in Section 1(b) of Article I; provided, however, that in the case of State System Benefit ineligibility by reason of the period worked in the Week, pay received from the Company or from any other employer(s), or because of full time employment with an employer other than the Company (item (iii) of Section 1(b) of Article I), State System evidence for such reason of ineligibility shall not be required.

State System Benefits shall be presumed to have been received by the Employee on the date of the check as set forth on the check or on the satisfactory evidence referred to in the preceding Paragraph.

Section 2. Determination of Eligibility

(a) Application Processing by Company
When an application is filed for a Benefit or Separation Payment under the Plan, and the Company is furnished with the evidence and information required, the Company shall determine the Employee’s entitlement to such Benefit or Separation Payment.

(b) Notification to Trustee to Pay
If the Company determines that a Benefit, other than an Automatic Short Week Benefit, or Separation Payment is payable from the Fund, it shall deliver prompt written notice thereof to the Trustee to pay such Benefit or Separation Payment.

(c) Notice of Denial of Benefits or Separation Payment
If the Company determines that an Employee is not entitled to a Benefit or to a Separation Payment, it shall notify him promptly, in writing, of such determination, including the reasons therefor.
(d) **Union Copies of Applications and Determinations**

The Company shall furnish promptly to the Union members of the Local Committee copies of all applications for Separation Payments and all Company determinations of Benefit or Separation Payment ineligibility or overpayment.

**Section 3. Appeals**

(a) **Applicability of Appeals Procedure**

(1) The appeals procedure set forth in this Section may be employed only for the purposes specified in this Section.

(2) No question involving the interpretation or application of the Plan shall be subject to the Grievance Procedure provided for in the Collective Bargaining Agreement.

(b) **Procedure for Appeals**

(1) **First Stage Appeals**

(i) An Employee may appeal from the Company’s written determination (other than determinations made in connection with Section 1(b)(xii) of Article I) with respect to the payment or denial of a Benefit or a Separation Payment by filing a written appeal with the Local Committee on a form provided for that purpose.

If there is no Local Committee at any Plant because of a discontinuance of such Plant, the appeal may be filed directly with the Board. Appeals concerning determinations made in connection with Section 1(b)(xii) of Article I shall be made directly to the Board.

(ii) Such written appeals shall be filed with the designated Company representative within thirty (30) days following the date of mailing of the determination appealed. With respect to appeals that are mailed, the date of filing shall be the postmarked date of the appeal. No appeal shall be valid after such thirty (30) day period.
(iii) The Local Committee shall advise the Employee, in writing, of its resolution of or failure to resolve his appeal. If the appeal is not resolved within ten (10) days after the date thereof (or such extended period as may be agreed upon by the Local Committee), the Employee or any two (2) members of the Local Committee, at the request of the Employee, may refer the matter to the Board for disposition.

(2) Appeals to the Board of Administration

(i) An appeal to the Board shall be considered filed with the Board when filed with the designated Company representative with respect to the Plant at which the First Stage appeal was considered by the Local Committee.

(ii) Appeals shall be in writing, shall specify the respects in which the Plan is claimed to have been violated, and shall set forth the facts relied upon as justifying a reversal or modification of the determination appealed from.

(iii) Appeals by the Local Committee to the Board with respect to Benefits or Separation Payments shall be made within twenty (20) days following the date the appeal is first considered at a meeting of the Local Committee, plus such extension of time as the Local Committee shall have agreed upon. Appeals by the Employee to the Board with respect to Benefits or Separation Payments shall be made within thirty (30) days following the date notice of the Local Committee’s decision is given or mailed to the Employee. With respect to appeals that are mailed, the date of filing shall be the postmarked date of the appeal.
(iv) The handling and disposition of each appeal to the Board shall be in accordance with regulations and procedures established by the Board. Such regulations and procedures shall provide that in situations where a number of Employees have filed applications for Benefits or Separation Payments under substantially identical conditions, an appeal may be made from the Local Committee to the Board with respect to one of such Employees, and the decision of the Board thereof shall apply to all such Employees.

(v) The Employee, the Local Committee or the Union members of the Board may withdraw any appeal to the Board at any time before it is decided by the Board.

(vi) There shall be no appeal from the Board’s decision. It shall be final and binding upon the Union, its members, the Employee or former Employee, the Trustee, and the Company. The Union shall discourage any attempt of its members to appeal, and shall not encourage or cooperate with any of its members in any appeal, to any Court or Labor Board from a decision of the Board, nor shall the Union or its members by any other means attempt to bring about the settlement of any claim or issue on which the Board is empowered to rule hereunder.

(vii) The Local Committee shall be advised, in writing, by the Board of the disposition of any appeal previously considered by the Local Committee and referred to the Board. A copy of such disposition shall be forwarded to the Employee.

(c) **Benefits Payable After Appeal**
In the event that an appeal with respect to entitlement to a Benefit is decided in favor of an Employee, the Benefit shall be paid to him.
(d) **Meaning of Term Employee With Respect to Appeal Provisions**

With respect to the appeal provisions set forth under this Section 3 only, the term Employee shall include any person who received or was denied the Benefit or Separation Payment in dispute.

## ARTICLE VI

### ADMINISTRATION OF THE PLAN

**Section 1. Powers and Authority of the Company**

**(a) Company Powers**

The Company shall have such powers and authority as are necessary and appropriate in order to carry out its duties under the Plan, including, without limitation, the power:

1. To obtain such information as it shall deem necessary in order to carry out its duties under the Plan;

2. To investigate the correctness and validity of information furnished with respect to an application for a Benefit or Separation Payment;

3. To make initial determinations with respect to Benefits or Separation Payments;

4. To establish reasonable rules, regulations and procedures concerning
   
   (i) The manner in which and the times and places at which applications shall be filed for Benefits or Separation Payments, and

   (ii) The form, content and substantiation of applications for Benefits and Separation Payments.

In establishing such rules, regulations and procedures, the Company shall give due consideration to recommendations from the Board;
(5) To designate an office or department at each Plant, or in the alternative, a location in the general area of such Plant, where Employees laid off from such Plant may appear for the purpose of complying with the requirements of the Plan (it being understood that a single location may be established to serve a group of Plants within a single area);

(6) To establish appropriate procedures for giving notices required to be given under the Plan;

(7) To establish and maintain necessary records; and

(8) To prepare and distribute information explaining the Plan.

(b) Company Authority
Nothing contained in the Plan shall be deemed to qualify, limit, or alter in any manner the Company’s sole and complete authority and discretion to establish, regulate, determine, or modify at any time levels of employment, hours of work, the extent of hiring and layoff, production schedules, manufacturing methods, the products and parts thereof to be manufactured, where and when work shall be done, marketing of its products, or any other matter related to the conduct of its business or the manner in which its business is to be managed or carried on, in the same manner and to the same extent as if the Plan were not in existence; nor shall it be deemed to confer either upon the Union or the Board any voice in such matters.

(c) Named Fiduciary and Allocation of Responsibilities
Pursuant to ERISA, the Company shall be the sole named fiduciary with respect to the Plan and, except as otherwise stated with respect to the powers and authority of the Board of Administration in Section 2 of this Article VI, below, shall have authority to control and manage the operation and administration of the Plan.

The Board of Directors shall have the authority on behalf of the Company to determine major funding policy under the Plan, to appoint and remove trustees under the Plan, to approve policies relating to the allocation of contributions and the distribution of assets among trustees, and to approve Plan amendments
except that the Vice President-General Counsel and Secretary, Vice President-Human Resources and Vice Chairman and Chief Financial Officer are designated to approve Plan additions, deletions and modifications on behalf of the Company to the extent deemed necessary or appropriate under ERISA or the Internal Revenue Code.

The Vice Chairman and Chief Financial Officer shall be authorized on behalf of the Company to carry out a funding policy and method with respect to the Plan, to contract with the trustees under the Plan and to determine the form and terms of the trust agreements to be entered into with such trustees and to allocate contributions and distribute assets among trustees, and shall have authority to designate other persons to carry out specific responsibilities in connection therewith provided, however, that such actions shall be consistent with ERISA, the policy of the Board of Directors and the Plan.

Except as otherwise provided in this Subsection or elsewhere in the Plan, the Vice President-Human Resources and the Vice Chairman and Chief Financial Officer are designated to carry out the Company’s responsibilities with respect to the Plan. The Vice President-Human Resources and the Vice Chairman and Chief Financial Officer may allocate responsibilities between themselves and may designate other persons to carry out specific responsibilities on behalf of the Company.

In the event of a change in a designated officer’s title, the officer or officers with functional responsibility for the Plan shall have the authority to the extent described in this subsection.

Any Company director, officer or employee who shall have been expressly designated pursuant to the Plan to carry out specific Company responsibilities shall be acting on behalf of the Company. Any person or group of persons may serve in more than one capacity with respect to the Plan and may employ one or more persons to render advice with regard to any responsibility such director, officer or employee has under the Plan.
Section 2. Board of Administration of the Plan

(a) Composition and Procedure

(1) There shall be established a Board of Administration of the Plan consisting of six (6) members, three (3) of whom shall be appointed by the Company (hereinafter referred to as the Company members) and three (3) of whom shall be appointed by the Union (hereinafter referred to as the Union members). Each member of the Board shall have an alternate appointed in the same way. In the event a member is absent from a meeting of the Board, such member’s alternate may attend, and, when in attendance, shall exercise the powers and perform the duties of such member. Either the Company or the Union at any time may remove a member appointed by it and may appoint a member to fill any vacancy among the members appointed by it. The Company and the Union each shall notify the other in writing of the members and alternates respectively appointed by it before any such appointment shall be effective.

(2) The members of the Board shall appoint an Impartial Chairperson, who shall serve until requested in writing to resign by three (3) members of the Board. In the event that the members of the Board are unable to agree upon such Chairperson, the Umpire under the Collective Bargaining Agreement shall make the appointment; provided, however, that the Company and Union members may, by agreement, request such Umpire to serve as the Impartial Chairperson of the Board.

The Impartial Chairperson shall be considered a member of the Board, and shall vote only in matters within the Board’s authority to determine where the other members of the Board shall have been unable to dispose of a matter by majority vote, except that the Impartial Chairperson shall have no vote concerning determinations made in connection with Section 1(b)(xii) of Article I.
At least two (2) Union members and two (2) Company members shall be required to be present at any meeting of the Board in order to constitute a quorum for the transaction of business. At all meetings of the Board the Company members shall have a total of three (3) votes and the Union members shall have a total of three (3) votes, the vote of any absent member being divided equally between the members present appointed by the same party. Decisions of the Board shall be by a majority of the votes cast.

Neither the Board nor any Local Committee established pursuant to Subsection (b) of this Section shall maintain any separate office or staff, but the Company and the Union shall be responsible for furnishing such clerical and other assistance as its respective members of the Board and the Local Committees shall require. Copies of all appeals, reports and other documents to be filed with the Board pursuant to the Plan shall be filed in duplicate, one (1) copy to be sent to the Company members at the address designated by them and the other to be sent to the Union members at the address designated by them.

(b) **Powers and Authority of the Board**

(1) It shall be the function of the Board to exercise ultimate responsibility for determining whether an Employee is eligible for a Benefit or Separation Payment under the terms of the Plan, and, if so, the amount of such Benefit or Separation Payment. The Board shall be presumed conclusively to have approved any initial determination by the Company unless the determination is appealed as prescribed in Section 3(b) of Article V.

(2) The Board shall be empowered and authorized and shall have jurisdiction:

(i) To hear and determine appeals by Employees pursuant to Article V;

(ii) To obtain such information as the Board shall deem necessary in order to determine such appeals;
To prescribe the form and content of appeals to the Board and such detailed procedures as may be necessary with respect to the filing of such appeals;

To direct the Company to pay Automatic Short Week Benefits or to notify the Trustee to make payments of other Benefits or Separation Payments pursuant to determination made by the Local Committee or by the Board;

To prepare and distribute, on behalf of the Board, information explaining the Plan;

To rule upon disputes as to whether any Short Workweek resulted from an Act of God as defined in Article IX, Definition (43); and

To perform such other duties as are expressly conferred upon it by the Plan.

In ruling upon appeals, the Board shall have no authority to waive, vary, qualify, or alter in any manner the eligibility requirements set forth in the Plan, the procedure for applying for Benefits or Separation Payments as provided therein, or any other provision of the Plan; and shall have no jurisdiction other than to determine, on the basis of the facts presented and in accordance with the provisions of the Plan,

Whether the first stage appeal and the appeal to the Board were made within the time and in the manner specified in Section 3(b) of Article V,

Whether the Employee is an eligible Employee with respect to the Benefit or Separation Payment claimed and, if so,

The amount of any Benefit or Separation Payment payable, and

Whether a protest of an Employee’s State System Benefit by the Company is frivolous.
(4) The Board shall have no jurisdiction to act upon any appeal not made within the time and in the manner specified in Section 3(b) of Article V.

(5) The Board shall have no power to determine questions arising under the Collective Bargaining Agreement, even though relevant to the issues before the Board. All such questions shall be determined through the regular procedures provided therefor by the Collective Bargaining Agreement, and all determinations made pursuant to such Agreement shall be accepted by the Board.

(6) Nothing in this Article shall be deemed to give the Board the power to prescribe in any manner internal procedures or operations of either the Company or the Union.

(7) The Board shall provide for a Local Committee at each Plant of the Company to handle appeals from determinations as provided in Section 3(b)(1) of Article V except determinations made in connection with Section 1(b)(xii) of Article I. The Local Committee shall be composed of two (2) members or their alternates designated by Company members of the Board and two (2) members or their alternates designated by Union members of the Board. Either the Company or Union members of the Board may remove a Local Committee member appointed by them and fill any vacancy among the Local Committee members appointed by them.

(8) The Board shall have full power and authority to administer the Plan and to interpret its provisions. Any decision or interpretation of the provisions of the Plan shall be final and binding upon the Company, the Union, the Employees and any other claimants under the Plan, and shall be given full force and effect, subject only to an arbitrary and capricious standard of review.
Section 3. To Whom Benefits and Separation Payments Are Payable in Certain Conditions

Benefits and Separation Payments shall be payable hereunder only to the Employee who is eligible therefor, except that if the Board shall find that such an Employee is deceased or is unable to manage his affairs for any reason, any such Benefit or Separation Payment payable to him shall be paid to his duly appointed legal representative, if there be one, and if not, to the spouse, parents, children or other relatives or dependents of such Employee as the Board in its discretion may determine. Any Benefit or Separation Payment so paid shall be a complete discharge of any liability with respect to such Benefit or Separation Payment. In the case of death, no Benefit shall be payable with respect to any period following the last day of layoff immediately preceding the Employee’s death.

Section 4. Nonalienation of Benefits and Separation Payments

No Regular Benefit, Leveling Week Benefit, Alternate Benefit or Separation Payment shall be subject in any way to alienation, sale, transfer, assignment, pledge, attachment, garnishment, execution, or encumbrance of any kind other than an Assignment and Authorization for Check-Off of Membership Dues, and any attempt to accomplish the same shall be void. In the event that the Board shall find that such an attempt has been made with respect to any such Benefit or Separation Payment due or to become due to any Employee, the Board in its sole discretion may terminate the interest of such Employee in such Benefit or Separation Payment and apply the amount of such Benefit or Separation Payment to or for the benefit of such Employee, his spouse, parents, children or other relatives or dependents as the Board may determine, and any such application shall be a complete discharge of all liability with respect to such Benefit or Separation Payment.
Section 5. Applicable Law

The Plan and all rights and duties thereunder shall be governed, construed and administered in accordance with the laws of the State of Michigan, except that the eligibility of a person for, and the amount and duration of, State System Benefits shall be determined in accordance with the state laws of the applicable State System.

ARTICLE VII

FINANCIAL PROVISIONS AND REPORTS

Section 1. Establishment of Fund

The Company shall establish, in accordance with the Plan, a Fund with a qualified bank or banks or a qualified trust company or companies selected by the Company as Trustee. The Company’s contributions shall be made into the Fund, the assets of which shall be held, invested and applied by the Trustee, all in accordance with the Plan. Automatic Short Week Benefits shall be payable by the Company. All other Benefits and Separation Payments shall be payable only from the Fund. The Company may provide in the trust agreement that the assets of the Fund may be held in cash or invested only in:

(i) General obligations of the United States Government and obligations of any agency or instrumentality of the United States Government or of any United States Government sponsored private corporation, or obligations of any other organization which are backed by the full faith and credit of, or are contractual obligations of the United States; and/or

(ii) Prime quality short-term obligations such as commercial paper, bankers acceptances, certificates of deposit, or similar investments, and/or
(iii) A common, collective or commingled investment fund consisting of any combination of the investments under (i) and (ii) above; irrespective of the rate of return, or the absence of any return, thereon, and without any absolute or relative limit upon the amount that may be invested in any one or more types of investment. The Trustee shall not be liable for the making or retaining of any such investment or for realized or unrealized loss thereon whether for normal or abnormal economic conditions or otherwise.

Notwithstanding anything in this Plan to the contrary, beginning on or after October 1, 2007, the Company may pay any Benefits and Separation Payments directly from Company assets.

Section 2. Company Contributions

(a) General

As of December 1, all Company contribution provisions and requirements under the 1987, 1990, 1993, 1996, 1999, 2003, 2007, and 2011 Plans shall cease and no further contributions as previously required shall be placed into the Fund. The Fund balance or Company funds shall be used to pay Regular Benefits and Separation Payments due and payable under this 2015 Plan.

(b) Fund Level and Required Contributions

The Company will make periodic weekly contributions to the Fund to maintain the Fund or provide Company funds at a level sufficient to pay the Regular Benefits and Separation Payments then due and payable.

(c) SUB Maximum Financial Liability Cap

Any amounts determined under Section 2(b) above (excluding any Separation Payments), plus the amount of all Automatic Short Week Benefits and payments under the Letter Agreements attached to this Plan paid by the Company are subject to, and limited by, in the aggregate, the SUB Maximum Financial Liability Cap of $1.862 billion as applicable to the SUB Plan, plus any additional amount (not to exceed $200 million) generated by the formula under Section 3(d) of this Article VII. If the SUB Maximum Financial Liability Cap, including any
additional amount generated by the formula (which cannot exceed $200 million) under Section 3(d) of this Article VII, is exhausted during the term of this Agreement, the provisions of the 1987 SUB Plan will be reactivated.

(d) If the Company at any time shall be required to withhold any amount from any contribution to the Fund on behalf of Regular Benefits by reason of any federal, state or local law or regulation, the Company shall have the right to charge such amount against the amount of the SUB Maximum Financial Liability Cap as defined under subsection (c) above.

Section 3. Liability

(a) The provisions of these Articles I through IX, together with the provisions of any Alternate Benefit plans established and maintained pursuant to the Plan, constitute the entire Plan. The provisions of this Article VII express, and shall be deemed to express, completely each and every obligation of the Company with respect to the financing of the Plan and providing for Benefits and Separation Payments. The Company shall not be obligated to make up, or to provide for making up, any depreciation, or loss arising from depreciation, in the value of the securities held in the Fund; and the Union shall not call upon the Company to make up, or to provide for making up, any such depreciation or loss.

(b) The Board, the Company, the Trustee, and the Union, and each of them, shall not be liable because of any act or failure to act on the part of any of the others, and each is authorized to rely upon the correctness of any information furnished to it by an authorized representative of any of the others.

(c) Notwithstanding the above provisions, nothing in this Section shall be deemed to relieve any person from liability for willful misconduct or fraud or from responsibility or liability for any obligation or duty under ERISA.

(d) The Company’s total financial liability for the cost of the Plan, including Company contributions to the Fund for the payment of Regular Benefits (including amounts owed to the Company or trustees of other Company plans or programs, as applicable,
which were offset against Regular Benefits), Automatic Short Week Benefits, Transition Assistance Plan Benefits and payments under the Letter Agreements attached to this Plan paid by the Company, shall be limited to the amount of the SUB Maximum Financial Liability Cap. Such Cap shall be established at $1.862 billion on the effective date of the Agreement. If and when that amount is spent, the Company’s total remaining financial liability during the term of the Agreement shall be equal to the greater of (a) the average monthly expenditure up to that point in the Agreement or (b) the average monthly expenditure for the 12 full months immediately prior thereto, times the lesser of (a) the number of months, and fraction thereof, remaining until expiration of the Agreement, or (b) 12. Notwithstanding the foregoing, the Company’s total remaining financial liability after such calculation shall not exceed $200 million, except as modified by the provisions of the letter dated November 5, 2015 regarding “Exhaustion of SUB Cap”.

The parties will monitor the Fund on a regular basis and if it appears that the SUB Maximum Financial Liability Cap, as related to the SUB Plan, will be reached before the end of the Agreement, the parties, by mutual agreement, will have the prerogative to reduce the amount or duration of SUB to provide for an equitable means for distribution of the Company’s remaining obligation.

Section 4. No Vested Interest

No person shall have any right, title or interest in or to any of the assets of the Fund or in or to any Company contribution thereto.

Section 5. Company Reports

(a) Not later than the third Tuesday following the first Monday of each month the Company shall furnish a statement to the Union showing:

(1) The amount of contributions the Company shall have made to the Fund, if applicable, in accordance with Section 2(a), (b), (c), and (d) of this Article VII.
(2) Benefits and Separation Payments Paid

(i) Leveling Week Benefits.

(ii) The number and amount of Regular Benefits paid during each week of the preceding month to Employees who were on volume related layoffs.

(iii) The number and amount of Regular Benefits paid during each week of the preceding month to Employees who were on non-volume related layoffs.

(iv) The number and amount of Separation Payments during each week of the preceding month.

(3) Automatic Short Week Benefits Paid by Company

The number and amount of Scheduled and Unscheduled Automatic Short Week Benefits, if any, paid by the Company during each week of the preceding month.

(4) Average Employment Levels

The number of employees on the active employment rolls receiving pay and the number of persons not on the active employment rolls and laid off from work with SUB entitlements shown separately by permanent and temporary layoffs, for the most recently available fifty-two (52) consecutive weeks through the end of the preceding month, and the total number of employees for each week.

(b) The Company or the Trustee shall furnish annually to each Employee who received Benefits or a Separation Payment, or both, during the year a statement showing the total amount received and any amount of tax withheld therefrom.

(c) On or before April 30 of each year, the Company shall furnish to the Union a statement showing the number of Employees receiving Regular Benefits during the preceding year, distributed according to the number of such Benefits received.

(d) On or before April 30 of each year, the Company shall furnish to the Union a statement showing the average State System Benefit received by Employees for Weeks with respect to which they received Regular Benefits paid without reduction for Other
Compensation as defined in Section 3(a) of Article II during the preceding year.

(e) The Company will comply with reasonable requests by the Union for other statistical information on the operation of the Plan which the Company may have compiled.

Section 6. Costs of Administering the Plan

(a) Expenses of Trustee
The costs and expenses incurred by the Trustee under the Plan, and the fees charged by the Trustee, shall be charged to the Fund.

(b) Expenses of the Board of Administration
The compensation of the Chairperson of the Board, which shall be in such amount and on such basis as may be determined by the other members of the Board, shall be shared equally by the Company and the Union. The Company members and the Union members of the Board and of Local Committees shall serve without compensation from the Fund. Reasonable and necessary expenses of the Board for forms and stationery required in connection with the handling of appeals shall be borne by the Company.

(c) Cost of Services
The Company shall be reimbursed each year from the Fund for the cost to the Company of bank fees and auditing fees for services performed in connection with the Plan and the Fund.

Section 7. Benefit and Separation Payment Drafts Not Presented
If the Trustee has segregated any portion of the Fund in connection with any determination that a Benefit or Separation Payment is payable under the Plan and the amount of such Benefit or Separation Payment is not claimed within a period of two (2) years from the date of such determination, such amount shall revert to the Fund.
ARTICLE VIII

MISCELLANEOUS

Section 1. Purpose of Plan and Status of Employees Receiving Benefits and Separation Payments

(a) Purpose of Plan
   It is the purpose of the Plan in respect of payment of Regular Benefits and Separation Payments to supplement State System Benefits and not to replace or duplicate them.

(b) Status of Employees Receiving Benefits and Separation Payments
   Neither the Company’s contributions nor any Regular Benefit or Separation Payment paid under the Plan shall be considered a part of an Employee’s wages for any purpose (except as Separation Payments, paid under Article IV, Section 1(a), and Regular Benefits are treated as if they were “wages” solely for purposes of Federal income tax withholding). No Employee who receives any Regular Benefit or Separation Payment shall for that reason be deemed an Employee of the Company during such period, and he shall not thereby accrue any greater right to participate in, accrue credits or receive Benefits under any other employee benefit plan to which the Company contributes than he would if he were not receiving such Regular Benefit or Separation Payment.

Section 2. Effect of Revocation of Federal Rulings
   In the event that any rulings or determination letters which have been or may be obtained by the Company holding

(a) That contributions to the Fund shall constitute currently deductible expenses and that the Fund shall be exempt from income taxes under the Internal Revenue Code, or under any other applicable Federal income tax law, or

(b) That no part of any such contributions or of any Benefits paid shall be included for purposes of the Fair Labor Standards Act in the regular rate of any Employee, shall be revoked or
modified in such manner as no longer to be satisfactory to the Company, all obligations of the Company under the Plan shall cease and the Plan shall thereupon terminate and be of no further effect (without in any way affecting the validity or operation of the Collective Bargaining Agreement), except for the purposes of disposing of the assets of the Fund as set forth in Section 4(b) of this Article.

Section 3. Alternate Benefits

With respect to any state in which Supplementation is not permitted, the parties shall endeavor to negotiate an agreement establishing a plan for Alternate Benefits not inconsistent with the purposes of the Plan. Any agreement so reached shall not apply to Employees in such states who are ineligible to receive State System Benefits for any of the reasons stated in Section 1(b) of Article I of the Plan. Such Employees, if otherwise eligible, may apply for and receive a Regular Benefit under the Plan. Automatic Short Week Benefits will be payable to eligible Employees in such state.

Section 4. Amendment and Termination of the Plan

(a) So long as the Agreement Concerning Supplemental Unemployment Benefit Plan shall remain in effect, the Plan shall not be amended, modified, suspended, or terminated, except as may be proper or permissible under the terms of the Plan or such Agreement.

Upon the termination of such Agreement, the Company shall have the right to continue the Plan in effect and to modify, amend, suspend, or terminate the Plan, except as may be otherwise provided in any subsequent agreement between the Company and the Union.

(b) Upon any termination of the Plan, the Plan shall terminate in all respects except that the assets then remaining in the Fund shall be used to pay expenses of administration and to pay Benefits to eligible Employees for a period of one (1) year following termination, if not sooner exhausted. At the expiration of such 1 year period, the parties shall endeavor to negotiate a
program for the orderly disposition of any remaining assets of the Fund for employee benefits not inconsistent with the purposes of the Plan.

Section 5. Cancellation of Credit Units Upon Transfer of Fund Assets

If the Company and the Union agree to transfer to a successor employer’s supplemental unemployment benefits plan assets and liabilities attributable to Employees transferred to the successor employer, any Credit Units under the Plan attributable to the transferred Employee shall be cancelled as of the effective date of the asset transfer. Thereafter, such Employee shall not be entitled to receive any Benefits or Separation Payments under the Plan. Each such person who subsequently becomes employed by the Company shall be entitled to count for purposes of Credit Units under the Plan only his/her service with the Company from and after the date he/she becomes reemployed. If a transferred Employee has a right to return to the Company pursuant to an agreement between the Company and the Union which provides for transfer to the Company of Credit Units and related SUB assets, the Credit Units attributable to the Employee in the successor employer’s plan on the date immediately preceding the Employee’s rehire shall be credited to the Employee’s Credit Unit account in the 1987 SUB Plan provided that the successor employer transfers to the Fund the assets and liabilities attributable to such Credit Units.
ARTICLE IX
DEFINITIONS

As used herein:

(1) “Active Employment Rolls” shall have the same meaning as it has under the Retirement Plan established by agreement between the Company and the Union;

(1)(A) “Advance Credit Account” means the amount provided under the 1987 SUB Plan;

(2) “Alternate Benefit” means a Benefit payable under a plan established pursuant to Section 3 of Article VIII (See definition of “Benefit”);

(3) “Automatic Short Week Benefit” means the Benefit payable under Section 2 of Article II (See definition of “Benefit”);

(4) “Base Hourly Rate” (exclusive of cost-of-living allowance) means:

   (a) With respect to a Regular Benefit or Separation Payment, the straight-time hourly rate of an Employee on his last day of work in the Contract Unit; except that

      (i) If he was paid at a higher straight-time hourly rate by the Company while in the Contract Unit and within ninety (90) calendar days immediately preceding his last day worked, Base Hourly Rate shall be such higher rate; or

      (ii) If he worked under an incentive plan in at least four (4) Pay Periods in the Contract Unit within ninety (90) calendar days immediately preceding his last day worked, Base Hourly Rate shall be the Employee’s average earned hourly rate for the last four (4) Pay Periods in which he worked in the Contract Unit and for which he had any incentive earnings or, if higher, the Employee’s average earned hourly rate for the first four (4) Pay Periods in which he worked in the Contract Unit and for which he had any incentive earnings; or
earnings subsequent to the 90th calendar day immediately preceding his last day worked; provided, however, that if it is established that during the 90-calendar-day period the Employee worked in less than four (4) Pay Periods but during each such Pay Period worked he worked on incentive work, the Employee’s Base Hourly Rate shall be his average earned hourly rate for such Pay Periods.

Such average earned hourly rate shall be computed by dividing the total straight-time hourly earnings (excluding all premiums and bonuses of any kind) for all hours worked during the applicable Pay Periods by the total number of straight-time hours worked during such Pay Periods.

(b) With respect to an Automatic Short Week Benefit, the highest straight-time hourly rate paid the Employee while in the Contract Unit and during the Pay Period in which the Short Workweek occurs or, in the case of an Employee who worked under an incentive plan at any time during the Pay Period in which the Short Workweek occurs, the average earned hourly rate (computed as provided in the preceding paragraph) for his last Pay Period worked in the Contract Unit immediately preceding the week in which the Short Workweek occurs.

(c) With respect to a Regular Benefit or Automatic Short Week Benefit, the Base Hourly Rate as determined in Subsection (a) or (b) above shall be adjusted to reflect the amount of the improvement factor increase, if any, which became effective (pursuant to the Collective Bargaining Agreement) after the day or period (or during the period) used to establish his Base Hourly Rate. In such event, the amount of improvement factor increase shall be the amount applicable to the job classification in which the Employee worked either on the day, or the last day of the period, whichever is applicable, for which his Base Hourly Rate was determined under Subsection (a) or (b) above. The adjusted Base Hourly Rate shall be effective with
respect to Benefits which may be payable for and subsequent to the Week in which such improvement factor increase became or becomes effective;

(5) “Benefit” means an Alternate Benefit, an Automatic Short Week Benefit, a Leveling Week Benefit, a Regular Benefit, a Transition Assistance Plan Benefit or any two (2) or more as indicated by the context:

(a) “Alternate Benefit” means the Benefit payable to an eligible Employee in certain circumstances in a state which does not permit Supplementation;

(b) “Automatic Short Week Benefit” means the Benefit payable to an eligible Employee for a Short Workweek;

(c) “Leveling Week Benefit” means the Regular Benefit payable to an eligible Employee because, with respect to the Week, the Employee was serving a State System “waiting week” during a period while the Employee had sufficient Seniority to work in the plant but was laid off out of line of Seniority in accordance with the terms of the Collective Bargaining Agreement (but not including a layoff under the provisions of Section 16(d) or Section 21 of Article VIII of the Collective Bargaining Agreement);

(d) “Regular Benefit” means the Benefit payable to an eligible Employee for a Week of layoff in which the Employee performed no work for the Company and received no jury duty pay, bereavement pay or military pay from the Company, or for which the Employee received holiday pay from the Company if the Employee was not eligible for an Automatic Short Week Benefit for such Week;

(e) “Transition Assistance Plan Benefit” means the Benefit payable to an eligible Traditional Employee for a qualifying Week of indefinite layoff, after the Employee’s Regular Benefit eligibility has been exhausted.

(6) “Board” means the Board of Administration under the Plan;

(7) “Break in Seniority” means break in or loss of Seniority pursuant to the Collective Bargaining Agreement;
(8) “Collective Bargaining Agreement” means the collective bargaining agreement between the Company and the Union which is in effect at the particular time;

(9) “SUB Maximum Financial Liability Cap” means the amount available for SUB Benefits as described under Article VII, Section 2(c);

(10) “Company” means Ford Motor Company

(11) “Compensated or Available Hours” shall include:

(a) All hours for which an Employee receives pay from the Company with each hour paid at premium rates to be counted as one (1) hour (excluding pay in lieu of vacation and overtime hours, if before a layoff of an employee during a Week, notice of intent, which shall include without limitation either notice of the overtime schedule which would be applicable to the Employee or an offer of work to the Employee, had not been given to Employees by the Company);

(b) All hours scheduled or made available by the Company but not worked by the Employee, after reasonable notice has been given to the Employee (including any period on leave of absence); provided, however, if the hours made available but not worked were:

(i) Straight-time hours, which the Employee had an option to refuse under the Collective Bargaining Agreement or which he could refuse without disqualification under 3(b)(3) of Article I, or

(ii) Overtime hours which the Employee was prohibited from working due to written restrictions concerning the number of hours that the Employee could work on a given day or in a given Week, imposed by the Employee’s personal physician and concurred in by the Plant Physician

such hours are not to be considered as hours made available by the Company;
(c) All hours not worked by the Employee because of any of the reasons disqualifying an Employee from receiving a Benefit under Section 3(b)(2) of Article I;

(d) All hours not worked by the Employee which are in accordance with a written agreement between the local management and the local union or which are attributable to absenteeism of other employees; and

(e) With respect to a Part Time Employee, or an Employee on a three (3)-shift operation on which eight (8) hour shifts of work are not scheduled, or an Employee on any shift of work on which less than forty (40) hours of work per Week are regularly scheduled, the number of hours by which the number of hours for which such Employee is regularly compensated during a Workweek are less than forty (40);

(12) “Contract Unit” means the unit of Employees covered at the particular time by the Collective Bargaining Agreement;

(13) “Covered Employee” means an Employee in a state in which the provisions of the Plan relating to Benefits are in effect;

(14) “Dependent” means a spouse or a person qualifying for exemption as a dependent under the Internal Revenue Code;

(15) “Employee” means an hourly rated Employee in the Contract Unit and an “In-Progession Employee” means an hourly rated seniority Employee as defined in Appendix V.

(16) “Effective Date” means November 23, 2015;

(17) “Fund” means a trust fund established under the Plan to receive and invest Company contributions and to pay Benefits and Separation Payments;

(18) “Guaranteed Benefit Account” means the amount provided under the 1987 SUB Plan;

(19) “Insurance Program” means the insurance program referred to in Section 27 of Article IX of the Collective Bargaining Agreement;
“Local Committee” means the Committee established by the Board with respect to each Plant to handle Employee appeals from Company determinations;

“Plan” means the amended Supplemental Unemployment Benefit Plan as set forth in this Part B;

“Part Time Employee” means an hourly rated Employee in the Contract Unit, excluding Employees on three (3)-shift operations on which eight (8) hour shifts of work are not scheduled, who, on a regular and continuing basis, performs jobs having definitely established working hours, but the complete performance of which requires fewer hours of work than the regular Workweek, provided that the services of such Employee are normally available for at least half of the employing unit’s regular Workweek;

“Plant” shall be deemed to include any manufacturing or assembly plant, works, parts depot, or other Company activity at which there are Employees;

“Regular Benefit” means a weekly benefit payable under Section 1 of Article II (See definition of “Benefit”);

“Scheduled Short Workweek” and “Unscheduled Short Workweek” mean:

1. A Scheduled Short Workweek with respect to an Employee is a Short Workweek which management schedules in order to reduce the production of the Plant, department or other unit in which the Employee works to a level below the level at which the production of such Plant, department or unit would be for the Week were it not a Short Workweek, but only where such reduction of production is for the purpose of adjusting production to customer demand.

2. An Unscheduled Short Workweek with respect to an Employee is any Short Workweek:

   i. Which is not a Scheduled Short Workweek as defined in Paragraph (1) of this Subsection;
(ii) In which an Employee returns to work from layoff to replace a separated or absent Employee (including an Employee failing to respond or tardy in responding to recall), or returns to work, after a full Week of layoff, in connection with an increase in production, but only to the extent that the Short Workweek is attributable to such cause; or

(iii) In which an Employee last works at the beginning of, or in which he first works at the end of, a model change period as defined under Article VIII, Section 21(a) of the Collective Bargaining Agreement.

(3) For any Short Workweek which includes both Scheduled and Unscheduled Short Workweek circumstances with respect to an Employee;

(i) The number of hours by which forty (40) exceeds the Compensated or Available Hours shall be deemed to be hours for which a Benefit for a Scheduled Short Workweek is paid to the extent that such hours do not exceed the hours not worked for reasons set forth in Paragraph (1) of this subsection; and

(ii) Any remaining hours shall be deemed to be hours for which a Benefit is paid for an Unscheduled Short Workweek.

(26) “Seniority” means seniority status under the Collective Bargaining Agreement;

(27) “Separation Payment” means a lump sum amount payable to an eligible Employee by reason of qualified layoff and certain separations from the Company;

(28) “Short Workweek” means a Workweek during which an Employee has less than forty (40) Compensated or Available Hours and (a) during which he performs some work for the Company or (b) for which he receives some jury duty pay, bereavement pay or military pay from the Company, or (c) for which he receives only holiday pay from the Company and, for the immediately preceding Workweek, he either received an
Automatic Short Week Benefit or had forty (40) or more Compensated or Available Hours;

(29) “State Benefit and Other Compensation” means a State System Benefit and other compensation or benefits for unemployment as defined in Section 3 of Article II;

(30) “State System” means any system or program established pursuant to any state or federal law for paying benefits to persons on account of their unemployment under which an individual’s eligibility for benefit payments is not determined by application of a “means” or “disability” test. State System also includes:

(a) Any such system or program established by law to supplement, replace or extend the benefits available under any state or federal laws for paying benefits to persons on account of their unemployment (such as the Trade Readjustment Allowances provided under the Federal Trade Expansion Act of 1962, as amended, and the Trade Act of 1974), or

(b) Any such system or program established for the primary purpose of education or vocational training where such programs may provide for training allowances;

(31) “State System Benefit” means a benefit payable under a State System, including any dependency allowances and training allowances but excluding any allowances for transportation, subsistence, equipment or other cost of training and excluding any “back-to-work” payment for a week made, in addition to the regular State System Benefit otherwise payable for such week, to an applicant who has been on layoff for a prescribed number of weeks and returns to full-time work within a prescribed period, and also shall mean a lost time benefit which an Employee received under a Workers’ Compensation law or other law providing benefits for occupational injury or disease, while not totally disabled and while ineligible for an accident and sickness benefit under the Insurance Program. If an Employee receives a Workers’ Compensation benefit while working full-time and a higher Workers’ Compensation benefit
while on layoff from the Company, only the amount by which the Workers’ Compensation benefit is increased shall be included;

(32) “Supplementation” means recognition of the right of a person to receive both a State System Benefit and a Regular Benefit under the Plan for the same week of layoff at approximately the same time and without reduction of the State System Benefit because of the payment of a Regular Benefit under the Plan;

(33) “Trustee” means the trustee or trustees of the Fund established under the Plan;

(34) “Union” means International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW;

(35) “Unscheduled Short Workweek” means a Short Workweek as described in Definition 25 above;

(36) “Week” when used in connection with eligibility for and computation of Benefits with respect to an Employee means:

(a) A period of layoff equivalent to a Workweek, or

(b) A Workweek for which the total pay received or receivable by a Covered Employee from the Company (excluding payments in lieu of vacation) and any amount of pay which could have been earned, computed as if payable, for hours made available by the Company but not worked (excluding, however, hours not worked which the Employee had an option to refuse under the Collective Bargaining Agreement or could refuse without disqualification under Section 3(b)(3) of Article I), is less than the benefit amount described in Article II, Section 1(a) or

(c) A Short Workweek.

“Week of layoff” shall include any such Week; provided, however, that if there is a difference between the starting time of a Workweek and of a Week under an applicable State System, the Workweek shall be paired with the Week under the State System which corresponds most closely
thereto in time; and provided, further, that if an Employee is ineligible for a State System Benefit because of any of the reasons set forth in Section 1(b) of Article I (excluding the reasons under items (iii) and (iv) thereof) for the entire continuous period of layoff, the Week under the State System shall be deemed to be the same as the Workweek. If an Employee becomes ineligible for a State System Benefit because of any of the aforementioned reasons during a continuous period of layoff the Week under the State System shall continue to mean, for the duration of the layoff period during which the Employee so remains ineligible for a State System Benefit, the seven (7) day period for which a State System Benefit was last paid to the Employee during such continuous period of layoff. Each Week within a continuous period of layoff does not constitute a new or separate layoff. Notwithstanding the foregoing provisions of this definition, if an Employee is ineligible for a State System Benefit because of the reason set forth in item (iii) of Section 1(b) of Article I, the Week under the State System shall mean the seven (7) day period which would have been used by the State System if the Employee had applied for a State System Benefit on the first day of partial or full layoff in the Workweek and had been eligible otherwise for such State System Benefit;

(37) “Weekly Straight-Time Pay” means an amount equal to an Employee’s Base Hourly Rate (plus any applicable cost-of-living allowance in effect at the time of computation of the Regular Benefit, but excluding all other premiums and bonuses of any kind) multiplied by forty (40); provided, however, that for a Part Time Employee such Base Hourly Rate (plus any applicable hourly cost-of-living allowance in effect at the time of computation of the Regular Benefit, but excluding all other premiums and bonuses of any kind) shall be multiplied by the number of hours such Employee is regularly scheduled to work during a Workweek;

(38) “Weekly After-Tax Pay” means the amount of an Employee’s Weekly Straight-Time Pay reduced by the sum of all federal, state and municipal taxes and contributions which would be
required to be collected, deducted, or withheld by the Company from a regular weekly wage of such amount if paid to him for the last Pay Period he worked in the Contract Unit;

(39) “Workweek” or “Pay Period” means a period commencing with the No. 1 shift Monday and ending 168 hours thereafter;

(40) “ERISA” means the Employee Retirement Income Security Act of 1974 as amended;

(41) The “Board of Directors” means the Board of Directors of Ford Motor Company; and

(42) “Internal Revenue Code” or “Code” means the Internal Revenue Code of 1986, as amended; and

(43) The term “Act of God” means an occurrence or circumstance directly affecting a Company Plant or Plants which results from natural causes exclusively and is in no sense attributable to human negligence, influence, intervention or control; the result solely of natural causes and not of human acts.

(44) “Qualifying Layoff” means indefinite layoff, or temporary layoff in an instance, as jointly indentified by the parties, in which the Company modifies shifts or work schedules to enhance operating performance and continues to actively employ Employees that otherwise would be placed on indefinite layoff.
AGREEMENT CONCERNING
PROFIT SHARING PLAN
AND
FORD MOTOR COMPANY PROFIT SHARING PLAN
FOR
HOURLY EMPLOYEES IN THE UNITED STATES

On this 5th day of November, 2015 at Dearborn, Michigan, Ford Motor Company, a Delaware corporation, hereinafter referred to as the Company, and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW, an unincorporated voluntary association, hereinafter referred to as the Union, agree as follows:
PART A

AGREEMENT CONCERNING
PROFIT SHARING PLAN

Section 1. Establishment of Plan
Subject to receipt by the Company of a ruling or determination, satisfactory to the Company, from the United States Department of Labor, if such ruling is deemed necessary by the Company, holding that no part of any payments under the Plan are included for purposes of the Fair Labor Standards Act in the regular rate of any employee, the Company will establish an amended Profit Sharing Plan for Hourly Employees in the United States (herein referred to as the Plan), a copy of which is attached as Part B hereof. In the event that the Company deems such a ruling by the Department of Labor to be necessary and such ruling satisfactory to the Company is not obtained, the Company within five (5) working days after such disapproval, will give written notice thereof to the Union, and the Company, with the consent of the Director of the National Ford Department of the Union, may, during the term of this Agreement, make revisions in the Plan not inconsistent with the purposes, structure and basic provisions thereof which shall be necessary to obtain or maintain such ruling. Any such revision shall adhere as closely as possible to the language and intent of the provisions in Part B hereof.

In the event of any conflict between the provisions of the Plan and the provisions of this Agreement, the provisions of this Agreement will supersede the provisions of the Plan to the extent necessary to eliminate such conflict.

Capitalized defined terms used in this Part A shall have the meanings specified in Article I of Part B of this Agreement.

Section 2. Obligations During Term of This Agreement
(a) During the term of this Agreement, neither the Company nor the Union shall request any change in, deletion from or addition to the Plan or this Agreement, or be required to bargain with respect to any provision or interpretation of the Plan or this
Agreement; and during such period no change in, deletion from or addition to any provision, or interpretation, of the Plan or this Agreement, nor any dispute or difference arising in considering any revision under Section 1 of this Agreement, shall be an objective of, or a reason or cause for, any action or failure to act, including, without limitation, any strike, slowdown, work stoppage, lockout, picketing or other exercise of economic force, or threat thereof, by the Union or the Company.

(b) All computations made by the Company to determine NA EBIT and the Eligible Profit Share Amount, when based on the Company’s earnings before income taxes which excludes other automotive and special reconciling items that management reports to its shareholders, the investment community and to the Securities and Exchange Commission (“SEC”) as reflected in Article I. 6. and Article II of the Plan, shall be final and binding on the Union, Participants, beneficiaries, and the Company.

As described in the Company’s 2014 Annual Report, the Company’s North America segment includes primarily the sale of Ford and Lincoln brand vehicles and related service parts and accessories in North America (the United States, Canada and Mexico). If the Company modifies its North America segment or segment results such that, under generally accepted accounting principles, a restatement of the segment reporting footnote in the audited, annual consolidated financial statements is made, the parties will meet to determine a mutually agreeable solution for determining profit sharing under the Plan on a prospective basis.

(c) The Company shall disclose to the Union on an annual basis a schedule in a form attached hereto. In addition, the Company will respond as soon as practicable to reasonable requests from the Union for information regarding the calculations and information used in determining any Profit Share Amount. The Union may, at its own expense, engage independent consultants to review the information provided by the Company pursuant to this Subparagraph 2(c). Provided, however, that prior to any
such additional disclosures the parties will discuss and agree upon mutually satisfactory language to protect confidential and personally identifiable information.

(i)

**FORD MOTOR COMPANY**

**PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES 20XX PLAN YEAR**

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<tr>
<td>Eligible Profit Share Amount</td>
<td>$_________</td>
</tr>
</tbody>
</table>

Participants:
- with >= 1,850 Compensated Hours
- with < 1,850 Compensated Hours

Average Compensated Hours for Participants
- With < 1,850 Compensated Hours

Total Plan Year Profit Sharing Fund $_________

Total Compensated Hours

Profit Share Per Compensated Hour $_________
FORD MOTOR COMPANY
PROFIT SHARING PLAN FOR HOURLY EMPLOYEES
IN THE UNITED STATES
20XX PLAN YEAR

<table>
<thead>
<tr>
<th>Compensated Hours</th>
<th>Participants</th>
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<tbody>
<tr>
<td>0.00 – 100.00</td>
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<td>100.01 – 200.00</td>
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<td>200.01 – 300.00</td>
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<tr>
<td>1,800.01 – 1,849.99</td>
<td></td>
</tr>
<tr>
<td>=&gt; 1,850.00</td>
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</tbody>
</table>

Total Participants
Section 3. Nonapplicability of Collective Bargaining Agreement Grievance Procedure

No matter respecting the Plan as supplemented by this Agreement or any difference arising thereunder shall be subject to the Grievance Procedure established in the Collective Bargaining Agreement between the Company and the Union.

Any dispute or disagreement arising between the Company and the Union with respect to this Agreement or the Plan shall be immediately referred to the Vice President and Director of the UAW National Ford Department and the Company’s Vice President, Labor Affairs. The Company and the Union recognize it is in the best interests of the parties to work diligently to resolve such disputes or disagreements. If the parties are unable to obtain a mutually agreeable resolution to such a dispute or disagreement, then either party may refer such dispute or disagreement to a mutually acceptable impartial person for resolution upon 30 days’ notice to the other party. The resolution of any such dispute or disagreement by such impartial person shall be in accordance with, and subject to, the provisions of the Plan, and shall be final and binding upon the Union, Participants, beneficiaries and the Company. Such impartial person shall not, however, have any authority to determine accounting policies or any adjustment made by the Company used in the computation of NA EBIT or to change the dollar amount of NA EBIT. The determination of accounting policies (e.g., depreciation, LIFO, expense allocation, etc.), so long as they are within generally accepted accounting principles, remains within the sole discretion of the Company and such determination of accounting policies shall be final and binding upon the Union, Participants, beneficiaries and the Company. However, to the extent provided in the “Memorandum of Exceptions to Section 3,” and for purposes of the Plan only, the impartial person shall have authority to resolve disputes and disagreements between the parties such that Eligible Profit Share Amounts are calculated with the core principle that Employees deserve to share in the economic gains the Company realizes from its North American automotive
operations. Accordingly, the impartial person shall be empowered to resolve such disputes and disagreements between the parties based on the idea that Eligible Profit Share Amounts should reflect and be linked to the nature of the profitability figures the Company reports to investors. Under such circumstances, the impartial person may modify the Eligible Profit Share Amount for purposes of payment under the Profit Sharing Plan. The impartial person shall have the authority to resolve any disputes or disagreements which may arise out of the last sentence of Section 2(b) of this Agreement (e.g., Company modification of its NA automotive segment).

With respect to matters referred to the impartial person, the compensation of the impartial person, which shall be in such amount and on such basis as may be determined by the Company and the Union, shall be shared equally by the Company and the Union.

Absent the parties' agreement on an impartial person, and upon 60 days' notice by either party, each party shall submit a description of the nature of the disagreement to the Federal Mediation and Conciliation Service (FMCS) who shall provide a list of seven (7) arbitrators, each of whom is a member of the National Academy of Arbitrators and an attorney and/or retired judge and experienced in the area of the disagreement and/or in resolving disputes concerning collectively bargained profit sharing plans, enhanced and incentive pay plans. No later than seven (7) days following receipt of the initial panel, either party may request a second panel, which will be provided at the cost of the requesting party. Once the panel is settled upon, the parties shall alternatively strike names from the list until one name remains. The order of strikes shall be determined by coin flip. The impartial person will be notified of their selection.
Section 4. Effective Dates and Duration
The Plan as amended will become effective January 1, 2016, except as otherwise provided therein, and this Agreement and the Plan will continue in effect until the termination of the Collective Bargaining Agreement dated November 5, 2015, between the Company and the Union. Except as otherwise provided in the Plan, the Agreement dated November 5, 2015 and the Plan incorporated therein shall remain in effect until December 31, 2019 and shall govern payments in 2020 based on any profits in 2019.

Section 5. Notice
1. Any notice under this Agreement shall be in writing and shall be sufficient, if sent by mail addressed, if to the Union, to International Union, UAW, 8000 East Jefferson Avenue, Detroit, Michigan 48214, or to such other address as the Union shall furnish to the Company in writing, and if to the Company, to Ford Motor Company, Dearborn, Michigan 48126, Attention: Group Vice President, Human Resources and Corporate Services, or to such other address as the Company shall furnish to the Union, in writing.

2. In the event of a change in a Company designated officer’s title, the officer or officers with the functional responsibility for the Plan shall have the authority to the extent described in this Section.
IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the date first above written.

**FORD MOTOR COMPANY**

William C. Ford, Jr.  
Mark R. Fields  
Joe Hinrichs  
John J. Fleming  
William P. Dirksen  
Bruce Hettle  
Stacey Allerton  
Bernie Swartout  
Jack L. Halverson  
Alan Evans  
Frederiek Toney  
Anthony Hoskins  
Alex Maciag  
Helmut E. Nittmann  
David Cook

**Jim Larese**  
**James E. Brown**  
**Steve Guilfoyle**  
**Tyffani Morgan-Smith**  
**Mark Jones**  
**Julie Lavender**  
**Stephen M. Kulp**  
**Terri Faison**  
**John Wright**  
**Don Gelinas**  
**Cameron Ruesch**  
**Christine Baker**

**UAW**

International Union  
Dennis Williams  
Jimmy Settles  
Greg Drudi  
Chuck Browning  
Darryl Nolen  
Bob Tiseo  
Don Godfrey  
Garry Bernath

**National Ford Council**  
Bernie Ricke, Subcouncil #1  
Scott Eskridge, Subcouncil #2  
Anthony Richard, Subcouncil #1  
Tim Rowe, Subcouncil #2  
Fred Weems, Subcouncil #2  
Jeff Wright, Subcouncil #2  
Greg Tyler, Subcouncil #3  
Mike Beydoun, Subcouncil #3  
T. J. Gomez, Subcouncil #4  
Mark Payne, Subcouncil #4  
Dave Mason, Subcouncil #5  
Jim Caygill, Subcouncil #5  
Romeo Torres, Subcouncil #7

Anderson Robinson Jr., Recording Secretary
I. PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES

PART B

FORD MOTOR COMPANY PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES

The purpose of this Plan is to make provision for profit sharing payments by the Company to eligible hourly employees, thus affording them a means of participating in the growth and success of the Company resulting from improved productivity and operating competitiveness as well as providing new sources of income for such employees.

I. Definitions

As used in this Plan:

1. “Administrator” shall mean Ford Motor Company.

2. “Company” shall mean Ford Motor Company, a Delaware corporation.

3. “Compensated Hours” shall mean

   (a) All hours in any Plan Year for which a Participant who is eligible to receive a payment for a Plan Year received pay from the Company with respect to hourly-rate employment as a Participant during the Plan Year on or after a Participant’s Date of Participation. The Compensated Hours shall include hours for which a Participant who is eligible to receive a payment for a Plan Year receives base pay, overtime (with each hour paid at premium rates to be counted as one hour), vacation pay, holiday pay, bereavement pay, apprentice training hours, jury duty pay, short-term military duty pay, family day pay and call-in pay; provided, however, no hours shall be duplicated because of payment under more than one category of Compensated Hours. The term shall not include hours compensated in any other form (e.g., Cost-of-Living Allowance, night-shift premium, seven-day premium, incentive pay, moving
allowance, supplemental unemployment benefit payments under the Company’s Supplemental Unemployment Benefit Plan and Transition Assistance Plan (including automatic short work week benefit payments), sickness and accident benefits, extended disability benefits, and allocations under the Plan).

(b) The term Compensated Hours shall include, for a Participant who otherwise is eligible to receive a payment for a Plan Year, 40 hours for each complete calendar week during such Plan Year that the Participant is on an approved sick leave of absence and for such complete calendar week has received Workers’ Compensation payments from the Company as the result of a totally disabling occupational injury or disease under any Workers’ Compensation law or act or any occupational disease law or act, provided:

(i) The Participant otherwise would have been scheduled to work all hours during such complete calendar week(s); and

(ii) The Participant is actively at work for the Company during at least one complete calendar week in the Plan Year; and

(iii) Such Workers’ Compensation benefits were paid, either voluntarily or because the Company failed to appeal the adverse determination of an applicable state agency or court, as the result of a totally disabling occupational injury or disease under any Workers’ Compensation law or act or any occupational disease law or act; and

(iv) The person was a Participant during each such Plan Year.

4. “Date of Participation” shall mean, with respect to any person, the later of (a) the date on which such person became a full-time hourly employee, (b) the first day of the first full pay period beginning on or after the date on
which any such person who was employed on a temporary part-time or short-term supplemental basis became a full-time hourly employee, or (c) the date on which this Plan first became applicable to the unit in which such person was employed.

5. “Eligible Profit Share Amount” shall mean the amount calculated by multiplying 1.0 by each 1.0 million dollars of NA EBIT. Should NA EBIT be equal to or less than $1,250 million the maximum amount per Participant shall be zero dollars ($0).

An employee who is eligible under this Plan at any time during a Plan Year pursuant to Paragraph 7(b) of this Article I shall have Compensated Hours credited, for each calendar week or part thereof, on or after the Date of Participation applicable to such Participant, while on Local Union leave, with an amount up to the straight time hourly base wages hours (for a maximum of forty (40) hours) such Participant would have worked if employed during such calendar week or part thereof.

6. “NA EBIT” shall mean the Company’s North American income or loss before income taxes excluding extraordinary items, other automotive reconciling items (i.e. income/expense on debt and investments and related fair value adjustments) and special reconciling items as determined by the Company, in the manner used to report 2014 Ford North America “Income/Loss before income taxes” in Note 24 of the Company’s 2014 Form 10-K. As in 2014, this definition will result in the exclusion from NA EBIT of non-operating results that management does not consider when assessing and measuring the operational and financial performance of Ford North America. In the event changes in terminology, reporting requirements or reporting practices (e.g. elimination of Sarbanes-Oxley Act) affect the calculation or public disclosure of NA EBIT, as defined above, the affected calculation shall be performed in a manner consistent with the disclosure of financial performance to the Company’s shareholders.
and/or investment analysts of the Company’s operational and financial performance for North America. **In the event of a future change in the disclosure of NA EBIT, the Company is required to inform the Union of the change, and the parties will meet to discuss it, to the extent permissible under applicable securities laws.**

7. (a) “Participant” shall mean, with respect to any Plan Year, any person who met all of the following requirements at any time during such Plan Year:

   (i) Such person was employed full time at an hourly rate on the active employment rolls maintained by the Company in the United States (except that any such person who was so employed on a temporary part-time or **short**-term supplemental basis shall be excluded from the definition of “Participant”); and

   (ii) Such person, if represented by a Union, was covered by an agreement making this Plan applicable to such person or, if such person was not represented by a Union, such person was employed in a unit to which the Company had made this Plan applicable;

including any person who met such requirements at any time during such Plan Year and (1) was on layoff or approved leave, including expired medical leave, at the end of such Plan Year, or (2) retired during such Plan Year, (3) died during such Plan Year, or (4) was terminated by the Company during such Plan Year as a result of the sale by the Company of the operation, or a controlling interest in the operation, in which such person was employed; provided, however, that any person who terminated during such Plan Year (without being reinstated at the end of such Plan Year), for any reason other than death, retirement, sale of an operation, or a controlling interest in an operation, or any voluntary termination of employment program developed under the Job
Security Program shall be excluded from the definition of “Participant”.

(b) Notwithstanding the foregoing, any person who would otherwise be a “Participant” as defined above and who is on a leave of absence under Article VIII, Section 31 (a) of the Collective Bargaining Agreement dated November 5, 2015 between the Company and the UAW, or under a similar provision of any other collective bargaining agreement, shall be a “Participant” for purposes of this Plan if such leave was granted for the purpose of permitting such person to engage in the business of or to work for the Local Union and if such person is involved in the in-plant administration of the provisions of such collective bargaining agreement, provided such person meets the requirements of such leave, and provided further that, immediately prior to such leave, such person met the requirements of Subparagraphs (i) and (ii) of Paragraph (a) above.


9. “Plan Year” shall mean the 12-month period beginning on January 1 and ending on December 31.

10. “Plan Year Profit Sharing Fund” shall mean, for any Plan Year:

(i) An amount determined by multiplying the Eligible Profit Share Amount by the number of Participants with greater than or equal to 1,850 Compensated Hours,

plus

(ii) An amount determined by multiplying the Eligible Profit Share Amount by the number of Participants with less than 1,850 Compensated Hours, the product of which will then be multiplied by the average Compensated Hours for such Participants with Compensated Hours less than 1,850 divided by 1,850.
III. PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES

11. “Profit Share Per Compensated Hour” shall mean the amount calculated by dividing the Plan Year Profit Sharing Fund for a Plan Year by the aggregate number of Compensated Hours of all Participants for such Plan Year.

12. “Profit Share Amount” shall mean the amount to be paid to a Participant for a Plan Year, determined by multiplying such Participant’s Compensated Hours for such Plan Year by the Profit Share Per Compensated Hour for such Plan Year. The Participants Profit Share Amount shall be rounded using the common method to the nearest cent. Compensated Hours shall not be capped for purposes of calculating a Participant’s Profit Share Amount.

13. “UAW” shall mean the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW.

14. “Union” shall mean the UAW and any other labor organization representing hourly employees participating in this Plan.

II. SEC Reports and Supplemental Information
The Company will file Form 10-K annually with the SEC, which will include the Company’s consolidated, audited financial statements. The audited financial statements will include a segment reporting footnote, as required under generally accepted accounting principles, which includes the Company’s North American earnings before income taxes which excludes other automotive and special reconciling items (NA EBIT). Upon filing of the Form 10-K with the SEC, the computations and calculations reflected therein, including, without limitation, the NA EBIT as utilized in this Plan, shall be final and binding on the Company, Participant and any beneficiaries for the purposes of the Plan.

III. Determination and Payment of Participants’ Profit Share Amount
1. As soon as practicable after the end of each Plan Year, the Company shall determine the Profit Share Amount for each Participant for such Plan Year. All such Participants’
III. PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES

Profit Share Amounts shall be paid to the Participants (or to the beneficiaries of any deceased Participants as provided in Article IX hereof) in cash, unless an election is made pursuant to Paragraph 2 of this Article III, on or before the fifteenth day of the third month following the end of the Plan Year or 30 days after filing the Form 10-K with the SEC, whichever is later; provided, however, that all payments shall be made on or before one year following the end of such Plan Year and the Company shall deduct from the amount of any such payment to a Participant (or beneficiary) any amount required to be deducted, by reason of any law, regulation, levy or court order, including without limitation, for payment of taxes or other payments to any federal, state or local government. Each payment shall be accompanied by a statement showing the computation of such Participant’s Profit Share Amount. Withholding tax obligations of the Company with respect to any such payment will be satisfied as determined by the Company. No interest shall be payable with respect to any such Profit Share Amount.

2. In lieu of receiving a payment pursuant to Paragraph 1 of this Article III, each Participant entitled to a payment for any Plan Year of a Profit Share Amount as defined in Article I. 10., other than a Participant whose employment terminated prior to such payment, may elect to have the Company (a) contribute to the Participant’s account under the Ford Motor Company Tax-Efficient Savings Plan for Hourly Employees (“TESPHE”) provided such Participant is otherwise eligible to make contributions under the TESPHE, an amount up to 100%, in multiples of 1%, of such distribution, but not in excess of the maximum amount permitted under the Internal Revenue Code Sections 402 (g) and 415. Such contributions to TESPHE shall be subject to all applicable TESPHE provisions or (b) deposit to the Participant’s account under the Ford Interest Advantage Account an amount up to 100%, in multiples of 1%, of such payment. An employee may elect any combination of (a), (b), or payment in cash in multiples of
1%. Such election shall be made by signing and filing an election form provided by the Company or in such other manner as the Company shall determine including, without limitation, use of an automatic voice response system provided by the Company. Such election shall be made at such time as the Company shall determine. If the Company does not receive a properly completed election from a Participant on or before the date established by the Company for submission of such election for the applicable payment, such payment shall be paid to the Participant in accordance with Paragraph 1 of this Article III.

Any amounts elected to be contributed to TESPHE by a Participant pursuant to this Paragraph 2 of Article III which cannot be so contributed as a result of the application of the Internal Revenue Code shall be paid to the Participant in cash.

No option for distribution under Paragraph 1 shall apply if the Profit Share Amount is $250 or less. In this event, distribution will be made to Participants in cash.

3. In the event that it shall be determined that an error in excess of $25.00 was made in the computation of any Participant’s Profit Share Amount for any Plan Year, such error shall be dealt with as follows:

   (a) If such Participant’s Profit Share Amount (correctly determined) was greater than the amount paid to such Participant by an amount in excess of $25.00, the deficiency shall be paid to such Participant within 60 days after such determination; provided, however, that no such payment shall be required with respect to a deficiency that is $25.00 or less or after 120 days from the date the Profit Share Amount was paid if within that time no such determination of a deficiency has been made or no credible claim of deficiency has been submitted by the Participant or by the Union on behalf of the Participant.
(b) If such Participant’s Profit Share Amount (correctly determined) was less than the amount paid to such Participant by an amount in excess of $25.00, written notice thereof shall be mailed to such Participant receiving such Profit Share Amount and the Participant shall return the amount of such overpayment to the Company; provided, however, that no such repayment shall be required if notice has not been given within 120 days from the date on which the overpayment was made. If such Participant shall fail to return such amount promptly, the Company shall make a deduction from compensation payable by the Company to such Participant; provided, however, that any such deduction shall not exceed $30 from any one paycheck, but any such deduction from subsequent payments under this Plan shall not be limited.

4. Any payment made to but not claimed by the Participant may be reissued upon a proper request to the Company, provided such funds have not been surrendered by the Company pursuant to applicable escheat law.

IV. Recovery of Overpayments

If it is determined that any monies paid to a Participant under the Collective Bargaining Agreement, and any Exhibits thereto, should not have been paid or should have been paid in a lesser amount, written notice thereof shall be given to such Participant, and the Participant shall repay the amount of the overpayment. If the Participant fails to repay such amount of overpayment promptly, the Company shall recover the amount of such overpayment immediately from any monies then payable, or which may become payable, to the Participant in the form of wages or benefits payable under the Collective Bargaining Agreement and any Exhibits thereto; except that, not more than 50% of any Profit Share Amount to which a Participant otherwise may be entitled shall be subject to any such recovery. Amounts so deducted shall be remitted to the Company or the
V. Payments Made for Prior Plan Years
Notwithstanding any other provision of this Plan, any person who was terminated during a Plan Year for discharge, failure to report or overstaying leave, and who is reinstated through the Grievance Procedure in a later Plan Year, shall receive after such reinstatement a payment for the Plan Year in which such person was terminated equal to the Participant’s Profit Share Amount that would have been payable, based on the Compensated Hours received by such person for such Plan Year, less any Profit Share Amount previously paid to such person for such Plan Year, and any Compensated Hours resulting from a back pay award shall be included as Compensated Hours only for the Plan Year for which the back pay is awarded.

VI. Operation and Administration
Except as provided for in Section 3, the Company as Administrator of the Plan shall have the authority to control and manage the operation and administration of this Plan. The Company will have full power and authority to construe, interpret, and administer this Plan and to pass upon and decide cases presenting claims in conformity with the objectives of the Plan and under such rules as it may establish from time to time. Decisions of the Company will be final and binding upon any Participant or beneficiary.

VII. Notice of Denial
The Company shall provide adequate notice in writing to any Participant or beneficiary whose request for a payment or for a payment in a greater amount under this Plan has been denied, setting forth the specific reason or reasons for such denial. The Participant or beneficiary shall be given an opportunity for a full and fair review by the Company of the decision denying the request. The Participant or beneficiary shall be given a reasonable period of time, to be established by the Company
IX. PROFIT SHARING PLAN FOR HOURLY EMPLOYEES IN THE UNITED STATES

from the date of the notice denying such request, within which to request such review. The Union shall be advised of the results of such review.

VIII. Notices, etc.

1. All notices, statements and other communications from the Company to a Participant or beneficiary required or permitted hereunder shall be deemed to have been duly given, furnished, delivered or transmitted, as the case may be, when delivered to (or when mailed by first-class mail, postage prepaid and addressed to) such Participant or beneficiary at his or her address last appearing on the books of the Company or, in the case of a Participant, delivered to the Participant at his or her normal work station.

2. All notices, instructions and other communications from a Participant to the Company required or permitted hereunder (including, without limitation, designations of beneficiaries and revocations and changes thereof) shall be in the respective formats from time to time prescribed for it by the Company, shall be mailed by first-class mail or delivered to such location as shall be specified in regulations or upon the forms prescribed by the Company and shall be deemed to have been duly given and delivered upon receipt by the Company at such location.

IX. Designation of Beneficiaries

1. A Participant shall be deemed to have designated as beneficiary or beneficiaries under this Plan the person or persons who receive the Participant’s life insurance proceeds under the Company Group Life and Disability Insurance program unless such Participant shall have assigned such life insurance or shall have filed with the Company a written designation of a different beneficiary or beneficiaries (subject to such limitations as to the classes and number of beneficiaries as the Company from time to time may prescribe) to receive distribution of the Participant’s Profit Share Amount in the event of the death
of such Participant. A Participant may from time to time revoke or change any such designation of beneficiary. Any such designation of beneficiary shall be controlling over any testamentary or other disposition. In the event of the death of a Participant, the Participant’s Profit Share Amount shall be distributed to such beneficiaries who shall survive such Participant, in accordance with such designation (to the extent effective and enforceable at the time of such Participant’s death) and the provisions of this Plan, subject to such regulations as the Company from time to time may prescribe in respect of distributions to minors; provided, however, that, if the Company shall be in doubt as to the right of any such beneficiary to receive any such Profit Share Amount, the Company may deliver the same to the estate of such Participant, in which case the Company shall not be under any further liability to anyone.

2. Except as hereinabove provided, in the event of the death of a Participant, the Profit Shares of such Participant shall be delivered to such Participant’s estate.

X. **Nonalienation**

Except as provided by applicable law and the recovery of overpayments under Section 3 of Article III and Article IV, no right or interest of any Participant under this Plan shall be assignable or transferable, in whole or in part, either directly or by operation of law or otherwise, including, without limitations, by execution, levy, garnishment, attachment, pledge or in any other manner, but excluding devotion by death or mental incompetency; no attempted assignment or transfer thereof shall be effective; and no right or interest of any Participant under this Plan shall be liable for, or subject to, any obligation or liability of such Participant.

XI. **Incapacity**

In the event a court of competent jurisdiction determines that a Participant or beneficiary to whom a Profit Share Amount is payable under this Plan lacks the capacity to handle their own affairs due to illness, accident or other infirmity or personal circumstances, any payment under this Plan shall be paid to any person or party (including a private or public institution) to
whom or to which a court of competent jurisdiction has granted authority to receive such Plan payments on behalf of such Participant or beneficiary.
AGREEMENT CONCERNING
TAX-EFFICIENT SAVINGS PLAN
FOR HOURLY EMPLOYEES
AND
FORD MOTOR COMPANY
TAX-EFFICIENT SAVINGS PLAN
FOR HOURLY EMPLOYEES

On this 5th day of November, 2015, at Dearborn, Michigan, Ford Motor Company, a Delaware corporation, hereinafter designated as the Company, and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW, an unincorporated voluntary association, hereinafter designated as the Union, agree as follows:
AGREEMENT CONCERNING TAX-EFFICIENT SAVINGS PLAN FOR HOURLY EMPLOYEES

Section 1. Continuation of Plan
Subject to the approval of the Company’s Board of Directors and receipt by the Company of approval by the Internal Revenue Service as meeting the requirements of Sections 401(a) and 401(k) of the Internal Revenue Code, the Company will continue the Tax-Efficient Savings Plan for Hourly Employees (hereinafter referred to as the Plan) in the form that has been agreed to by the parties, as provided in Section 5 herein. In the event that an Internal Revenue Service ruling acceptable to the Company is not obtained, the Company, within 30 days after such disapproval, will give written notice thereof to the Union and this Agreement Concerning Tax-Efficient Savings Plan for Hourly Employees (this “Agreement”) shall thereupon have no force or effect. In that event, the matters covered by this Agreement shall be the subject of further negotiation between the Company and the Union with respect to adopting a program adhering as closely as possible to the language and intent of the provisions outlined in the Plan for which a favorable ruling may be obtained.

Section 2. Administration
The Plan will be maintained under provisions of Sections 401(a) and 401(k) of the Internal Revenue Code of 1986, as amended. In the event of any conflict between the provisions of the Plan and the provisions of this Agreement, the provisions of this Agreement will supersede the provisions of the Plan to the extent necessary to eliminate such conflict.

Section 3. Obligations During Term of This Agreement
During the term of this Agreement, neither the Company nor the Union shall request any change, deletion from or addition to the Plan or this Agreement, except as required to maintain qualification of the Plan under Sections 401(a) and 401(k) of the Internal Revenue Code, and for compliance with ERISA and any other legislation governing such plans, or be required to
bargain with respect to any provision or interpretation of the Plan or this Agreement; and during such period no change in, deletion from or addition to any provision, or interpretation, of the Plan or this Agreement, nor any dispute or difference occurring in any negotiations pursuant to Section 1 of this Agreement shall be an objective of, or a reason or cause for, any action or failure to act, including without limitation, any strike, slowdown, work stoppage, lockout, picketing or other exercise of economic force, or threat thereof, by the Union or the Company.

Section 4. Nonapplicability of Collective Bargaining Agreement Grievance Procedure

No matter respecting the Plan as supplemented by this Agreement or any difference arising thereunder shall be subject to the Grievance Procedure established in the Collective Bargaining Agreement between the Company and the Union.

Section 5. Term of Agreement; Notice to Modify or Terminate

This Agreement and the Plan will continue in effect until the termination of the Collective Bargaining Agreement dated November 5, 2015 between the Company and the Union. The Plan shall be renewed automatically for successive one-year periods thereafter unless either party shall give written notice to the other at least 60 days prior to September 14, 2019, (or any subsequent anniversary date) of its desire to amend or modify the Plan as of one of the dates specified in this Section (it being understood, however, that the foregoing provision for automatic one-year renewal periods shall not be construed as an endorsement by either party of the proposition that one year is a suitable term for such a Plan). If such notice is given, the Plan shall be open to modification or amendment on September 14, 2019, or the subsequent anniversary date, as the case may be.

During the term of this Agreement, including any automatic one-year renewal periods described above, except for amendments or modifications affecting the investment options made available to Members under the Plan,
including the Ford Stock Fund, the Plan may not be amended or modified in any manner, except by mutual agreement of the parties; provided, however, that if amendment or modification of the Plan is required under applicable law, the Company may, with the consent of the Union, such consent to be timely and not unreasonably withheld, amend or modify the Plan as required, adhering as closely as possible to the intent of the parties as expressed in this Agreement and the Plan.

If either party shall desire to terminate this Agreement, it may do so on September 14, 2019, or any subsequent anniversary date, by giving written notice to the other party at least 60 days prior to the date involved. Anything herein which might be construed to the contrary notwithstanding, however, it is understood that termination of this Agreement shall not have the effect of automatically terminating the Plan.

Notwithstanding termination of this Agreement and the Plan, any profit sharing distributions pursuant to the Ford Motor Company Profit Sharing Plan for Hourly Employees in the United States that otherwise would be contributed to the trust fund under this Plan with respect to calendar year 2019 shall be contributed and administered in accordance with the provisions of this Agreement and the Plan.

Any notice under this Agreement shall be in writing and shall be sufficient, if sent by mail addressed, if to the Union, to International Union, UAW, 8000 East Jefferson Avenue, Detroit, Michigan 48214, or to such other address as the Union shall furnish to the Company in writing, and if to the Company, to Ford Motor Company, Dearborn, Michigan 48121, Attention: Group Vice President-Human Resources and Corporate Services, or to such other address as the Company shall furnish to the Union, in writing.
IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the date first above written.

FORD MOTOR COMPANY

William C. Ford, Jr.                      Jim Larese
Mark R. Fields                             James E. Brown
Joe Hinrichs                                Steve Guilfoyle
John J. Fleming                             Tyffani Morgan-Smith
William P. Dirksen                          Mark Jones
Bruce Hettle                                Julie Lavender
Stacey Allerton                             Stephen M. Kulp
Bernie Swartout                              Terri Faison
Jack L. Halverson                           John Wright
Alan Evans                                   Don Gelines
Frederiek Toney                             Cameron Ruesch
Anthony Hoskins                             Christine Baker
Alex Maciag                                   
Helmut E. Nittmann                          
David Cook                                   

UAW

International Union                       National Ford Council
Dennis Williams                           Bernie Ricke, Subcouncil #1
Jimmy Settles                              Scott Eskridge, Subcouncil #2
Greg Drudi                                Anthony Richard, Subcouncil #1
Chuck Browning                            Tim Rowe, Subcouncil #2
Darryl Nolen                               Fred Weems, Subcouncil #2
Bob Tiseo                                  Jeff Wright, Subcouncil #2
Don Godfrey                                Greg Tyler, Subcouncil #3
Garry Bernath                             Mike Beydoun, Subcouncil #3
                                           T. J. Gomez, Subcouncil #4
                                           Mark Payne, Subcouncil #4
                                           Dave Mason, Subcouncil #5
                                           Jim Caygill, Subcouncil #5
                                           Romeo Torres, Subcouncil #7
                                           Anderson Robinson Jr., Recording Secretary
I. TAX-EFFICIENT SAVINGS PLAN FOR HOURLY EMPLOYEES

FORD MOTOR COMPANY
TAX-EFFICIENT SAVINGS PLAN FOR HOURLY EMPLOYEES

This Plan has been established by Ford Motor Company (the “Company”) to enable employees to save and invest in a systematic manner and to provide them with an opportunity to become stockholders of the Company.

That portion of the Plan described in Article XXVII is intended to be an “Employee Stock Ownership Plan,” as that term is defined by the Code and, as such, is designed to invest exclusively in Company stock except for a small liquidity component to support daily activity.

This Plan document incorporates certain amendments made subsequent to the last restatement of the Plan as of October 4, 2011. This Plan document includes amendments adopted to reflect applicable provisions of the Pension Protection Act of 2006 (“PPA”). The PPA amendments are intended as good faith compliance with the requirements of PPA and are to be construed in accordance with PPA and subsequent guidance issued thereunder.

Additionally, this Plan document includes amendments adopted to reflect applicable provisions of the Heroes Earnings Assistance and Relief Act of 2008, the Emergency Economic Stabilization Act of 2008, the Worker, Retiree and Employer Recovery Act of 2008, and other applicable laws and regulatory guidance.

The Plan is intended to constitute a plan described in Section 404(c) of the Employee Retirement Income Security Act of 1974 and Title 29 of the Code of Federal Regulations Section 2550.404c-1. The fiduciaries of the Plan may be relieved of the liability for any losses which are the direct and necessary result of investment instructions given by a Member or beneficiary. Except as otherwise provided, this amendment and restatement shall be effective as of November 23, 2015, and shall supersede the provisions of the Plan in effect prior to such date.
I. **Definitions**

As hereinafter used:

1. “Account” shall mean, as appropriate, any one of a Member’s **Pre-Tax Contribution Account**, **Roth Contribution Account**, **After-Tax Contribution Account**, **Pre-Tax Catch-Up Contributions**, **Roth Catch-Up Contributions**, **Supplemental Contributions**, **Retirement Contributions**, rollover contributions or any combination of such accounts and contributions and Earnings credited thereto.

2. “After-Tax Contributions” shall mean amounts contributed by an Employee to the Plan from the Employee’s Wages, as provided in Paragraph IV hereof.

3. “After-Tax **Contribution Account**” shall mean an Account of a Member under the Plan to which are credited After-Tax Contributions made by such Employee and Earnings thereon.

4. “Bond Index Fund” shall mean that portion of the Trust Fund under the Plan consisting of investments made by the Trustee in accordance with Subparagraph 3 of Paragraph XIII hereof.

5. “Bond Index Fund Units” shall mean the measure of a Member’s interest in the Bond **Index** Fund as described in Subparagraph 3 of Paragraph XIII hereof.

6. “Cash **Value of Assets**” shall mean the value of the assets, expressed in dollars, in a Member’s Account under any investment election under the Plan or the total thereof, as the case may be, at the close of business on the date such cash value is to be determined.


8. “Collective Bargaining Agreement” shall mean the Collective Bargaining Agreement dated **November 5, 2015** between the Company and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW.
9. “Committee” shall mean the Committee created by the Company pursuant to the provisions of Paragraph XX hereof.


11. “Company Contributions” shall mean amounts contributed by the Company to the Account of a Company Contribution Eligible Employee as “Supplemental Contributions” and “Retirement Contributions”.

12. “Company Contribution Eligible Employee” (“CCE Employee”) shall mean the following Employees who are employed by a Participating Company on or after November 19, 2007:

(a) An Employee who is hired or rehired under the UAW-Ford In-Progression Wage and Benefit Agreement

(b) Skilled Direct Hire

A CCE Employee shall not include temporary employees or any group of CCE Employees specifically excluded from eligibility to receive Company Contributions, as mutually agreed between the Union and the Company.


14. “Composite Quotation Listing” shall mean a composite listing of market prices of securities supplied by a reputable financial statistical service selected by the Trustee, which listing includes the prices at which securities are traded on national securities exchanges located in the United States.

15. “Contributions” shall mean any one of a Member’s After-Tax Contributions, Tax Advantaged Contributions, Supplemental Contributions, Retirement Contributions and rollover contributions.

16. “Current Market Value” shall mean, with reference to Company stock, the closing market price on the New York Stock Exchange on the day in question or, if no sales were made on that date, at the closing market price on the next preceding day on which sales were made.
I. TAX-EFFICIENT SAVINGS PLAN FOR HOURLY EMPLOYEES

17. “Earnings”, with reference to After-Tax Contributions, Tax Advantaged Contributions, Supplemental Contributions, Retirement Contributions and any rollover contributions shall mean earnings resulting from the investment and any reinvestment of such contributions and any increment thereof and shall include interest, dividends and other distributions on such investments.

18. “Eligible Compensated Hours” shall mean:
   (a) Straight time work hours
   (b) Straight time overtime hours
   (c) Regular vacation hours
   (d) Paid holiday hours
   (e) Paid excused absence hours
   (f) Bereavement hours
   (g) Jury duty hours
   (h) Short-term military hours
   (i) Call-in hours
   (j) Grievance pay hours
   (k) Wash-up hours
   (l) Apprentice hours

   Effective January 1, 2012, the total of all such hours shall not exceed 40 hours in any weekly pay period, 2,080 hours annually.

19. “Eligibility Date” shall mean the date on which an Employee shall be eligible for membership in the Plan as provided for in Paragraph II.

20. “Employee” shall mean each person who is employed at an hourly rate by a Participating Company and is enrolled on the active employment rolls of such Participating Company maintained in the United States.


22. “Ford Service Date” shall mean the date of an Employee’s most recent hire or rehire.

23. “Ford Stock Fund” shall mean that portion of the Trust Fund under the Plan consisting of investments made by the Trustee in accordance with Subparagraph 1 of Paragraph XIII hereof.
24. “Ford Stock Fund Units” shall mean the measure of a Member’s interest in the Ford Stock Fund as described in Subparagraph 1 of Paragraph XIII hereof.

25. “Global Equity Index Fund” shall mean that portion of the Trust Fund under the Plan consisting of investments made by the Trustee in the full name “SSgA Global All Cap Equity Index NL Series Fund – Class A” in accordance with Subparagraph 2 of Paragraph XIII hereof.

26. “Global Equity Index Fund Units” shall mean the measure of a Member’s interest in the Global Equity Index Fund as described in Subparagraph 2 of Paragraph XIII hereof.

27. “Interest Income Fund” shall mean that portion of the Trust Fund under the Plan consisting of investments made by the Trustee in accordance with Subparagraph 4 of Paragraph XIII hereof.

28. “Interest Income Fund Manager” shall mean one or more persons or companies, corporations, or other organizations appointed by the Company to manage the assets of the Interest Income Fund. The Trustee may be designated an Interest Income Fund Manager by the Company.

29. “Investment Process Committee” or “IPC” shall mean the committee created by the Company pursuant to the provisions of Paragraph XX of the Plan.

30. “Investment Process Oversight Committee” or “IPOC” shall mean the committee created by the Company pursuant to the provisions of Paragraph XX of the Plan.

31. “Lump Sum Bonus Amount(s)” shall mean bonus payment(s) typically paid to Employees in the form of a lump sum, excluding moving allowances.

32. “Member” shall mean and include: (a) an Employee who shall have elected to participate in the Plan and, in the case of an Employee of a Participating Company, shall have made a Pre-Tax Contribution, Roth Contribution, After-Tax Contribution, Pre-Tax Catch-Up Contribution and/or Roth
Catch-Up Contribution to the Plan, (b) a CCE Employee, and (c) a person who has assets under the Plan.

33. “Participating Company” shall mean and include the Company and each Subsidiary of the Company that shall have elected to participate in the Plan with the consent of the Company as reflected in Appendix B.

34. “Plan Administrator” shall mean the Company, or such other person or committee of persons designated by the Company to administer the Plan on behalf of the Company, including a person or entity unrelated to the Company, hereinafter referred to as the third party plan administrator.

35. “Plan Year” shall mean the 12-month period beginning each January 1 and ending on the following December 31.

36. “Pre-Tax Catch-Up Contributions” shall mean amounts deducted from an Employee’s Wages and contributed to the Plan by the Company on behalf of the Employee as provided in Subparagraph 3 of Paragraph IV hereof.

37. “Pre-Tax Contributions” shall mean amounts contributed by the Company to the Plan on behalf of an Employee, pursuant to a Pre-Tax Contribution Election, as provided in Subparagraph 1 of Paragraph IV hereof.

38. “Pre-Tax Contribution Account” shall mean an account of a Member under the Plan to which are credited Pre-Tax Contributions on behalf of such Employee and Earnings thereon.

39. “Pre-Tax Contribution Election” shall mean an agreement between an Employee and the Participating Company to have the Employee’s Wages or Profit Sharing Amounts and Lump Sum Bonus Amounts reduced by an amount specified by the Employee, and to have an amount equal to such reduction contributed by the Participating Company to the Plan on behalf of the Employee, pursuant to Code Section 401(k) and Paragraph IV hereof.
I. TAX-EFFICIENT SAVINGS PLAN FOR HOURLY EMPLOYEES

40. “Profit Sharing Amounts” shall mean amounts distributed to hourly employees under profit sharing plans of a Participating Company.

41. “Retirement Contributions” shall mean amounts contributed by the Company to the Account of a CCE Employee under the Plan as provided in this Paragraph I and Paragraphs II and IV hereof.

42. “Retirement Contributions Account” shall mean an Account of a CCE Employee to which is credited Retirement Contributions and Earnings thereon.

43. “Retirement Plan” shall mean the Ford-UAW Retirement Plan in effect at the time or any other pension or retirement plan or program of the Company, a Participating Employer or of a Subsidiary or an Affiliate.

44. “Retirement Pursuant to the Provisions of Any Retirement Plan” shall mean retirement at or after normal retirement age, or early or disability retirement prior to normal retirement, or termination of employment after becoming eligible for retirement under the provisions of any Retirement Plan.

45. “Roth Catch-Up Contributions” shall mean amounts contributed by an Employee to the Plan from the Employee’s Wages, as provided in Subparagraph 4 of Paragraph IV hereof.

46. “Roth Contributions” shall mean amounts contributed by an Employee to the Plan, as provided in Subparagraph 2 of Paragraph IV hereof.

47. “Roth Contribution Account” shall mean an Account of a Member under the Plan to which are credited Roth Contributions on behalf of such Employee and Earnings thereon.

48. “Subsidiary” or “Affiliate” shall mean (a) all corporations that are members of a controlled group of corporations within the meaning of Code Section 1563(a), determined without regard to Code Sections 1563(a)(4) and 1563(e)(3)(c) and of which the Company is then a member, and (b) all trades or businesses,
whether or not incorporated, that, under the regulations prescribed by the Secretary of the Treasury pursuant to Code Section 414(c), are then under common control with the Company.

49. “Supplemental Contributions” shall mean amounts contributed by the Company to the Account of a CCE Employee under the Plan beginning in 2010, as provided in this Paragraph I and Paragraphs II and IV hereof.

50. “Supplemental Contributions Account” shall mean an Account of a CCE Employee to which is credited Supplemental Contributions and Earnings thereon.

51. “Tax Advantaged Contributions” shall mean any one of a Member’s Pre-Tax Contributions, Roth Contributions, Pre-Tax Catch-Up Contributions, Roth Catch-Up Contributions, or any combination of such monies.

52. “Trustee” shall mean the trustee or trustees appointed by the Company pursuant to the provisions of Paragraph XVI hereof.

53. “Trust Agreement” shall mean the agreement or agreements establishing the Trust Fund and appointing the Trustee.

54. “Trust Fund” shall mean the assets of the Plan held by the Trustee for the benefit of the Members.

55. “Union” shall mean the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW.

56. “Wages” shall mean the regular base pay for straight-time hours, including holiday pay and vacation pay (including the related excused absence allowance), and incentive pay, bereavement pay, jury duty pay, and short-term military duty pay, and the straight-time portion of any overtime hours paid, up to a total of 40 hours in a week for all such payments, any cost of living allowance applicable to the foregoing, and any performance bonus payments to which an Employee of a Participating Company is entitled prior to giving effect to any Pre-Tax Contribution Election or Pre-tax Catch-Up Contribution election. Performance Bonus Payments, if any, vacation pay,
and excused absence allowance shall qualify as Wages irrespective of the 40 hour maximum. Wages shall also include Contributions made on behalf of the Member that are not includible in the gross income of the Member by reason of the application of Code Sections 125, 132(f), 129, or 402(e)(3).

Wages shall not include any other category of compensation (e.g., overtime premium pay, Saturday and Sunday premium pay, cost-of-living allowance not applicable to the foregoing, call-in pay, shift premium pay, seven-day premium pay, holiday premium pay, grievance awards, moving allowances, supplemental unemployment benefit payments under the Company’s Supplemental Unemployment Benefit Plan (including automatic short-week benefit payments and Transition Assistance Plan benefits), suggestion awards, tool allowances, apprentice training incentives, the cost to the Participating Company of providing Group Life Insurance and Survivor Income Benefit coverages in excess of $50,000 (or any other imputed income as may be designated by law), pension or retirement plan payments, any Christmas bonus, or any other special remuneration).

The annual compensation of each Employee taken into account for determining all benefits provided under the Plan for any determination period shall not exceed the amount specified in Code Section 401(a)(17).

In addition, effective January 1, 1995, Wages for purposes of determining the amount of Contributions that may be made to the Plan by Employees whose regularly scheduled hours are less than 40 hours as a result of the establishment of a three-shift operation at the discretion of the Company shall be determined by:

(a) Multiplying the excess of 40 hours over the regularly scheduled hours by a rate equal to the sum of the regular straight-time rate and the applicable cost-of-living allowance, and

(b) Adding thereto straight-time pay and applicable cost-of-living allowance for hours worked, up to a total of 40 hours in a week for all such payments.
II. Eligibility

Except as hereinafter provided, each Employee of a Participating Company shall be eligible for membership in the Plan and to make After-Tax Contributions and Tax Advantaged Contributions to the Plan three months after such Employee’s initial date of hire. Effective January 1, 2016, or as soon as administratively applicable, for purposes of Tax Advantaged Contributions and After-Tax Contributions only, eligibility for each Employee shall begin immediately upon hire or rehire.

For purposes of this Paragraph II, a CCE Employee is first eligible for Supplemental Contributions 90 days after such CCE Employee’s Ford Service Date. Such Employee is eligible for Retirement Contributions immediately upon hire or rehire. Effective January 1, 2016, or as soon as administratively applicable, eligibility for Supplemental Contributions for each CCE Employee shall begin immediately upon hire or rehire.

The Company may in its discretion determine, in the event of the acquisition by a Participating Company (by purchase, merger or otherwise) of all or part of the assets of another corporation, that the service of a person as an employee of such other corporation shall be included in ascertaining whether the Employee has had such service as required above for eligibility, provided that the Employee shall have become an Employee of a Participating Company in connection with such acquisition.

Leased employees are not considered Employees and are therefore excluded from eligibility for membership in the Plan. The term “leased employee” includes any person (other than an Employee of the Company) who pursuant to an agreement between the Company and any other person (“leasing organization”) has performed services for the Company (or for the Company and related persons determined in accordance with Code Section 414(n)(6)) on a substantially full time basis for a period of at least one year, and such services are performed
under primary direction or control by the Company. For purposes of this subparagraph, the term Company shall include the Company and its subsidiaries.

III. Membership
Membership of any Employee in the Plan shall be entirely voluntary except as otherwise provided in Paragraph XXVI hereof.

An eligible Employee may elect membership in the Plan as of any pay period commencing after such Employee’s Eligibility Date by making a Pre-Tax Contribution Election (including a deferral of any portion of Profit Sharing Amounts and Lump Sum Bonus Amounts), a Roth Contribution election, an After-Tax Contribution election, a Pre-Tax Catch-Up Contribution election, and/or a Roth Catch-Up Contribution election in accordance with Paragraph IV hereunder.

A CCE Employee who has not otherwise elected membership under the preceding provisions of this Paragraph III shall be a Member on and after the date a Supplemental Contribution and/or Retirement Contribution is first made to the Plan on behalf of the CCE Employee.

A newly-hired Employee of a Participating Company may elect membership in the Plan prior to the date on which such Employee would otherwise become eligible for membership in the Plan for the limited purpose of making a rollover contribution to the Plan as hereinafter provided.

IV. Contributions
1. Pre-Tax Contributions
Each eligible Employee, by making a Pre-Tax Contribution Election in such form and in such manner and at such time as the Committee may prescribe, may elect to have contributed to the Plan on the Employee’s behalf:

(a) For each pay period, a Pre-Tax Contribution in such amount as the Employee may authorize at a rate of not less than one percent nor more than 50 percent in increments
of one percent, of the Employee’s Wages for such pay period, such amounts to be rounded to the nearest cent, and

(b) For each Profit Sharing Amount, and effective January 1, 2011, any amount paid at the discretion of the Company that is not part of, but paid with, the Profit Sharing Amount, a **Pre-Tax** Contribution in such amount as the Employee may authorize at a rate of not less than one percent, nor more than 100 percent, in increments of one percent, of such Profit Sharing Amount and any discretionary payment as described herein.

(c) For each Lump Sum Bonus Amount, and effective December 1, 2015, or as soon after as administratively practicable, any amount paid at the discretion of the Company that is not part of, but paid with, the Lump Sum Bonus Amount, a **Pre-Tax** Contribution in such amount as the Employee may authorize at a rate of not less than one percent, nor more than 100 percent, in increments of one percent, of such Lump Sum Bonus Amount and any discretionary payment as described herein.

Subject to the foregoing provisions of this Paragraph IV, the rate of **Pre-Tax** Contributions with respect to Wages authorized by the Employee may be decreased, increased or stopped by the Employee by delivering notice of such change in such form and in such manner and at such time as the Committee shall specify. If an Employee shall become ineligible to have **Pre-Tax** Contributions made to the Plan, the Employee’s **Pre-Tax Contribution** Election shall terminate forthwith. If the **Pre-Tax Contribution** Election of an Employee shall terminate for any reason, the Employee thereafter may, subject to the eligibility provisions of the Plan, resume the making of **Pre-Tax** Contributions to the Plan, as of the first day of any pay period by giving notice in such form and in such manner and at such time as the Committee shall specify.

The Company shall contribute to the Plan each pay period, out of current or accumulated earnings and profits, an amount equal to the aggregate of the amounts of **Pre-Tax** Contributions to be
contributed by the Company on behalf of Employees pursuant to such Employees’ **Pre-Tax Contribution** Elections with respect to such pay period.

2. **Roth Contributions**

   On and after August 1, 2013, each eligible Employee, by making a Roth Contribution election in such form and in such manner and at such time as the Committee may prescribe, may elect to have contributed to the Plan on an after-tax basis on the Employee’s behalf:

   (a) For each pay period, a Roth Contribution in such amount as the Employee may authorize at a rate of not less than one percent nor more than 50 percent in increments of one percent, of the Employee’s Wages for such pay period, such amounts to be rounded to the nearest cent, and

   (b) For each Profit Sharing Amount, and effective August 1, 2013, any amount paid at the discretion of the Company that is not part of, but paid with, the Profit Sharing Amount, a Roth Contribution in such amount as the Employee may authorize at a rate of not less than one percent, nor more than 100 percent, in increments of one percent, of such Profit Sharing Amount and any discretionary payment as described herein.

   (c) For each Lump Sum Bonus Amount, and effective December 1, 2015, or as soon after as administratively practicable, any amount paid at the discretion of the Company that is not part of, but paid with, the Lump Sum Bonus Amount, a Roth Contribution in such amount as the Employee may authorize at a rate of not less than one percent, nor more than 100 percent, in increments of one percent, of such Lump Sum Bonus Amount and any discretionary payment as described herein.

Subject to the foregoing provisions of this Paragraph IV, the rate of Roth Contributions authorized by the Employee may be decreased, increased or stopped by the Employee by
delivering notice of such change in such form and in such manner and at such time as the Committee shall specify. If an Employee shall become ineligible to have Roth Contributions made to the Plan, the Employee’s Roth Contribution election shall terminate forthwith. If the Roth Contribution election of an Employee shall terminate for any reason, the Employee thereafter may, subject to the eligibility provisions of the Plan, resume making Roth Contributions to the Plan, as of the first day of any pay period by giving notice in such form and in such manner and at such time as the Committee shall specify.

The Company shall contribute to the Plan each pay period, out of current or accumulated earnings and profits, an amount equal to the aggregate of the amounts of Roth Contributions to be contributed by the Company on behalf of Employees pursuant to such Employees’ Roth Contribution elections with respect to such pay period.

3. **Pre-Tax** Catch-Up Contributions
For Plan Years commencing December 31, 2001 and thereafter, all Members who are eligible to make **Pre-Tax** Contributions and who have attained age 50 before the close of the Plan Year shall be eligible to make **Pre-Tax** Catch-Up Contributions in accordance with, and subject to the limitations of Code Section 414(v). Such **Pre-Tax** Catch-Up Contributions shall not be taken into account for purposes of the provisions of the Plan implementing the required limitations of Code Sections 402(g) and 415. The Plan shall not be treated as failing to satisfy the provisions of the Plan implementing the requirements of Code Sections 401(k)(3), 401(k)(11), 401(k)(12), 410(b) or 416, as applicable, by reason of the making of such **Pre-Tax** Catch-Up Contributions. Each eligible Employee, by delivering notice in such form and in such manner and at such time as the Committee shall specify, may elect to have the Company allocate Wages on a **pre-tax basis** on the Employee’s behalf as **Pre-Tax** Catch-Up Contributions for each pay period not in excess of 50 percent of the Employee’s Wages for such pay period designated in whole percentage amount of Wages. **Pre-**
Tax Catch-Up Contributions may be made concurrent with any other contribution election (dual-election method) under this Paragraph IV in implementing the provisions of Treasury Regulations Section 1.414(v)-1(e)(1)(7)(ii)(A).

The rate of Pre-Tax Catch-Up Contributions with respect to Wages authorized by the Employee may be decreased, increased or stopped by the Employee by delivering notice of such change in such form and in such manner and at such time as the Committee shall specify. If the Pre-Tax Catch-Up Contribution election of an Employee shall terminate for any reasons, the Employee thereafter may resume the making of Pre-Tax Catch-Up Contributions to the Plan, subject to the eligibility provisions of the Plan.

4. Roth Catch-Up Contributions
   On and after August 1, 2013, each Member who is eligible to make Roth Contributions and who has attained age 50 before the close of the Plan Year may elect to have Roth Catch-Up Contributions contributed on an after-tax basis on behalf of such Member for each pay period not in excess of 50 percent of the Member’s Wages for such pay period designated in whole percentage amount of Wages. Roth Catch-Up Contributions may be made concurrent with any other contribution election (dual-election method) under this Paragraph IV in implementing the provisions of Treasury Regulations Section 1.414(v)-1(e)(1)(7)(ii)(A).

The rate of Roth Catch-Up Contributions with respect to Wages authorized by the Employee may be decreased, increased or stopped by the Employee by delivering notice of such change in such form and in such manner and at such time as the Committee shall specify. If the Roth Catch-Up Contribution election of an Employee shall terminate for any reasons, the Employee thereafter may resume making Roth Catch-Up Contributions to the Plan, subject to the eligibility provisions of the Plan.
5. **After-Tax** Contributions

An Employee may elect in the manner prescribed by the Committee to contribute an equivalent amount to the Plan on an after-tax basis. Such Contributions shall be allocated to the Employee’s After-Tax **Contribution** Account.

The Committee may require Employees of a Participating Company who elect to make After-Tax Contributions to the Plan to contribute by payroll deductions or by such other method as the Committee may designate. If the Committee shall designate a method other than payroll deductions, the Committee shall adopt rules applying, as nearly as practicable, the provisions of this Paragraph IV relating to payroll deductions to such method of making After-Tax Contributions.

6. **Limitation on Contributions**

(a) **Definitions**

As hereinafter used in this Paragraph IV:

(i) “**Average Pre-Tax Contribution Percentage**” means the average of the **Pre-Tax Contribution Percentages** of the eligible Employees in a group.

(ii) “**Pre-Tax Contribution Percentage**” means the ratio (expressed as a percentage) of **Pre-Tax Contributions and Roth Contributions** under the Plan on behalf of the eligible Employee for the year to the eligible Employee’s compensation for the year. The determination of the **Pre-Tax Contribution percentage** and the treatment of **Pre-Tax Contributions and Roth Contributions** shall satisfy such other requirements as may be prescribed by the Secretary of the Treasury pursuant to the Code.

The **Pre-Tax Contribution Percentage** for any eligible Employee who is a highly compensated employee for the year, **and who is eligible to make Roth Contributions, or to have Pre-Tax Contributions allocated**, to the Employee’s Account under two or more plans described in Code Section 401(a) or arrangements described in Code Section 401(k) that are maintained by the Company or an
Affiliate, shall be determined as if all such contributions were made under a single plan.

(iii) “Average After-Tax Contribution Percentage” means the average of the After-Tax Contribution Percentages of the eligible Employees in a group.

(iv) “After-Tax Contribution Percentage” means the ratio (expressed as a percentage) of After-Tax Contributions under the Plan on behalf of the eligible Employee for the year to the eligible Employee’s compensation for the year. The determination of the After-Tax Contribution Percentage and the treatment of After-Tax Contributions shall satisfy such other requirements as may be prescribed by the Secretary of the Treasury pursuant to the Code. The After-Tax Contribution Percentage for any eligible Employee who is a highly compensated employee for the year and who is eligible to make After-Tax Contributions to the Employee’s Account under two or more plans described in Code Section 401(a) or arrangements described in Code Section 401(m) that are maintained by the Company or an Affiliate shall be determined as if all such contributions were made under a single plan.

(v) The term “highly compensated employee” includes highly compensated active Employees and highly compensated former Employees.

Effective January 1, 2015, a highly compensated active Employee includes any Employee who performs service for the Company and who:

(1) Was a five percent owner at any time during the look-back year or determination year, which terms are defined below, or

(2) For the look-back year, (a) received compensation from the Company in excess of $80,000 (as adjusted pursuant to the Code), and
(b) was in the Top-Paid Group (as defined below).

For purposes of this Subparagraph (a), the determination year shall be the Plan Year. The look-back year shall be the twelve-month period immediately preceding the determination year.

A highly compensated former Employee includes any Employee who separated from service (or was deemed to have separated) prior to the determination year, performs no service for the Company during the determination year, and was a highly compensated active Employee for either the separation year or any determination year ending on or after the Employee’s 55th birthday.

“Top-Paid Group” shall mean the group of employees consisting of the top 20% of all employees when ranked on the basis of compensation paid during the year in question, as described in Code Section 414(q)(3). The determination of who is a highly compensated employee, including the determinations of the number and identity of employees in the Top-Paid Group, and the compensation that is considered, will be made in accordance with Code Section 414(q) and the regulations thereunder.

For purposes of this Subparagraph (a), for the Plan Year beginning in 1997, “compensation” shall mean compensation within the meaning of Code Section 415(c)(3) determined without regard to Code Sections 402(e)(3) and 402(h)(1)(B), and for Plan Years beginning after January 1, 1998, shall mean compensation as defined in Code Section 415(c)(3), and for Plan Years beginning on and after January 1, 2001, shall be determined without regard to Code Section 132(f)(4).
(b) Limits on Pre-Tax Contributions and Roth Contributions
The aggregate amount of Pre-Tax Contributions and Roth Contributions allowable for any Employee for any year shall not exceed the maximum allowed under Code Sections 401(a)(30) and 402(g) as from time to time in effect or as provided by any successor provisions, except to the extent permitted under Subparagraph 2 herein and Code Section 414(v), if applicable.

(c) Limitations on Pre-Tax Contributions and Roth Contributions Applicable to Highly Compensated Employees
For each Employee who is a highly compensated employee for the year the aggregate amount of Pre-Tax Contributions and Roth Contributions available shall not exceed the percent of the employee’s Wages, Profit Sharing Amounts, and Lump Sum Bonus Amounts (and any amounts made at the discretion of the Company that is not part of but included with the Profit Sharing Amounts and Lump Sum Bonus Amounts) for the year determined as follows. There first shall be determined, under the following table, an average allowable Pre-Tax Contribution Percentage, for the eligible Employees who are not highly compensated employees for the year as a group:

<table>
<thead>
<tr>
<th>If the average of the actual Pre-Tax Contribution Percentages of eligible Employees who are not highly compensated employees for the current Plan Year is:</th>
<th>The allowable average Pre-Tax Contribution Percentage for eligible Employees who are highly compensated employees shall not exceed:</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) 2% or less</td>
<td>(i) 2.0 times the average of the actual Pre-Tax Contribution Percentages for eligible Employees who are not highly compensated employees</td>
</tr>
</tbody>
</table>
Limitations on After-Tax Contributions Applicable to Highly Compensated Employees

The After-Tax Contribution Percentage of any eligible Employee who is a highly compensated employee for the year shall be limited to the extent required under the following tables:

<table>
<thead>
<tr>
<th>(ii) Over 2% but not more than 8%</th>
<th>(ii) 2.0 percentage points added to the average of the actual Pre-Tax Contribution Percentages for eligible Employees who are not highly compensated employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>(iii) More than 8%</td>
<td>(iii) 1.25 times the average of the actual Pre-Tax Contribution Percentages for eligible Employees who are not highly compensated employees.</td>
</tr>
</tbody>
</table>

(d) Limitations on After-Tax Contributions Applicable to Highly Compensated Employees

If the average of the actual After-Tax Contribution Percentages of eligible Employees who are not highly compensated employees for the current Plan Year is:

<table>
<thead>
<tr>
<th>(i) 2% or less</th>
<th>The allowable average After-Tax Contribution percentage for eligible Employees who are highly compensated employees shall not exceed:</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) 2.0 times the average of the actual After-Tax Contribution Percentages for eligible Employees who are not highly compensated employees.</td>
<td></td>
</tr>
</tbody>
</table>
(e) Committee Actions to Limit Contributions
The Committee shall, to the extent necessary to conform to the foregoing limitations, reduce the amounts of allowable After-Tax Contributions, Pre-Tax Contributions, and Roth Contributions, respectively, for the year with respect to any or all eligible Employees who are highly compensated employees. Any such reductions by the Committee shall be made in such manner as the Committee from time to time may prescribe. For purposes of this section, the Plan shall satisfy the requirements of Code Sections 401(k)(3) and 401(m) and Treasury Regulations Sections 1.401(k)-1(b) and 1.401(m)-1.

7. Return of Contributions in Excess of Limitations
Subject to such regulations as the Committee from time to time may prescribe, a Member whose aggregate amount of Pre-Tax Contributions and Roth Contributions to this Plan and similar contributions to all other plans in which the Member is a participant exceeds the applicable annual dollar limit as prescribed under Code Sections 401(a)(30) and 402(g) for any year may request and receive return of such excess Pre-Tax Contributions and/or Roth Contributions to this Plan for such year and Earnings thereon by submitting a request for return of
such excess in this Plan to the third party plan administrator in such form as shall be acceptable to the Committee. Such amounts shall be returned to such Member no later than April 15 to Members who submit such requests to the third party plan administrator no later than the immediately preceding March 1.

Pre-Tax Contributions, Roth Contributions and Earnings thereon in excess of the limitations in this Paragraph IV applicable to such Contributions by Employees shall be returned to Members on whose behalf such Contributions were made for the preceding Plan Year at such times and upon such terms as the Committee shall prescribe. Income on excess Contributions shall be allocated in the same manner that income is allocated to Members’ Accounts during the Plan Year, and such method will be used consistently for all affected Members.

Notwithstanding the foregoing provisions of this paragraph, excess Pre-Tax Contributions, Roth Contributions and Earnings thereon shall be returned on the basis of the amount of Contributions by or on behalf of Members as provided in Code Sections 401(k)(8)(C), 401(m)(6)(C), and 402(g)(2)(A) (as amended by the Pension Protection Act of 2006 and the Worker, Retiree and Employer Recovery Act of 2008 (“WRERA”)).

8. Rollover Contributions

An Employee of a Participating Company may make a rollover contribution, as permitted under Code Section 402(c) to the Plan, in cash in an amount not exceeding the total amount of taxable and non-taxable proceeds distributed to such Employee by a qualified plan maintained by the Employee’s former employer.

The rollover contribution must be made directly by such plan or by the Employee within 60 days following the receipt by the Employee of such distribution from such former employer’s plan. Rollover contributions shall be invested in accordance with the provisions of Paragraph VII as the Employee shall elect.
The Plan will accept the following types of rollover contributions:

(a) Direct rollovers of eligible rollover distributions from a qualified plan described in Code Sections 401(a) or 403(a); an annuity contract described in Code Section 403(b); and an eligible plan under Code Section 457(b) which is maintained by a state, political subdivision of a state or any agency or instrumentality of a state or political subdivision of a state.

(b) Memberrollover contributions of an eligible rollover distribution from a qualified plan described in Code Sections 401(a) or 403(a); an annuity contract described in Code Section 403(b); and an eligible plan under Code Section 457(b) which is maintained by a state, political subdivision of a state, or agency or instrumentality of a state or political subdivision of a state.

(c) Member rollover contributions of the portion of a distribution from an individual retirement account or annuity described in Code Sections 408(a) or 408(b) (other than an endowment contract) that is eligible to be rolled over and would otherwise be includible in gross income; except that pursuant to Code Sections 401(a)(31)(C) and 402(c)(2), and 408(d)(3)(A)(ii), the Plan will not accept after-tax rollover contributions from such individual retirement account.

(d) Effective on or after March 1, 2008, direct or Member rollover contributions of an eligible rollover distribution from the Ford Motor Company - UAW Retirement Plan or the Ford Motor Company General Retirement Plan (“GRP”), provided such distribution occurs at the election of the former Member in conjunction with such Member’s termination of employment under a separation program offered under either plan.

(e) Effective July 1, 2012, an eligible rollover distribution from the Personal Retirement Plan (“PRP”) or the GRP in the form of a lump sum distribution ("Pension
Rollover”), provided such distribution occurs at the election of an Employee (in the case of the PRP) and a former Employee who is also a Member (in the case of the GRP).

9. Supplemental Contributions
Effective as of the first pay period administratively practical after a CCE Employee is first eligible to receive Supplemental Contributions, and no later than is prescribed by ERISA, the Company shall contribute to such CCE Employee’s Supplemental Contribution Account an amount equal to $1.00 for each Eligible Compensated Hour credited to such CCE Employee in such pay period. Effective January 1, 2012, all such Eligible Compensated Hours shall not exceed 40 hours in any one pay period. Once eligible, Supplemental Contributions shall be made on behalf of the CCE Employee as described herein as long as the CCE Employee remains an Employee under the Plan.

For CCE employees on alternative work schedule (AWS) who meet the requirements described in Subparagraph 10(c) below, Supplemental Contributions will be calculated by multiplying $1.00 times 40 hours.

10. Retirement Contributions
(a) Effective as of the first pay period administratively practicable after a CCE Employee is first eligible to receive Retirement Contributions, and no later than is prescribed by ERISA, the Company shall contribute to the CCE Employee’s Retirement Contributions Account the amount described in Sections (b) and (c) of this Subparagraph. Once eligible, Retirement Contributions shall be made on behalf of the CCE Employee as described herein while such Employee remains an Employee under the Plan. Retirement Contributions are in lieu of participation in any other Company Retirement Plan and shall not apply to any CCE Employee who is presently participating in such other Company Retirement Plan.
(b) Eligible compensation for Retirement Contributions shall be the CCE Employee’s base hourly straight-time pay received up to 40 compensated hours in any one weekly pay period, plus any cost-of-living allowance, if applicable, on such hours worked.

(c) For CCE Employees assigned to AWS recognized in Company payroll systems who are compensated for less than 40 straight-time hours (“regular hours”), work the minimum number of regular hours required for the respective AWS and receive premium pay, which when added to base pay for regular hours worked, total compensation for at least 40 hours of base pay, Retirement Contribution Rate will be applied to 40 hours of straight-time pay to determine Retirement Contributions.

(d) Retirement Contribution Rate

The Retirement Contribution Rate shall be applied to eligible compensation as defined in (b) and (c) above as follows:

(i) Effective January 1, 2012, 6.4% for a CCE Employee hired or rehired prior to October 24, 2011.

(ii) Effective October 24, 2011, 4.0% for a CCE Employee hired or rehired on or after October 24, 2011.

(iii) Effective the first pay date on or after November 23, 2015, 6.4% for all CCE Employees.

11. Contributions Following Service in a Uniformed Service

A Member of the Plan, who is reinstated following qualified military service, as defined in Code Section 414(u)(5), may elect to have Contributions made to the Plan from such Member’s Wages paid following such qualified military service that shall be attributable to the period Contributions were not otherwise permitted due to military service.

Such additional Contributions shall be based on the amount of Wages, Profit Sharing Amounts, and Lump Sum Bonus Amounts that the Member would have received but for military
service and shall be subject to the provisions of the Plan in effect during the applicable period of military service. Such Contributions shall be made during the period beginning upon reemployment following qualified military service and ending at the lesser of:

(a) Five years, or

(b) The Member’s period of military service multiplied by three.

Such additional Contributions shall not be taken into account in the year in which the Contributions are made for purposes of any limitation or requirement identified in Code Section 414(u)(1) provided, however, that such Contributions, when added to Contributions previously made, shall not exceed the applicable limits in effect during the period of military service if the Member had continued to be employed by the Company during such period. Further, payments on any loan or loans in good standing and outstanding during the period of qualified military service shall be extended for a period of time equal to the period of qualified military service.

In addition to the foregoing, Company Contributions shall be made on behalf of a CCE Employee who is reinstated following qualified military service, as defined in Code Section 414(u)(5). Such Contributions shall be attributable to the period during which Contributions were not otherwise made due to qualified military service.

Supplemental Contributions made herein shall be determined based on 40 hours per week during each week of qualified military service, not to exceed 2,080 hours in any one year.

Retirement Contributions made herein shall be determined by applying the Retirement Contribution Rate times the applicable base hourly rate times 40 hours per week during each week of qualified military service, not to exceed 2,080 hours in any one year.

Any Member whose death occurs on or after January 1, 2007 while performing qualified military service as defined in Code Section 414(u) shall be treated as if such Member had resumed
employment with the Company on the day preceding death and then subsequently incurred a termination of employment on account of death. In the event of such a death, the Member’s beneficiary shall receive any Company Contributions due the Member.

For purposes of this Paragraph 11, the term “qualified military service” as defined under Code Section 414(u)(5) shall be applied as though the reemployment rights provided under section 4312(a) of chapter 43 of title 38, United States Code, were limited to individuals with a cumulative length of absence due to service in the uniformed services of eight years or less, except as otherwise provided under section 4312(c) of chapter 43 of title 38, United States Code.

12. Recovery of Contributions Made by the Company for or on Behalf of the Employee
The Company may recover, without interest, the amount of Contributions made on account of a mistake in fact, provided that such recovery is made within one year after the date of such Contribution. Any recovery by the Company of its Contributions made to the Plan for or on behalf of the Member shall not exceed the value at the time of recovery of assets acquired with such Company’s Contributions and Earnings thereon.

In the event the deduction of the Contributions made by the Company is disallowed under Code Section 404, such Contribution (to the extent disallowed) must be returned to the Company within one year of the disallowance of the deduction.

V. Member’s Account in Trust Fund
As soon as practicable after each pay period but in any event not later than 15 days after the month of payment of Wages for such pay period, the Company shall pay to the Trustee:

(a) The **After-Tax Contributions and Tax Advantaged** Contributions for such period, and

(b) The amounts of payments by Members with respect to loans and interest thereon pursuant to Paragraph XI hereof.
Upon receipt of such payments by the Trustee, the aggregate amount of such payments (and Earnings thereon, as from time to time received by the Trustee) shall be credited to the respective Accounts of the Members, and the Trustee shall hold, invest and dispose of the same as provided in the Plan.

The corpus or income of the trust may not be diverted to or used for any purpose other than the exclusive benefit of the Members or their beneficiaries.

Effective for Plan Years beginning on or after January 1, 2006, Contributions must be deposited with the Trustee after the Employee’s performance of service with respect to which the Contributions are made or, if earlier, when the cash or other taxable benefit would be currently available. This requirement shall not apply to Contributions for a pay period that occasionally are made before the services with respect to that pay period are performed if the Contributions are made early to accommodate bona fide administration considerations and are not paid early with a principal purpose of accelerating deductions.

VI. Vesting, Forfeitures and Re-deposits

1. Vesting

The Member’s After-Tax Contributions, Tax Advantaged Contributions, rollover contributions and Earnings therefrom shall be fully vested and no portion of such assets shall be subject to forfeiture for any reason whatsoever.

Dividends paid attributable to Supplemental Contributions that are reinvested by the Member shall be vested immediately as of the date such dividends are reinvested, regardless of the Member’s vesting status on such date.

Supplemental Contributions and Retirement Contributions shall become non-forfeitable upon the occurrence of the earliest of the following:

(a) Attainment by a Member who is an Employee of the normal retirement age of sixty five (65) as an active
Employee or, if earlier, three years after the Member’s original date of hire;

(b) Retirement of a Member who is an Employee pursuant to the provisions of any Retirement Plan;

(c) Death prior to termination of employment of a Member who is an Employee;

(d) Death of a Member whose death occurs while serving qualified military service and is therefore unable to return to work with the Company or a Participating Employer within the applicable reinstatement period.

2. **Forfeitures After Termination of Employment**

Non-vested assets attributable to Supplemental Contributions and Retirement Contributions shall be forfeited as soon as administratively possible following the earlier of:

(a) The date on which a Member receives a lump-sum distribution of the Member’s vested Account balance; or

(b) The date on which a Member incurs five consecutive one-year periods of severance from the Company, a Participating Company, Subsidiary or an Affiliate, provided that the Member does not return to employment prior to incurring five consecutive one-year periods of severance.

If a Member incurs five consecutive one-year periods of severance and subsequently returns to employment with the Company, a Participating Company, Subsidiary or an Affiliate, such Member’s “severance” years shall be counted toward the vesting service, but only as such vesting service applies to future Supplemental Contributions and Retirement Contributions. Such “severance” years shall not be counted toward vesting of any portion of the pre-severance Supplemental Contributions Account and Retirement Contributions Account (if any) that was forfeited upon the Member incurring five consecutive one-year periods of severance.
For this purpose, a period of severance is determined on the basis of a 12 consecutive month period beginning on the Member’s date of severance from employment and ending on the first anniversary of that date, provided that during the 12 consecutive month period, the Member does not perform any hours of service for the Company, a Participating Company, Subsidiary or an Affiliate.

The foregoing provisions shall not apply to the termination of employment by reason of death, Retirement Pursuant to the Provisions of Any Retirement Plan, layoff, medical leave or release due to continued disability after expiration of medical leave, regular employment by the Company, a Subsidiary or an Affiliate, or where the Member shall be granted a military leave of absence, and either (i) the Member’s employment subsequently is reinstated under then applicable personnel policies of the employer or, (ii) within the period so provided for reinstatement, the Member either dies or becomes eligible for Retirement Pursuant to the Provisions of Any Retirement Plan.

Any of the assets attributable to Supplemental Contributions and Retirement Contributions or Earnings thereon which shall be forfeited as provided herein shall be applied, as soon as practicable, first, to restore previously forfeited Accounts which have been reinstated pursuant to the preceding provisions of this Paragraph VI, and thereafter, to the extent available, either to reduce the amount of any Company Contributions under the Plan or to pay expenses of administration of the Plan in accordance with Paragraph XX hereof. The Cash Value of Assets applied to accommodate the foregoing shall be valued as of the close of business on the relevant date.

In no event shall forfeitures be contributed to the Plan in the form of Pre-Tax Contributions or any contributions made pursuant to Code Section 401(k)(3)(D)(ii).

If the Plan shall be terminated, the cash value of any forfeited assets not so applied from time to time as described herein shall be credited ratably to the respective
Supplemental Contributions Accounts and Retirement Contributions Accounts of the Members in the Plan as of the day immediately following the date of Plan termination. Notwithstanding the preceding provisions of this Paragraph VI, Subparagraph 2, any of the assets so credited to a Member’s Supplemental Contributions Account and/or Retirement Contributions Account, and any increment thereof, shall, at the time of distribution or withdrawal thereof, be deemed to have vested in such account.

3. **Re-deposits**

A Member who requests a lump-sum distribution or receives a mandatory cash-out distribution (as provided for under Paragraph X) from the Member’s Account following termination that results in a forfeiture as described above may have forfeited assets restored by repaying to the Plan the value of the distribution as of the effective date of the distribution, provided the Member resumes Employee status under the Plan. The forfeited assets restored will be the value of such assets as of the effective date of the forfeiture. A Member will only be permitted to repay the Member’s distribution in a lump sum in cash if such repayment is made before the earlier of:

(a) The date of the fifth anniversary following the first date on which the Member is subsequently re-employed by the Company or a Participating Company, or

(b) The date the Member incurs five consecutive one-year periods of severance as described herein.

If any such return is made on or before December 31 of the year in which the effective date of the withdrawal occurs, the cash value of the amount so returned or so restored shall be included in the Plan Year from which the withdrawal was made and, if made after such December 31, in the Plan Year which succeeds the Plan Year from which withdrawal was made by one year for each
December 31 that occurs on or after the effective date of the withdrawal and prior to the date of such return.

The amount of cash so returned and any assets acquired therewith shall be treated as After-Tax Contributions for purposes of determining the extent to which assets attributable to Supplemental Contributions and Retirement Contributions or Earnings thereon have vested pursuant to this Paragraph VI, subsequent distributions or withdrawals pursuant to Paragraph XII hereof, reporting to Members pursuant to Paragraph XIV hereof and voting of Company stock pursuant to Paragraph XVIII hereof. The assets so restored shall be treated as attributable to Supplemental Contributions and Retirement Contributions for all purposes of the Plan.

The cash so returned shall be invested in the available investment options as elected by the Member.

Upon such return, the restored assets shall vest and shall continue to vest as provided in this Paragraph VI.

VII. Member’s Election as to Investment of Funds

With the exception of Retirement Contributions and Pension Rollover described in Paragraph IV, Subparagraph 6(e), all other Contributions made by or on behalf of a Member shall be invested as the Member shall elect in one or more of the Ford Stock Fund, the Global Equity Index Fund, the Bond Index Fund, the Interest Income Fund, and any of the Additional Mutual Funds and Non-Mutual Funds listed in Appendix A.

Retirement Contributions and Pension Rollover shall be invested as the Member shall elect in one or more of the Global Equity Index Fund, the Bond Index Fund, the Interest Income Fund, and any of the Additional Mutual Funds and Non-Mutual Funds listed in Appendix A. The Ford Stock Fund shall not be available for investment of such Retirement Contributions and Pension Rollover.

The amount contributed to any investment election shall be made in increments of one percent.
Fact sheets or other available information will be provided upon request for the mutual funds and non-mutual funds listed in Appendix A. Members should request and read the fact sheets prior to making a decision regarding investing in a particular fund.

The Investment Process Committee may, in its discretion, make recommendations to the Investment Process Oversight Committee for approval of: additions to, deletions from or replacements for any of the Additional Mutual Funds and Non-Mutual Funds listed in Appendix A, as described in Paragraph XX.

A Member’s investment election hereunder shall be confirmed on the Member’s confirmation statement. Each investment election hereunder shall remain in effect until changed by the Member, and may be changed for any future Contributions by delivering a notice in such form and in such manner and at such time as the Committee shall specify. Profit Sharing Amounts and Lump Sum Bonus Amounts that Members elect to have contributed to the Plan shall be invested in accordance with a Member’s election in effect with respect to weekly Wages at the time Profit Sharing Amounts and Lump Sum Bonus Amounts are contributed to the Plan.

Effective on or after March 19, 2008, Contributions shall be invested in the Plan qualified default investment alternative (“QDIA”), a target-date retirement fund, in the absence of an affirmative investment election for such Contributions. The specific QDIA is based on the Member’s age on the date of investment and an assumed retirement age of 65 as shown below, as applicable.
Birth Date Range | Default Investment Fund
---|---
On or before 12/31/1952 | BlackRock LifePath® Index NL Retirement Fund
1/1/1953 - 12/31/1957 | BlackRock LifePath® Index NL 2020 Fund
1/1/1958 - 12/31/1962 | BlackRock LifePath® Index NL 2025 Fund
1/1/1963 - 12/31/1967 | BlackRock LifePath® Index NL 2030 Fund
1/1/1968 - 12/31/1972 | BlackRock LifePath® Index NL 2035 Fund
1/1/1973 - 12/31/1977 | BlackRock LifePath® Index NL 2040 Fund
1/1/1978 - 12/31/1982 | BlackRock LifePath® Index NL 2045 Fund
1/1/1983 – 12/31/1987 | BlackRock LifePath® Index NL 2050 Fund
1/1/1988 and later | BlackRock LifePath® Index NL 2055 Fund

VIII. Transfer of Assets to Other Investment Elections
Any Member may elect, at such times, in such manner, to such extent and with respect to such assets as the Committee from time to time may determine, to have the value of all or part of the assets invested in any investment election under the Plan in such Member’s Account transferred by being invested in such account in such other of the ways in which Contributions may be invested pursuant to this Paragraph VIII as the Member shall elect; provided, however, that:

(a) A Member may make one (1) or more such transfer elections each business day.

(b) A Member may make such transfer elections in either a dollar amount or a percentage of the amount invested in such investment option from which such transfer is elected, in increments of one percent.
(c) All such transfer elections shall be subject to such other regulations as the Committee or specific fund manager may prescribe, which may specify, among other things, application procedures, minimum and maximum amounts that may be transferred, procedures for determining the value of assets, the subject of a transfer election and other matters which may include conditions or restrictions applicable to transfer elections.

IX. Investment of Dividends, Interest, Etc.

Cash dividends, interest, and the cash proceeds of any other distribution in respect of any investment funds available under this Plan, shall be invested in the respective funds giving rise to the same.

All or a portion of cash dividends paid on shares of Company stock held in the Ford Stock Fund shall be allocated proportionately to Members who have assets in the Ford Stock Fund on the ex-dividend date attributable to the record date of the dividend payable. Members shall have the right to receive such dividends in cash from the Plan or to have them reinvested (credited to their Accounts.) It shall be presumed that such dividends will be reinvested in the Plan unless the Member elects otherwise.

Cash dividends on Company stock in the Ford Stock Fund that are not distributed to Members shall be invested on behalf of the Members entitled thereto in the Ford Stock Fund through the purchase of additional Ford Stock Fund Units.

Distribution of such dividends shall be made as soon as practicable after receipt of such dividends by the Trustee.

The amount of such dividends credited to each Member’s Account or distributed in cash shall be equal to the total amount of cash dividends paid on all shares held in the Ford Stock Fund multiplied by the ratio of the number of Ford Stock Fund units in the Accounts of such Members on the ex-dividend date (attributable to the record date of the dividend payable) to the total number of Ford Stock Fund units in the Accounts of all Members on that date.
The Committee shall from time to time determine the manner in which Members shall be provided an opportunity to make and/or change their Company stock dividend election.

X. Distribution of Assets
Distribution of all assets in a Member’s Account shall be governed by the following provisions:

1. Termination of Employment
In the case of a Member’s termination of employment for any reason (whether voluntary or by discharge, with or without cause), the Cash Value of Assets in the Member’s Account shall be delivered to the Member as soon as practicable after the earliest of the following:

   (a) Receipt of a request for distribution made by the Member at or after termination of employment in accordance with the provisions of Paragraph XII,

   (b) Attainment of age 70 in which event distribution of the Cash Value of Assets in the Member’s Account shall begin not later than April 1 of the calendar year following the calendar year in which the Member attains age 70½, in accordance with Code Section 401(a)(9) and with regulations prescribed by the Secretary of the Treasury thereunder, and subject to such regulations as the Committee may prescribe.

Distributions for calendar years 2001 and 2002 will be made in accordance with Section 401(a)(9) 2001 Proposed Regulations, including the incidental death benefit requirements of the Code Section 401(a)(9)(G).

Effective January 1, 2003, all distributions made with respect to a Member who has attained age 70½ shall be made in accordance with the regulations prescribed by the Secretary of the Treasury under Code Section 401(a)(9) Final and Temporary Regulations, including the minimum distribution incidental death benefit requirements of Code Section 401(a)(9)(G), and subject to such regulations as the Committee may prescribe. The distribution provisions under Code Section 401(a)(9) Final and Temporary
Regulations override any inconsistent distribution options in the Plan included herein. Notwithstanding the immediately preceding sentence, a Member may at anytime elect a distribution under Paragraph XII of the Plan.

(i) Required Beginning Date. The Member’s entire interest will be distributed, or begin to be distributed to the Member no later than the Member’s Required Beginning Date as defined in this Paragraph X, Subparagraph 3(d) below.

(ii) Amount of Required Minimum Distribution for Each Distribution Calendar Year. During the Member’s lifetime, the minimum amount that will be distributed for each distribution calendar year (as defined in this Paragraph X, Subparagraph 3(d) below) is the lesser of:

(1) The quotient obtained by dividing the Member’s Account balance by the distribution period in the Uniform Lifetime Table set forth in Section 1.401(a)(9)-9 of the Treasury Regulations, using the Member’s age as of the Member’s birthday in the Distribution Calendar Year; or

(2) If the Member’s sole designated beneficiary for the distribution calendar year is the Member’s spouse, the quotient obtained by dividing the Member’s Account balance by the number in the Joint and Last Survivor Table set forth in Section 1.401(a)(9)-9 of the Treasury Regulations, using the Member’s and spouse’s attained ages as of the Member’s and spouse’s birthdays in the Distribution Calendar Year.

(iii) Lifetime Required Minimum Distributions Continue Through Year of Member’s Death. Required minimum distributions will be determined under this subsection beginning with the first Distribution Calendar Year and up to and including the
Distribution Calendar Year that includes the Member’s date of death.

(iv) Notwithstanding the foregoing, pursuant to the Worker, Retiree and Employer Recovery Act of 2008 (“WRERA”), effective January 1, 2009, the following applies.

(1) A Member who would have been required to receive minimum distributions for 2009 but for the enactment of Code Section 401(a)(9)(H) (“2009 MRDs”), and who would have satisfied that requirement by receiving distributions that are equal to the 2009 MRDs shall not receive those distributions for 2009. Members described in the preceding sentences will be given the opportunity to elect to receive the 2009 MRD and such amount would be considered an eligible rollover distribution.

(2) Members who would have satisfied that requirement by receiving one or more payments in a series of substantially equal distributions (that include the 2009 MRDs) made at least annually and expected to last for the life (or life expectancy) of the Member, the joint lives (or joint life expectancy) of the Member and the Member’s designated beneficiary, or for a period of at least ten (10) years (“Extended 2009 MRDs”), shall receive those distributions for 2009 unless the Member chooses not to receive such distributions. Members described in the preceding sentence will be given the opportunity to elect to stop receiving the distributions described in the preceding sentence.

A direct rollover will be offered only for distributions that would be eligible rollover distributions as described in this Paragraph X without regard to Code Section 401(a)(9)(H)
with the exception of this Subparagraph (iv) (1) immediately above.

(c) With respect to distributions made on or after January 1, 2010, if the value of the Member’s Account is $1,000 or less determined within 90 days after termination of employment, the Cash Value of Assets in such Member’s Account shall automatically be distributed as soon as practicable. Effective October 1, 2013, the Cash Value of Assets in such Member’s Account shall be automatically distributed after a waiting period of five years following termination of employment. Distributions occurring prior to January 1, 2010 were subject to a threshold of less than $3,500 and distributed in accordance with Code Section 401(a)(31)(B).

The Member’s Account valuation shall be determined as of the date of the distribution and shall include that portion of the Account balance attributable to rollover contributions (and earnings allocable thereto) within the meaning Code Sections 402(c), 403(B)(8), 408(d)(3)(A)(ii), and 457(e)(16).

2. Termination of Employment Defined
For purposes of this Subparagraph and any other provisions of the Plan relating to withdrawals and distributions, “termination of employment” is synonymous with the term “severance from employment” as used in Code Section 401(k)(2)(B)(i)(I) without regard to the provisions of Code Section 401(k)(10). However, no termination of employment shall be deemed to have occurred in any instance:

(a) Where, not later than 30 days after the occurrence of an event which in the absence of this provision would constitute a termination of the Member’s employment hereunder, the Member becomes regularly employed by a Subsidiary or Affiliate, or

(b) Where the Member is laid off due to a reduction in force, or
(c) Where the Member is released due to the continued disability (e.g., after 90 days on medical leave), or
(d) Where the Member is granted a military leave of absence, and either (a) the Member’s employment subsequently is reinstated under then applicable personnel policies of the employer or (b) within the period so provided for reinstatement, the Member either dies or becomes eligible for Retirement Pursuant to the Provisions of Any Retirement Plan, or
(e) Where the Member shall have become employed by a Subsidiary or an Affiliate.

3. Death of a Member
In the event of death of a Member, distribution shall be made to such Member’s beneficiaries hereunder as soon as practicable after notice of such Member’s death is received by the Company.

(a) If a Member’s beneficiary is the Member’s surviving spouse and the Member has elected a distribution schedule which had commenced by the Member’s date of death, the Member’s Account shall continue to be paid to the surviving spouse pursuant to such schedule or, at the spouse’s election at any time, in a lump sum, and
(b) If distribution of the Member’s Account has not commenced as of the Member’s date of death, the surviving spouse shall, for purposes of the distribution requirements and options under the Plan, be deemed a Member; except that the surviving spouse shall be deemed to attain age 70½ on the date the Member would have attained such age.

Effective January 1, 2003, all distributions made in the event of the death of a Member shall be made in accordance with the regulations prescribed by the Secretary of the Treasury under Code Section 401(a)(9) Final and Temporary Regulations included herein, and subject to such regulations as the Committee may prescribe. The distribution provisions under Code Section
401(a)(9) Final and Temporary Regulations override any inconsistent distribution options in the Plan included herein.

(c) If the Member dies before distributions begin, the **Cash Value of Assets in** the Member’s Account will be distributed, or begin to be distributed, no later than as follows, upon notification of death:

(i) If the Member’s surviving spouse is the sole designated beneficiary, then, except as provided in this Section, distributions to the surviving spouse will begin by December 31 of the calendar year immediately following the calendar year in which the Member died, or by December 31 of the calendar year in which the Member would have attained age 70½, if later.

(ii) If the Member’s surviving spouse is not the Member’s sole designated beneficiary, the **Cash Value of Assets in** the Member’s Account will be distributed to the designated beneficiary by December 31 of the calendar year containing the fifth anniversary of the Member’s death.

(iii) If there is no designated beneficiary as of September 30 of the year following the year of the Member’s death, the **Cash Value of Assets in** the Member’s Account will be distributed to the Member’s estate by December 31 of the calendar year containing the fifth anniversary of the Member’s death.

(iv) If the Member’s surviving spouse is the Member’s sole designated beneficiary and the surviving spouse dies after the Member but before distributions to the surviving spouse begin, the **Cash Value of Assets in** the Member’s Account will be made to the surviving spouse’s estate.

(d) Definitions: For purposes of this Paragraph X, the following terms shall have the following meanings:
(i) Designated beneficiary. The individual who is designated as the beneficiary under Paragraph XXIV of the Plan and is the designated beneficiary under Code Section 401(a)(9) and Section 1.401(a)(9)-1, Q&A-4, of Treasury Regulations.

(ii) Distribution Calendar Year. A calendar year for which a minimum distribution is required. For distributions beginning before the Member’s death, the first Distribution Calendar Year is the calendar year immediately preceding the calendar year which contains the Member’s Required Beginning Date. For distributions beginning after the Member’s death, the first Distribution Calendar Year is the calendar year in which distributions are required to begin under this Section of the Plan. The required minimum distribution for the Member’s first Distribution Calendar Year will be made on or before the Member’s Required Beginning Date. The required minimum distribution for other Distribution Calendar Years, including the required minimum distribution for the Distribution Calendar Year in which the Member’s Required Beginning Date occurs, will be made on or before December 31 of that Distribution Calendar Year.

(iii) Life expectancy. Life expectancy is computed by use of the Single Life Table in Section 1.401(a)(9)-9 of the Treasury Regulations.

(iv) Member’s Account Balance. The Account balance as of the last valuation date in the calendar year immediately preceding the Distribution Calendar Year (valuation calendar year) increased by the amount of any Contributions made and allocated or forfeitures allocated to the Account balance as of dates in the valuation calendar year after the valuation date and decreased by distributions made in the valuation calendar year after the valuation date. The Account balance for the valuation calendar year
includes any amounts rolled over or transferred to the Plan either in the valuation calendar year or in the Distribution Calendar Year if distributed or transferred in the valuation calendar year.

(v) Required Beginning Date. April 1 of the calendar year following the later of: (1) the calendar year in which the Employee attains age 70½ or (2) the calendar year in which the Employee retires, except as provided in Code Section 409(d), in the case of an Employee who is a five percent owner (as defined in Code Section 416) with respect to the Plan Year ending in the calendar year in which the Employee attains age 70½.

4. Miscellaneous

(a) Unpaid Loans. For purposes of any distribution of assets in a Member’s Account pursuant to this Paragraph X, the Cash Value of Assets in the Member’s Account shall be reduced by the balance of any loan made to such Member as provided in Paragraph XI hereof and interest thereon that is unpaid at the effective date of such distribution.

(b) Ford Stock Fund Shares. Subject to the provisions of Paragraph XVII hereof, and subject to such regulations as the Committee from time to time may prescribe, a Member receiving a distribution pursuant to this Paragraph X may direct the Trustee to make distribution of the Cash Value of Assets in such Member’s Ford Stock Fund account in the form of whole shares of Company stock and cash for any fraction of a share, such distribution to be at a price per share equal to the current market value of Company stock on the effective date of the distribution. The Member so directing the Trustee shall pay all applicable transfer taxes incident to the distribution of such shares by the Trustee, and the amount thereof may be deducted from the payment made by the Trustee to the Member.

(c) Qualified Domestic Relations Order. Assets held for the benefit of an alternate payee pursuant to a qualified domestic relations order as defined by Code Section 414(p) and Section 206(d) of ERISA shall be distributed prior to
the date on which assets would be distributed to a Member if such order so requires, provided that such order requires distribution of all assets held for the benefit of such alternate payee.

(d) Missing Member or Beneficiary. In the event that distribution to a Member or the Member’s beneficiary or beneficiaries cannot be made because the identity or location of such Member or such beneficiary or beneficiaries cannot be determined after reasonable efforts, and if the assets in such Member’s Account for that reason remain undistributed for a period of one year, the Committee may direct that the assets in such Member’s Account shall be forfeited and all liability for the payment thereof shall terminate provided, however, that in the event that the identity or location of the Member or beneficiary is subsequently determined, the value of the assets in such Member’s Account at the date of forfeiture shall be paid by the Company to such person in a single sum. The value of the assets so forfeited shall be applied, as soon as practicable, to reimburse the Company for its expense in administering the Plan. For such purposes, the value of the assets in such Member’s Account shall be determined as of the date of the forfeiture.

5. Rollovers

Notwithstanding any provision of the Plan to the contrary that would otherwise limit a Member’s election under this part, a Member may elect, at the time and in the manner prescribed by the Committee, to have any portion of an eligible rollover distribution paid directly to an eligible retirement plan ("direct rollover") specified by the Member. An eligible retirement plan has the meaning given such term by Code Section 402(c)(8)(B) and as more fully described below in Subsection (b) below, except that a qualified trust shall be considered an eligible retirement plan only if it is a defined contribution plan, the terms of which permit the acceptance of rollover distributions. A direct rollover of a distribution of amounts from a Roth Contribution Account or of Roth Catch-Up Contributions under the Plan will only be made to another Roth account
under an applicable retirement plan described in Code Section 402A(e)(1) or to a Roth IRA described in Code Section 408A, and only to the extent the rollover is permitted under the rules of Code Section 402(c).

In addition to the Member, the Member’s spouse, or a former spouse who is an alternate payee under a Qualified Domestic Relations Order as defined in Code Section 414(p), may elect a rollover with regard to the interest of such spouse or former spouse. The elections described herein shall also apply to a Member’s non-spouse designated beneficiary under the Plan with respect to specific eligible retirement plans described herein.

(a) Eligible Rollover Distribution. An eligible rollover distribution is any withdrawal or distribution of all or any portion of the balance to the credit of the Member in a qualified trust as defined in Code Section 402(c)(8), including After-Tax Contributions, except that such after-tax portion may be rolled over directly only to an individual retirement account or annuity described in Code Section 408(a) or (b) (other than an endowment contract), or to a qualified trust described in Code Section 401(a), an annuity plan described in or 403(a), or to an annuity contract described in Code Section 403(b) that agrees to separately account for amounts so transferred, including separately accounting for the portion of such distribution which is includible in gross income and the portion which is not so includible. An eligible rollover distribution does not include:

(i) Any distribution that is one of a series of substantially equal periodic payments (not less frequently than annually) made for the life (or life expectancy) of the Member or the joint lives (joint life expectancies) of the Member's designated beneficiary, or for a period of ten (10) years or more,

(ii) A distribution required to be made to a Member who has attained age 70½ to satisfy the minimum distribution requirements of Code Section 401(a)(9),
(iii) Effective for calendar years beginning January 1, 1999, an eligible rollover distribution described in Code Section 402(c)(4), which the Member can elect to roll over to another plan pursuant to Code Section 401(a)(31), excludes hardship withdrawals as defined in Code Section 401(k)(2)(B)(i)(IV), which are attributable to the Member's elective contributions under Treasury Regulations Section 1.401(k)-1(d)(2)(ii), or

(iv) Effective January 1, 2002, any amount that is distributed on account of hardship shall not be an eligible rollover distribution and the Member may not elect to have any portion of such a distribution paid directly to an eligible retirement plan.

Any transfer shall be subject to such regulations as the Committee from time to time may prescribe. The Member shall designate the IRA or other employer's plan to which assets are to be transferred and transfer shall be made subject to acceptance by the transferee plan or IRA.

(b) Eligible Retirement Plan. An eligible retirement plan shall also include an individual retirement account described in Code Section 408(a), an individual retirement annuity described in Code Section 408(b) (other than an endowment contract), an individual retirement account described in Code Section 408A, a qualified plan described in Code Section 401(a), an annuity contract described in Code Section 403(b), an annuity plan described in Code Section 403(a) and an eligible deferred compensation plan described in Code Section 457(b) maintained by a state, political subdivision of a state, or any agency or instrumentality of a state or political subdivision of a state that accepts the Member's eligible rollover distribution and which agrees to separately account for amounts transferred into such plan from this Plan.

With regard to rollovers to a non-spouse beneficiary on and after January 1, 2010, an eligible retirement plan shall
mean an individual retirement account or annuity described in Code Sections 408(a), 408(b), and 408A ("IRA") established on behalf of the designated beneficiary and that will be treated as an inherited IRA pursuant to the provisions of Code Section 402(c)(11). The determination of any required minimum distribution under Code Section 401(a)(9) that is ineligible for rollover shall be made in accordance with Notice 2007-7, Q&A 17 (as modified by Notice 2009-82) and 18.

6. Active Employees who attained age 70½ prior to January 1, 1997
Distributions to active Employees who attained age 70½ prior to January 1, 1997 shall be continued in accordance with the provisions of the Plan and the Internal Revenue Code as in effect prior to January 1, 1997 unless such active employees elect to have such distributions discontinued effective beginning with distributions that would otherwise be required to be made for the 1997 Plan Year.

7. Minors and Incompetents
(a) In the event a court of competent jurisdiction determines that any person to whom any payment is payable under the Plan lacks the capacity to handle such person's own affairs because of illness, accident, or other infirmity or personal circumstance, any payment due may be paid to any person or party (including a private or public institution) to whom or to which a court of competent jurisdiction has granted authority to receive such payments on behalf of such person, unless prohibited by ERISA or the Code, or a prior claim for such payment has been made by a duly appointed guardian, committee or other legal representative.

(b) In the event the person to whom any payment is payable under the Plan is a minor, distributions may be made to parties deemed appropriate under any Uniform Transfer to Minors Act.
Any such payment to any person or party described herein shall, to the extent thereof, discharge all of the liabilities of the Company and each other fiduciary with respect to the Plan.

XI. Borrowings with Respect to Assets Attributable to Member’s Account

1. Amount
Subject to such regulations as the Committee from time to time may prescribe, a Member prior to termination of employment may apply for and receive a loan from the Plan provided that the aggregate of all such loans does not exceed the lesser of:

(a) 50 percent of the Cash Value of Assets at the time of any such loan in the Member’s Account but not more than $50,000; or

(b) $50,000 reduced by the difference between such Member’s highest loan balance under all plans of the Company and its Subsidiaries during the previous 12 months (ending on the day before the effective date of such loan from the Plan) and such Member’s loan balance on the effective date of such loan.

2. Assets Available for Loans
Loans shall be made proportionately from each investment in such Member’s Account under the Plan. Assets available for loans include Member’s Tax Advantaged Contributions, After-Tax Contributions, any rollover contributions, and any Earnings on such assets. Company Contributions and Earnings on such assets are not available for loans. No loan of less than $1,000 shall be made. All loans from all plans of the Company and other Members of a group of employers described in Code Sections 414(b), 414(c), 414(m) and 414(o) are aggregated for purposes of the above limitation in Subparagraph (b).

3. Loan Terms
All such loans shall:

(a) Be available to all Members on a reasonably equivalent basis,

(b) Be adequately secured,
(c) Bear a reasonable rate of interest,

(d) Require level amortization with payments not less frequently than quarterly throughout the repayment period, except that alternative arrangements for repayment may apply in the event as otherwise provided in Paragraph 4 below.

(e) Be repaid (the entire loan amount, including interest) not later than 60 months or, in the case of a loan made for the Member to buy or construct the principal residence of the Member, 120 months (or, when permitted by law, such later date as the Committee may determine) after the month in which the loan is effective, and

(f) Be repaid from the Member’s Wages by payroll deductions or in such other manner as the Committee may prescribe.

The Committee shall determine a rate of interest such that the Plan is provided with a return commensurate with the interest rates charged by persons in the business of lending money for loans which would be made under similar circumstances. Any loan to a Member shall be secured by such Member’s interest in the Plan. All such requirements shall be applicable on a uniform and non-discriminatory basis to all Members who may apply for such loans.

Loan repayments, including interest, shall be invested in the latest investment elections made by the Member with respect to weekly Contributions or, in the absence of such election, in the Plan QDIA until the Member elects to have such assets transferred.

4. Loan Repayment Suspensions during Certain Leaves of Absence

Effective July 1, 2016, or as soon as administratively practicable thereafter, loan repayments may be suspended during certain leaves of absence as provided below:

(a) Bona Fide, Non-Military, Leaves of Absence: In the event that a Member is on a “bona fide leave of absence,” as described in Treasury Regulations Section
1.72(p)-1, Q&A-9, other than a qualified military leave of absence, loan repayments may be suspended during such “bona fide leave of absence” as provided below:

(i) Loan repayments may be suspended for a period of no longer than one year from the commencement of the “bona fide leave of absence;”

(ii) The “bona fide leave of absence” must be unpaid or the periodic loan repayment amount in effect prior to commencement of the “bona fide leave of absence” exceeds the corresponding amount of pay received during such leave (after deduction of applicable withholding taxes);

(iii) The loan is repaid within the original loan repayment period (i.e., maximum of 10 years for a primary residence loan, or 5 years for all other loans)

(iv) Interest continues to accrue during the “bona fide leave of absence”

(b) Qualified Military Leaves of Absence: In the event that a Member is on a qualified military leave of absence within the meaning of Code Section 414(u), loan repayments may be suspended during the qualified military leave of absence as provided below:

(i) Loan repayments must resume upon completion of the qualified military leave of absence;

(ii) The qualified military leave of absence must be unpaid or the periodic loan repayment amount in effect prior to commencement of the qualified military leave of absence exceeds the corresponding amount of pay received during such leave (after deduction of applicable withholding taxes);

(iii) The loan is re-amortized upon completion of the qualified military leave of absence in substantially
level installments over a period of time that does not exceed the maximum permitted loan period (i.e., maximum of 10 years for a primary residence loan, or 5 years for all other loans)

(iv) Interest continues to accrue during the qualified military leave of absence.

XII. Withdrawal of Assets
1. Except as provided for in this Paragraph XII herein, prior to termination of employment a Member shall not be permitted to withdraw all or any portion of the Cash Value of Assets in the Member’s Account; provided, however, that with the exception of Retirement Contributions and Earnings thereon, such withdrawal shall be permitted:

(a) At any time after the Member shall have attained age 59½ in either a lump-sum, partial or systematic withdrawal of the Cash Value of Assets in such Member’s Account in monthly, quarterly, semi-annual or annual installments over such period of time as the Member shall specify, as provided in Subparagraph 2 hereof for Members who have terminated employment, or

(b) Prior to attaining age 59½, if the withdrawal
   (i) Is made on account of an immediate and heavy financial need of the Member, and

   (ii) Is necessary to satisfy such financial need.

Supplemental Contributions are available for withdrawal under Subparagraph (a). Such Contributions are not available for withdrawal under Subparagraph (b).

At any time or from time to time prior to termination of employment, a Member may withdraw all or part of the Cash Value of Assets in the Member’s After-Tax Contribution Account that are attributable to the Member’s After-Tax Contributions and any after-tax rollover Contributions and Earnings thereon.
2. At any time after the Member shall have terminated employment, a Member may elect to withdraw all or part of the **Cash Value of Assets** in such Member’s Account as the Member may specify. In addition, a Member may elect to make a systematic withdrawal of the cash value of assets in such Member’s Account in monthly, quarterly, semi-annual or annual installments over such period of time as the Member shall specify, subject to the following:

(a) Each such installment shall be paid in an amount equal to the **Cash Value of Assets** in such Member’s Account at the effective date of each such installment multiplied by a fraction the numerator of which is one and the denominator of which is the number of installments remaining in the period specified by the Member. The cash value of each such installment in a systematic withdrawal shall be withdrawn proportionately from each of the investments which the Member has elected under the Plan at the effective date of each such installment. For purposes of this Subparagraph 2, the term “effective date” shall mean the date an installment is debited from a Member’s Account.

(b) At any time during which the Member is receiving a systematic withdrawal, the Member shall be entitled to also make a lump-sum or partial withdrawal.

(c) Except as otherwise provided in Paragraph X, Subparagraph 1, systematic withdrawals shall be paid continuously until the earlier of (i) the end of the time period elected by the Member, (ii) a new election with a different time period is elected by the Member, or (iii) the date on which the Member has received the full **Cash Value of Assets** in the Member’s Account.

Such systematic withdrawals shall be subject to such further requirements as the Committee shall specify.

In the event that the systematic withdrawals specified by the Member do not meet the minimum distribution requirements beginning at age 70½ under Code Section 401(a)(9) as specified
in Paragraph X, then such additional amounts shall be distributed in accordance with the provisions of Paragraph X as necessary to satisfy such minimum distribution requirements.

A Member who has elected a systematic withdrawal as provided for under Subparagraph 1(a) above may elect to cease such withdrawals at any time prior to termination and subsequent attainment of age 70½.

Notwithstanding the foregoing provisions of this Paragraph 2, in the case of a Member who terminates employment with the Company, a Subsidiary or Affiliate, begins a systematic withdrawal, and returns to employment with the Company or an Affiliated Employer prior to the attainment of age 59½, such withdrawals shall automatically cease.

3. Subject to the provisions of Paragraph XVII hereof, and subject to such regulations as the Committee from time to time may prescribe, a Member requesting any such withdrawal (other than a withdrawal due to a financial hardship), may direct the Trustee to make distribution of assets in such Member’s Ford Stock Fund account in the form of whole shares of Company stock, and in cash for any fractional share, such distribution to be at a price per share equal to the current market value of Company stock on the effective date of the withdrawal. The Member so directing the Trustee shall pay all applicable transfer taxes incident to the distribution of such shares by the Trustee, and the amount thereof may be deducted from the payment made by the Trustee to the Member.

4. A Member who would otherwise request a withdrawal may elect to have the Trustee transfer directly all or part of the assets included in the withdrawal as described under “Rollovers” in Paragraph X, Subparagraph 5.

5. An immediate and heavy financial need shall be deemed to exist if the requirements of Treasury Regulation Section 1.401(k)-1(d)(3)(iii)(B) are met or if an expense of $500 or more is approved by the Committee as constituting an immediate and heavy financial need. A withdrawal will be
deemed necessary to satisfy such financial need if the requirements of Treasury Regulation Sections 1.401(k)-1(d)(3)(iv)(A) and 1.401(k)-1(d)(3)(iv)(E) are met, including:

(a) The withdrawal is not in excess of the immediate and heavy financial need but can be grossed up in accordance with applicable regulations,

(b) The Member has no other distribution or non-taxable loan privileges available from any plan maintained by the Company or its subsidiaries,

(c) The Member’s Contributions to the Company’s savings plans are suspended for six months (12 months prior to January 1, 2010) after the withdrawal, and

(d) Any withdrawal on account of financial hardship cannot exceed the sum total of:

   (i) Pre-Tax Contributions and Roth Contributions made to the Account of the Member (exclusive of Earnings thereon after December 31, 1988), and

   (ii) Pre-Tax Catch-Up Contributions and Roth Catch-Up Contributions (exclusive of Earnings thereon), and

   (iii) Pre-tax rollover contributions.

Any such withdrawal of assets shall be made as of the date specified by the Committee or the third party plan administrator in its determination of the existence of a financial hardship. The assets so withdrawn shall be delivered to the Member as soon as practicable after the effective date of the withdrawal.

XIII. Ford Stock Fund, Global Equity Index Fund, Bond Index Fund, Interest Income Fund, Non-Mutual Funds and Mutual Funds

1. Ford Stock Fund

   The Trustee shall establish and administer the Ford Stock Fund in accordance with the following:
(a) Investment Standard

It is the Company’s intent that to the fullest extent permitted by ERISA, that the Ford Stock Fund be a permanent feature of the Plan, that it shall qualify as an employee stock ownership plan under Section 407(d)(6) of ERISA and Code Section 4975(e)(7) and that the Ford Stock Fund should be, and should continue to be invested exclusively in Company stock (except to the limited extent described in Subsection 1(b) below as to the liquidity component to support daily activity) without regard to the diversification of assets.

The Ford Stock Fund shall be managed pursuant to this statement of intent unless the Company or, in the event a Ford Stock Fund Manager is appointed in accordance with Paragraph XX hereof, the Ford Stock Fund Manager, determines that continuing the Ford Stock Fund in accordance with the terms of the Plan is no longer prudent under ERISA (either with respect to continuing to permit new investment in the Ford Stock Fund, continuing to hold Ford common stock in the Ford Stock Fund, or both).

(b) Investments

For each Member who elects pursuant to Paragraph VII to have Contributions invested in the Ford Stock Fund or for whom a transfer is made to the Ford Stock Fund as provided in Paragraph VIII hereof, the Trustee shall invest the sums so to be invested or transferred in accordance with instructions of a person, company, corporation or other organization appointed by the Company. The Trustee may be appointed for such purpose.

Investments shall be made exclusively in shares of Company stock; except a small portion shall be invested in short-term investments to provide liquidity for daily activity. It is expected that about one to two percent of the Fund will be held in short-term investments, but the percentage may be higher or lower, depending upon the expected liquidity requirements of the Fund.
Investments of all or a portion of Ford Stock Fund assets may be made in any common, collective or commingled fund when, in the opinion of the Trustee, such investments are consistent with the objective of the Ford Stock Fund.

(c) Ford Stock Fund Units
Members shall have no ownership in any particular asset of the Ford Stock Fund. The Trustee shall be the sole owner of all Ford Stock Fund assets. Proportionate interests in the Ford Stock Fund shall be expressed in Ford Stock Fund Units. All Ford Stock Fund Units shall be of equal value and no Ford Stock Fund Unit shall have priority or preference over any other. Ford Stock Fund Units shall be credited to Accounts of Members as of each valuation date.

(d) Ford Stock Fund Unit Prices
The term “Ford Stock Fund Unit Price,” as used herein, shall mean the value in money of an individual Ford Stock Fund Unit expressed to the nearest cent. The Ford Stock Fund Unit Price shall be determined at the end of each business day that is a trading day of the New York Stock Exchange by dividing the net asset value of the Ford Stock Fund on such business day by the number of Ford Stock Fund Units outstanding on such business day. Ford Stock Fund Unit Prices shall be determined before giving effect to any distribution or withdrawal and before crediting Contributions to Members’ Accounts effective as of any such business day. Net asset value of the Ford Stock Fund shall be computed as follows:

(i) Company stock shall be valued at the closing price on the New York Stock Exchange on such business day, or, if no sales were made on that date, at the closing price on the next preceding day on which sales were made.

(ii) All other assets of the Ford Stock Fund, including any interest in a common, collective or commingled fund, shall be valued at the fair market value as of the close of business on the valuation date. Fair market value
shall be determined by the Trustee in the reasonable exercise of its discretion, taking into account values supplied by a generally accepted pricing or quotation service or quotations furnished by one or more reputable sources, such as securities dealers, brokers, or investment bankers, values of comparable property, appraisals or other relevant information and, in the case of a common, collective or commingled fund, fair market value shall be the unit value of such fund for a date the same as the valuation date, or as close thereto as practicable.

(iii) Ford Stock Fund Units credited to Members’ Accounts with respect to Contributions made during any month, shall be credited at the Ford Stock Fund Unit Price determined as of the close of business on the day that such Contributions are received by the Trustee. Ford Stock Fund Units withdrawn or distributed shall be valued at the Ford Stock Fund Unit Price at the close of business on the day coinciding with the effective date of such withdrawal or distribution.

(iv) Investment transactions, income and any expenses chargeable to the Ford Stock Fund will be accounted for on an accrual basis.

(e) Distribution and Withdrawal from the Ford Stock Fund
The cash value of assets in the Ford Stock Fund shall be distributed to Members or may be withdrawn by Members only in accordance with Paragraphs X and XII hereof. All distributions and withdrawals shall be in cash, except that a Member making a withdrawal or receiving a distribution may direct the Trustee to make such withdrawal or distribution in the form of whole shares of Company stock, based on the closing price on the New York Stock Exchange on the effective date of such withdrawal or distribution.
(f) Registered Name
Securities held in the Ford Stock Fund may be registered in the name of the Trustee or its nominee.

(g) Commissions Charged to the Plan
No commission shall be charged to the Plan or any trust under the Plan in connection with any acquisition by the Plan of Company stock from the Company, whether by cash purchase, exchange, conversion or otherwise.

2. Global Equity Index Fund
The Trustee and fund trustee shall establish and administer the Global Equity Index Fund in accordance with the following:

(a) Investments
For each Member who elects pursuant to Paragraph VII to have Contributions invested in the Global Equity Index Fund, or for whom a transfer is made to the Global Equity Index Fund as provided in Paragraph VIII hereof, the Trustee shall invest the sums so to be invested or transferred in accordance with instructions of a person, company, corporation or other organization appointed by the Company. The Trustee may be appointed for such purpose.

The Global Equity Index Fund provides broad market diversification and exposure to both domestic and international markets, including emerging markets, by passively investing in the common stocks of companies found in the Morgan Stanley Capital International All Country World Index Investable Markets Index (MSCI ACWI IMI (the “Index”)), which are weighted based on market capitalization. The investment manager will typically attempt to invest in the securities comprising the Index in the same proportions as they are represented in the Index. In some cases, it may not be possible or practicable to purchase all of the securities comprising the Index, or to hold them in the same weightings as they
represent in the Index. In those circumstances, the investment manager may employ a sampling or optimization techniques to construct the portfolio. The Global Equity Index Fund’s returns may vary from the returns of the Index.

Investments of all or a portion of Global Equity Index Fund assets may be made in any common, collective or commingled fund when, in the opinion of the fund trustee, such investments are consistent with the objective of the Global Equity Index Fund. Securities may be sold without regard to the length of time they have been held. A different market index of publicly traded company stocks may be selected by the fund trustee for investments of Global Equity Index Fund assets in the event MSCI, Inc. discontinues its Index or for other reasons.

The value of a unit can go up or down, based on the market values of the securities held in the Global Equity Index Fund and dividends paid on those securities and other Earnings; however, the total number of units credited to the Member’s Account does not change except as a result of an exchange, withdrawal or distribution.

The fund trustee may limit or suspend transactions in the Global Equity Index Fund temporarily because liquidity is insufficient to satisfy the requested volume of transactions or for other reasons.

(b) Global Equity Index Fund Units
Members shall have no ownership in any particular asset of the Global Equity Index Fund. The fund trustee shall be the sole owner of all Global Equity Index Fund assets. Proportionate interests in the Global Equity Index Fund shall be expressed in Global Equity Index Fund Units. All such units shall be of equal value, representing a proportionate share of the value of the Global Equity Index Fund, and unless otherwise provided for in the governing declaration of trust, no Global Equity Index Fund Unit shall have priority or preference over any other. Global
Equity Index Fund Units shall be credited to Members’ Accounts as of such valuation date.

(c) Global Equity Fund Unit Prices
The term “Global Equity Index Fund Unit Price,” as used herein, shall mean the value in money of an individual Global Equity Index Fund Unit expressed to the nearest cent. The Global Equity Index Fund Unit Price shall be determined at the end of each business day that is a trading day on the New York Stock Exchange by dividing the net asset value of the Global Equity Index Fund on such business day by the number of Global Equity Index Fund Units outstanding on such business day. Global Equity Index Fund Unit Prices shall be determined before giving effect to any distribution or withdrawal and before crediting contributions to Members Accounts effective as of any such business day. Net asset value of the Global Equity Index Fund shall be computed as follows:

(i) Equity securities for which market quotations are readily available are generally valued at the last reported sale price on their principal exchange on valuation date, or official close price for certain markets. If no sales are reported for that day, investments are valued at the more recent of the last published sale price, the mean between the last reported bid and asked prices for long positions or last ask price for short positions, or at fair value as determined in good faith by the fund trustee. Upon commencement of trading, equity securities issued in domestic or international initial public offerings are generally valued like any other equity security traded on a securities exchange, reported on an official exchange or traded in an over-the-counter market. Prior to commencement of trading, equity securities issued in domestic or international public offerings are generally valued at cost.
(ii) Portfolio instruments are to value investments at fair value, which is generally determined as the amount that could reasonably be expected to be realized from an orderly disposition of securities and other financial instruments over a reasonable period of time. By its nature, a fair value price is a good faith estimate of the valuation in a current sale and does not reflect an actual market price, which may be different by a material amount.

(iii) Short-term investments, if any, are stated at amortized cost, which generally approximate fair value.

(iv) Investments in registered investment companies (other than those that are exchange traded) or collective investments are valued at their respective net asset value.

(v) In the event current market prices or quotations are deemed not readily available or reliable by the fund trustee, such as upon the occurrence of a significant event, the fair value of the portfolio will be determined in good faith by the fund trustee using alternative fair valuation methods. Fair value may be determined using an independent fair value service under valuation procedures approved by the fund trustee. The independent fair value service takes into account multiple factors including, but not limited to, movements in the U.S. securities markets, certain depositary receipts, futures contracts and foreign currency exchange rates that have occurred subsequent to the close of foreign securities exchanges. A “significant event” is an event that the fund trustee believes with a reasonably high degree of certainty has caused the closing market prices of the Global Equity Index Fund’s portfolio securities to no longer reflect their value at the time of the Global Equity Index Fund’s Unit Price calculation.
(vi) All other assets of the Global Equity Index Fund, including any interest in a common, collective or commingled fund, shall be valued at the fair market value as of the close of business on the valuation date.

(vii) Global Equity Index Fund Units credited to Members’ Accounts with respect to Contributions made during any month shall be credited at the Global Equity Index Fund Unit Price determined as of the close of business on the day that such Contributions are received by the Trustee. Global Equity Index Fund Units withdrawn or distributed shall be valued at the Global Equity Index Fund Unit Price at the close of business on the day coinciding with the effective date of such withdrawal or distribution.

(viii) Investment transactions, income and any expenses chargeable to the Global Equity Index Fund will be accounted for on an accrual basis.

(d) Distribution and Withdrawal From Global Equity Index Fund
The cash value of assets in the Global Equity Index Fund shall be distributed to Members or may be withdrawn by Members only in accordance with Paragraphs X and XII hereof. All distributions and withdrawals shall be only in cash.

(e) Voting Stock
The fund trustee shall be entitled, itself or by proxy, to vote in its discretion all shares of voting stock in the Global Equity Index Fund.

(f) Registered Name
Securities held in the Global Equity Index Fund may be registered in the name of the fund trustee or its nominee.

3. Bond Index Fund
The Trustee and fund trustee shall establish and administer the Bond Index Fund in accordance with the following:
(a) Investments
For each Member who elects pursuant to Paragraph VII to have Contributions invested in the Bond Index Fund or for whom a transfer is made to the Bond Index Fund as provided in Paragraph VIII hereof, the Trustee shall invest the sums so to be invested or transferred in accordance with instructions of a person, company, corporation or other organization appointed by the Company. The Trustee may be appointed for such purpose.

The Bond Index Fund is an index fund that seeks to match the performance of the Barclays Capital Aggregate Bond Index by investing in a diversified sample of the bonds that make up the index. The index is the broadest measure of the U.S. investment-grade bond market and is comprised of U.S. Treasury and federal agency bonds, corporate bonds, residential and commercial mortgage-backed securities and asset-backed securities.

Investments of all or a portion of Bond Index Fund assets may be made in any common, collective or commingled fund maintained by the fund trustee or the person, company, corporation or other organization appointed by the Company to manage all or a portion of the Bond Index Fund when, in the opinion of the fund trustee or the person, company, corporation or other organization appointed by the Company to manage all or a portion of the Bond Index Fund, such investments are consistent with the objective of the Bond Index Fund. To the extent that assets are so invested, they shall be subject to the terms and conditions of the declaration of trust of such common, collective or commingled fund, as amended from time to time. A portion of the funds of the Bond Index Fund may be held in cash or invested in short-term obligations when deemed advisable by the fund trustee or the person, company, corporation or other organization appointed by the Company to manage all or a portion of the Bond Index Fund. The value of the Member’s investment in the Bond Index Fund may fluctuate with changes in interest rates or for other reasons. Securities may be sold without regard to
the length of time they have been held. A different market index of publicly traded fixed income securities may be selected by the Fund’s investment manager for investments of Bond Index Fund assets in the event the Barclays Capital Aggregate Bond Index is discontinued or for other reasons.

(b) Bond Index Fund Units
Members shall have no ownership in any particular asset of the Bond Index Fund. The fund trustee shall be the sole owner of all Bond Index Fund assets. Proportionate interests in the Bond Index Fund shall be expressed in Bond Index Fund Units. All Bond Index Fund Units shall be of equal value and no Bond Index Fund Unit shall have priority or preference over any other. Bond Index Fund Units shall be credited to Members’ Accounts as of each valuation date.

The value of a unit can go up or down, based on the market values of the securities in the Bond Index Fund and interest paid on those securities and other Earnings; however, the total number of units credited to the Member’s Account will not change unless the Member makes a contribution, exchange, loan or withdrawal, or receives a distribution.

(c) Bond Index Fund Unit Prices
The term “Bond Index Fund Unit Price,” as used herein, shall mean the value in money of an individual Bond Index Fund Unit expressed to the nearest cent. The Bond Index Fund Unit Price shall be determined each business day that is a trading day on the New York Stock Exchange by dividing the net asset value of the Bond Index Fund on such business day by the number of Bond Index Fund Units outstanding on such business day. Bond Index Fund Unit Prices shall be determined before giving effect to any distribution or withdrawal and before crediting Contributions to Members’ Accounts effective as of any such business day. Net asset value of the Bond Index Fund shall be computed as follows:
(i) All assets of the Bond Index Fund, including any interest in a common, collective or commingled fund, shall be valued at the fair market value as of the close of business on the valuation date. Fair market value shall be determined by the fund trustee in the reasonable exercise of its discretion, taking into account values supplied by a generally accepted pricing or quotation service or quotations furnished by one or more reputable sources, such as securities dealers, brokers, or investment bankers, values of comparable property, appraisals or other relevant information and, in the case of a common, collective or commingled fund, fair market value shall be the unit value of such fund for a date the same as the valuation date, or as close thereto as practicable.

(ii) Bond Index Fund Units credited to Members’ Accounts with respect to Contributions made during any month shall be credited at the Bond Index Fund Unit Price determined as of the close of business on the day that such Contributions are received by the Trustee. Bond Index Fund Units withdrawn or distributed shall be valued at the Bond Index Fund Unit Price at the close of business on the day coinciding with the effective date of such withdrawal or distribution.

(iii) Investment transactions, income and any expenses chargeable to the Bond Index Fund will be accounted for on an accrual basis.

(d) Distribution and Withdrawal from the Bond Index Fund
The cash value of assets in the Bond Index Fund shall be distributed to Members or may be withdrawn by Members only in accordance with Paragraphs X and XII hereof. All distributions and withdrawals shall be only in cash.

(e) Registered Name
Securities held in the Bond Index Fund may be registered in the name of the fund trustee or its nominee.
4. Interest Income Fund

The Trustee and fund manager(s) shall establish and manage the Interest Income Fund in accordance with the following:

(a) Investments

For each Member who elects pursuant to Paragraph VII to have Contributions invested in the Interest Income Fund or for whom a transfer is made as provided in Paragraph VIII, the Trustee shall invest the sums so to be invested or transferred in accordance with instructions of one or more persons, companies, corporations or other organizations appointed by the Company. The Trustee may be appointed for such purpose.

Investments shall be made with the objective of providing a broadly diversified, stable value investment in which the value of the Member’s investment is not expected to fluctuate except for the addition of accrued interest reflected in the net asset value of the Interest Income Fund. Effective January 1, 2009, the crediting rate will not be declared annually in advance and will be determined as described herein.

Assets in the Interest Income Fund shall be invested in a well diversified portfolio of fixed income securities. The Interest Income Fund will be allowed to use derivatives (futures, options and swaps) to take advantage of changes in securities prices, interest rates and other factors affecting value and/or to maintain liquidity. While the use of each of these strategies has its own risks and could decrease the value of the Interest Income Fund, their use in the portfolio is limited to controlling overall Interest Income Fund risk and managing cash. Securities may be sold without regard to the length of time they have been held. Investments shall be subject to such additional restrictions as from time to time shall be provided in the Ford Defined Contribution Plans Master Trust Interest Income Fund Objectives and Overall Guidelines.

Investments of all or a portion of Interest Income Fund assets may be made in any common, collective or
commingled fund maintained by the fund manager(s) or any person, company, corporation or other organization appointed by the Company to manage all or a portion of the Interest Income Fund as defined by the Ford Defined Contribution Plans Master Trust Interest Income Fund Objectives and Overall Guidelines (as may be amended from time to time). To the extent that assets are so invested, they shall be subject to the terms and conditions of the Interest Income Fund Guidelines, as amended from time to time. A portion of the funds of the Interest Income Fund may be held in cash or invested in short-term obligations when deemed advisable by the person, company, corporation or other organization appointed by the Company to manage all or a portion of the Interest Income Fund.

(b) Effective September 2, 2008, accrued interest will be reflected in the daily net asset value of the Interest Income Fund. The crediting rate will be a floating rate and is typically based on the current yield-to-maturity of the covered investments plus or minus amortization of the difference between the market value and contract value of the covered investments over the duration of the covered investments at the time of computation.

(c) In the event that the total value of the Interest Income Fund is reduced for any reason (other than by reason of distributions to or withdrawals or transfers by Members pursuant to the Plan), the total amount credited to the Interest Income Fund account of each Member shall be reduced by a proportionate amount.

(d) Cash credited to Members’ Accounts in the Interest Income Fund shall be distributed to Members or may be withdrawn by Members only in accordance with Paragraphs X and XII hereof. All distributions and withdrawals shall be only in cash.

(e) Interest Income Fund Value
The term “Value” as used herein shall mean the value in money of the net assets in the Interest Income Fund. The
Interest Income Fund Value shall be determined each business day that the Federal Reserve is open.

Interest Income Fund Values shall be determined before giving effect to any distribution or withdrawal and before crediting Contributions or transfers to Members’ Accounts effective as of any such business day. The Value of the Interest Income Fund shall be computed as follows:

(i) The assets of the Interest Income Fund shall be valued at the contract value of investment contracts issued by insurance companies and other financial institutions as of the close of business on the valuation date. Contract value represents contributions less withdrawals plus accrued interest applied to each investment contract. Contract value also includes a short-term cash vehicle for daily liquidity needs.

(ii) Investment transactions, income and any expenses chargeable to the Interest Income Fund will be accounted for on an accrual basis.

(f) Registered Name
Securities held in the Interest Income Fund may be registered in the name of the custodial bank under a separate agreement or its nominee.

5. Mutual Funds and Non-Mutual Funds
Each of the mutual funds offered as an investment election under the Plan shall be described in a prospectus for each such mutual fund and each such prospectus shall be provided to each Member of the Plan who requests such prospectus. Non-mutual funds shall be described in a fact sheet and other information provided by the respective fund manager. All such material shall be provided to each Member upon request.

XIV. Member’s Quarterly Statement
As soon as practicable after the end of each calendar quarter of each year, there shall be furnished to each Member a statement as of the end of each such quarter of such year of the cash value of each of the investments in the Member’s Account, the Contributions made on behalf of such Member during the
preceding calendar quarter, the investment elections with respect to such Contributions, and such additional information as the Committee shall determine and as prescribed under applicable regulations.

Such statements shall be deemed to have been accepted by the Member and the Member’s beneficiaries designated hereunder as correct unless written notice to the contrary shall be received as the Company shall specify on such statement within 30 days after the mailing of such statement to the Member.

XV. Notices, etc.

All notices, statements and other communications from the Trustee or a Participating Company to an Employee, Member or designated beneficiary required or permitted hereunder shall be deemed to have been duly given, furnished, delivered or transmitted, as the case may be, when delivered to (or when mailed by first-class mail, postage prepaid and addressed to) the Employee, Member or beneficiary at the Member’s address last appearing in the personnel records of such Participating Company or third party plan administrator (as appropriate); and in the case of an Employee, delivered to the Employee at the Employee’s normal work station.

All notices, instructions and other communications from an Employee or Member to the Company or Trustee required or permitted hereunder (including, without limitation, authorizations, contribution elections and terminations thereof, investment and other elections, requests for withdrawal or loans and designations of beneficiaries and revocations and changes thereof) shall be made in such form and such manner from time to time prescribed therefore by the Committee.

From time to time as necessary to facilitate the administration of the Plan and the trust created thereunder, the Company, the Trustee and the Committee shall deliver to each other copies or consolidations of such notices, instructions or other communications in respect of the Plan or such trust as it may receive from Employees, Members or beneficiaries.
No provision of this Plan shall be interpreted as prohibiting that such notice, consent or communication be provided by electronic or paperless methods in a manner consistent with the Electronic Signature Act (or subsequent federal law or regulations thereunder) and in a manner consistent with regulations or other guidance published by the Internal Revenue Service and the Department of Labor.

XVI. Trustee

The Company shall appoint one or more individuals or corporations to act as Trustee under the Plan, and at any time may remove the Trustee and appoint a successor Trustee. The Company may, without reference to or action by any Employee, Member or beneficiary or any other Participating Company, enter into such Trust Agreement with the Trustee and from time to time enter into such further agreements with the Trustee or other parties, make such amendments to such Trust Agreement or further agreements and take such other steps and execute such other instruments as the Company in its sole discretion may deem necessary or desirable to carry the Plan into effect or to facilitate its administration.

The Trustee and the Company may by mutual agreement in writing arrange for the delegation by the Trustee to the Committee of any of the functions of the Trustee, except the custody of assets, the voting of Company stock held by the Trustee and the purchase and sale or redemption of securities.

The Trustee shall agree that all information concerning a Member’s investment in the Plan, exchanges in or out of the investment elections, or the voting of shares of stock represented by a Member’s proportionate interest in the Ford Stock Fund or any other investment under the Plan shall not be disclosed to any party except to the extent necessary to administer the Plan or as required by law. The Committee shall be responsible for ensuring that the provisions of this subparagraph are complied with and shall have the authority to determine, in good faith, when and to what extent disclosure shall be necessary in administering the Plan.
XVII. Purchases of Securities by the Trustee

Contributions and Earnings thereon in the Members’ Accounts shall be invested by the Trustee as soon as practicable after receipt thereof by the Trustee.

The shares of Company stock from time to time required for purposes of the Plan shall be purchased by the Trustee from the Company, or from such other person or corporation, on such stock exchange or in such other manner, as the Company by action of its Board of Directors or any committee or person designated by the Board of Directors, from time to time in its sole discretion may designate or prescribe; provided, however, that except as required by any such designation by the Board of Directors, such shares shall be purchased by the Trustee from such source and in such manner as the Trustee from time to time in its sole discretion may determine. Any shares so purchased from the Company may be either treasury stock or newly-issued stock, and shall be purchased at a price per share equal to the closing price on the New York Stock Exchange on the date of purchase.

Anything herein to the contrary notwithstanding, the Trustee shall not invest any of the funds in the Ford Stock Fund in any shares of Company stock, unless at the time of purchase thereof by the Trustee such shares shall be listed on the New York Stock Exchange.

The shares of Company stock held by the Trustee under the Plan shall be registered in the name of the Trustee or its nominee, but shall not be voted by the Trustee or such nominee except as provided in Paragraph XVIII hereof.

In the event that any option, right or warrant shall be received by the Trustee on Company stock, the Trustee shall sell the same, at public or private sale and at such price and upon such other terms as it may determine, unless the Committee shall determine that such option, right or warrant should be exercised, in which case the Trustee shall exercise the same upon such terms and conditions as the Committee may prescribe.
XVIII. Voting of Company Stock and Mutual Funds and Non-Mutual Funds

The Trustee, itself or by its nominee, shall be entitled to vote, and shall vote, shares of Company stock represented by the proportionate interests in the Members’ Accounts in the Ford Stock Fund or otherwise held by the Trustee under the Plan as follows:

1. The Company shall adopt reasonable measures to notify the Member of the date and purposes of each meeting of stockholders of the Company at which holders of shares of Company stock shall be entitled to vote, and to request instructions from the Member to the Trustee as to the voting at such meeting of full shares of Company stock and fractions thereof represented by the proportionate interest in the Member’s Account in the Ford Stock Fund.

2. In each case, the Trustee, itself or by proxy, shall vote full shares of Company stock and fractions thereof represented by the proportionate interest in the Member’s Account in the Ford Stock Fund in accordance with the instructions of the Member.

3. If prior to the time of such meeting of stockholders, the Trustee shall not have received instructions from the Member in respect of any shares of Company stock represented by the proportionate interest in the Member’s Account in the Ford Stock Fund, the Trustee shall vote such shares proportionately in the same manner as the Trustee votes the aggregate of all shares of Company stock with respect to which the Trustee has received instructions from Members.

4. Notwithstanding the above, a Ford Stock Fund Manager appointed by the Investment Process Committee pursuant to Paragraph XX, Subparagraph 2(b) shall vote shares of Company stock in accordance with its fiduciary responsibility under applicable law.

5. The Company may appoint the Trustee or other named fiduciary to exercise voting, tender, and similar rights with respect to the mutual funds available under the Plan.
The trustee of the collective investment trusts available under the Plan will exercise such rights with respect to those funds.

XIX. Cash Adjustments on Account of Fractional Interests in Securities
Any fractional interest in a share of Company stock shall not be subject to distribution or withdrawal. Settlement for any fractional interest in such security, upon distribution or withdrawal thereof, shall be made in cash based on the current market value or any applicable current redemption value of such security, as of the date of distribution or withdrawal, as the case may be.

XX. Operation and Administration
1. General
Pursuant to ERISA, the Company shall be the sole named fiduciary with respect to the Plan and shall have authority to control and manage the operation and administration of the Plan.

The Group Vice President, Human Resources and Corporate Services, the Executive Vice President and Chief Financial Officer and the Group Vice President and General Counsel shall have the authority, on behalf of the Company, to appoint and remove trustees under the Plan, to approve policies relating to the allocation of Company Contributions and the distribution of assets among trustees, and to approve Plan amendments, subject to the Agreement, other than Plan amendments relating to the offering of Company stock as an investment election which amendments shall be made by the Board of Directors.

The Vice President and Treasurer shall be authorized on behalf of the Company to contract and enter into ancillary agreements with the trustees and investment managers under the Plan (except as otherwise provided in Paragraph XX as to the Ford Stock Fund) and to determine the form and terms of the trust agreements, investment manager agreements, and agreements ancillary thereto, to allocate Company Contributions and distribute assets among trustees and investment managers, and
shall have authority to designate other persons to carry out specific responsibilities in connection therewith; provided, however, that such actions shall be consistent with ERISA, the policy of the Board of Directors and officers designated in the preceding subparagraph and the Plan.

Except as otherwise provided in this Paragraph XX or elsewhere in the Plan, the Group Vice President, Human Resources and Corporate Services and the Executive Vice President and Chief Financial Officer are designated to carry out the Company’s responsibilities with respect to the Plan, including, without limitation, appointment and removal of service providers used in connection with the administration of the Plan, and determination of prior service for eligibility purposes under the Plan in the event of acquisition by a Participating Company (by purchase, merger, or otherwise) of all or part of the assets of another corporation.

Any Company director, officer or employee who shall have been expressly designated pursuant to the Plan to carry out specific Company responsibilities shall be acting on behalf of the Company. Any person or group of persons may serve in more than one capacity with respect to the Plan and may employ one or more persons to render advice with regard to any responsibilities such person has under the Plan. In the event of a change in the designated employee’s or officer’s title, the person (employee, employees, officer or officers) with functional responsibility for the Plan shall have the authority to the extent described in this Paragraph.

The officers with responsibility for the Plan may allocate responsibilities between themselves and shall have authority to designate other persons to carry out specific responsibilities on behalf of the Company in connection therewith; provided, however, that such actions shall be in writing and consistent with ERISA, the policy of the Board of Directors and the Plan.

2. Investment Review
   (a) Investment Process Oversight Committee
      The Company established the Investment Process Oversight Committee (“IPOC”). The members of the
IPOC shall be the Vice President and Treasurer, Associate General Counsel and Secretary, and the Director, Compensation and Benefits Office. There are no alternates. The IPOC shall meet at least quarterly to review the investment options and to consider any recommendations from the Investment Process Committee (“IPC”). Any member of the IPOC may request to meet more frequently. The IPOC shall appoint a secretary, which does not have to be an IPOC member. Any action taken pursuant to this Article XX by the IPOC shall be by unanimous consent, with or without a meeting. Each member of the IPOC shall execute their respective roles and responsibilities under the Plan for the sole benefit of Members and their beneficiaries. The IPOC shall have the sole power to approve any changes in the Additional Mutual Funds and Non-Mutual Funds listed in Appendix A. The IPOC shall take action with respect to the Ford Stock Fund, Global Equity Index Fund, Bond Index Fund and Interest Income Fund only to the extent required by ERISA.

(b) Investment Process Committee
The Company established the Investment Process Committee (“IPC”). The members of the IPC shall be the Director, Global Banking and Trading, Director, Asset Management and Director, Retirement Plans. Each member of the IPC shall have an alternate designated by such member. In the event a member of the IPC is absent from a meeting, the member’s alternate may attend, and when in attendance, shall exercise the powers and perform the duties of such member. The IPC shall appoint a chair for the purpose of conducting the meetings. The IPC shall appoint its own secretary, who does not have to be an IPC member, and shall act by unanimous consent of its members, with or without a meeting. Minutes of the meeting recorded by the IPC secretary shall be distributed to the IPOC within 10 business days of the IPC meeting. Each member of the IPC shall execute their respective
roles and responsibilities under the Plan for the sole benefit of Members and their beneficiaries.

The IPC shall recommend an Investment Policy Statement (“IPS”) (that includes the investment process guidelines) to the IPOC for their approval with respect to the Additional Mutual Funds and Non-Mutual Funds. Such guidelines shall include but not be limited to:

(i) The types of investment options to be offered under the Plan, with due regard to the risk and return characteristics of such options and the need to offer a reasonable array of such risk and return alternatives,

(ii) The individual investment options to be offered under the Plan, consistent with the range of risk and return characteristics deemed appropriate,

(iii) Criteria for the selection of individual investment options for inclusion in the Plan,

(iv) Procedures for reviewing the performance of investment options offered under the Plan, and

(v) Criteria mandating the removal of investment options from availability under the Plan.

After the IPS has been approved by the IPOC, the IPC shall meet at least annually to review the IPS for continuing propriety and to recommend changes that the IPC deems appropriate for approval by the IPOC. The IPC will meet at least quarterly to review the performance and fees of investment options pursuant to the criteria regarding the removal of investment options from availability under the Plan.

The IPC shall recommend to the IPOC, for their approval, any changes to the IPS that the IPC deems appropriate. If changes to the investment options are recommended, the IPC shall propose additional options, the deletion of options, and, if appropriate, the replacement of options to the IPOC for approval. The IPC has no independent power
to add, delete or otherwise change investment options offered under the Plan and is solely advisory to the IPOC. In the event that there are exigent circumstances that may affect, directly or indirectly, any of the investment options offered under the Plan or the investment managers (for example, commencement of an investigation by a national or state governmental regulatory authority of a mutual fund provider to determine whether or not there was a material violation of applicable federal or state securities laws), any member of the IPC may call for an immediate meeting to determine an appropriate course of action.

The IPC shall review and shall take action with respect to the Ford Stock Fund, Global Equity Index Fund, Bond Index Fund and Interest Income Fund only to the extent required by ERISA.

Notwithstanding anything herein contained to the contrary, the IPC shall have full and exclusive power and authority to appoint, modify or terminate the appointment of an investment manager, independent fiduciary, or any other similar person, with respect to the Ford Stock Fund (“Ford Stock Fund Manager”), upon such terms and conditions as are acceptable to the IPC. Upon such an appointment, the IPC shall have no further responsibility with respect to the Ford Stock Fund except the duty to monitor the performance of the Ford Stock Fund Manager.

The Ford Stock Fund Manager shall acknowledge that it is an investment manager and will be acting with respect to the Plan as a fiduciary within the meaning of Section 3(21)(A) of ERISA and an investment manager within the meaning of Section 3(38) of ERISA with respect to the Ford Stock Fund. In such capacity, the Ford Stock Fund Manager will exercise independent discretionary judgment in the performance of its obligations under any investment manager agreement in accordance with the fiduciary requirements set forth in Part 4 of Subtitle B of Title 1 of ERISA.
To the extent that the IPC or the IPOC have been delegated authority under any of the Company’s other defined contribution plans comparable to the authority set forth in this Section 2, the IPC or the IPOC may act jointly on behalf of such other plans while carrying out the IPC and IPOC responsibilities set forth in this Paragraph XX with respect to the Plan.

In the event that the IPC appoints a Ford Stock Fund Manager, the IPOC shall not have any further oversight responsibility with respect to the Ford Stock Fund, including but not limited to the selection of the Ford Stock Fund Manager or the terms and conditions of the engagement and, while the appointment remains in effect, shall have no duty to monitor the performance of the Ford Stock Fund Manager. The Board of Directors shall not have any responsibility or authority with respect to oversight of the Ford Stock Fund, or the selection, terms and conditions, or monitoring of the Ford Stock Fund Manager; provided, however, that nothing herein contained should be construed to remove from the Board of Directors the exclusive authority under Subparagraph 1 of Paragraph XX hereof to amend the Plan to remove Company stock as an investment election under the Plan.

3. Committee
The Company shall create a Committee consisting of at least three members. The Company shall from time to time designate the members of the Committee and an alternate for each of such members, who shall have full power to act in the absence or inability to act of such member. The Committee shall appoint its own Chairman and Secretary, and shall act by a majority of its members, with or without a meeting. The Secretary or an Assistant Secretary of the Company shall from time to time notify the Trustee of the appointment of members of the Committee and alternates and of the appointment of the Chairman and Secretary of the Committee, upon which notices the Trustee shall be entitled to rely.
The Committee shall have full power and discretionary authority to administer the Plan and to interpret its provisions. In addition, the Committee shall have the full power and discretionary authority to supply rules for matters not covered by the Plan and to supply missing terms, provided that all such actions shall be in writing, and shall be consistent with existing Plan provisions, applicable law, and adhere as closely as possible to the intent of the Company and the Union as expressed in the Agreement and the Plan. Subject to the appeals procedure contained in Paragraph 2 of Article XXVIII, any interpretation of the provisions of the Plan by the Committee shall be final and conclusive, and shall bind and may be relied upon by the several Participating Companies, each of their employees, the Trustee and all other parties in interest, unless arbitrary and capricious. The Committee shall have no authority over those matters expressly delegated to the IPC or the IPOC.

4. Indemnification
No member of the Committee (or alternate for any such member) or member of the IPC (or alternate for any such member), or member of the IPOC or director, officer or employee of any Participating Company shall be liable for any action or failure to act under or in connection with the Plan, except for such person’s own lack of good faith; provided, however, that nothing herein shall be deemed to relieve any such person from responsibility or liability for any obligation or duty under ERISA. Each director, officer, or employee of the Company who is or shall have been designated to act on behalf of the Company and each person who is or shall have been a member of the Committee (or alternate for any such member), or member of the IPC (or alternate for any such member), or member of the IPOC, or a director, officer or employee of any Participating Company, as such, shall be indemnified and held harmless by the Company against and from any and all loss, cost, liability or expense that may be imposed upon or reasonably incurred by such person in connection with or resulting from any claim, action, suit or proceeding to which such person may be a party or in which such person may be
involved by reason of any action taken or failure to act under the Plan and against and from any and all amounts paid by such person in settlement thereof (with the Company’s written approval) or paid by such person in satisfaction of a judgment in any such action, suit or proceeding, except a judgment in favor of the Company based upon a finding of such person’s lack of good faith; subject, however, to the condition that, upon the assertion or institution of any such claim, action, suit or proceeding against such person, such person shall in writing give the Company an opportunity, at its own expense, to handle and defend the same before such person undertakes to handle and defend it on such person’s own behalf. The foregoing right of indemnification shall not be exclusive of any other right to which such person may be entitled as a matter of law or otherwise, or any power that a Participating Company may have to indemnify such person or hold such person harmless.

5. Payment of Expenses
Except as otherwise provided herein, all management fees, redemption fees, brokerage commissions, taxes, and all other expenses of any mutual funds and non-mutual funds offered as an investment election under the Plan shall be paid from assets in such mutual funds and non-mutual funds or charged to the Members’ Accounts who elect to invest in such mutual funds. An expedited mailing fee shall be charged to the Accounts of Members who elect expedited mail. Other expenses of administration of the Plan, including, without limitation, Trustee fees, recordkeeping fees, disbursement fees, legal fees, and audit fees, shall be paid by the Company in accordance with any applicable agreements, or the Company may direct the Trustee to pay any of such other expenses of administration of the Plan from amounts that have been forfeited at any time in accordance with the provisions of Paragraph VI hereof (in the Plan’s “forfeiture account”) and/or the value of any assets that have been awarded to, or earned by, the Trust from class action settlements, legal claim proceeds, or other forms of revenue (in the Plan’s “holding account”) provided the value of such revenue would not exceed an average of five
dollars per Member if allocated to Member Accounts in accordance with the following sentence. To the extent the holding account contains amounts at the end of the Plan Year, such assets will be allocated to Accounts of Members, pro rata based on balances in Accounts of Members.

The records of the Trustee, the Committee and the several Participating Companies shall be conclusive in respect of all matters involved in the administration of the Plan; provided, however, that any dispute regarding the accuracy of any Member’s records may be appealed pursuant to Paragraph 2 of Article XXVIII.

Where Federal law does not control, the Plan shall be governed by and construed in accordance with the laws of the State of Michigan.

XXI. Termination, Suspension and Modification

The Company, by action of its Board of Directors, or officers designated under Paragraph XX hereof, may terminate or modify the Plan or suspend the operation of any provision of the Plan, as follows:

1. The Company may, at any time or from time to time, terminate the Plan in whole or in part, modify the Plan, merge or consolidate the Plan with another plan, transfer plan assets or liabilities to another plan, or completely discontinue contributions to the Plan, in its entirety or in respect of the Employees of one or more of the Participating Companies. The Company may, at any time or from time to time terminate or modify the Plan, or suspend for any period, the operation of any provision thereof, in respect of any Employees located in one or more states or countries, if in the judgment of the Committee compliance with the laws of such state or country would involve disproportionate expense and inconvenience to a Participating Company. Any such modification that affects the rights or duties of the Trustee may be made only with the consent of the Trustee. Any such termination, modification or suspension of the Plan may affect Members in the Plan at the time thereof, as well as future Members, but may not affect the rights of a Member as to the
continuance of investment, distribution or withdrawal of the Cash Value of Assets in the Account of the Member as of the effective date of such termination, modification or suspension and Earnings thereon; provided, however, that the Company may, in the event of a termination of the Plan, direct the Trustee to distribute the assets in the Accounts of Members in the Plan to such Members. Any termination or modification of the Plan, or suspension of any provision thereof shall be effective as of such date as the Company may determine, but not earlier than the date on which the Company shall give notice of such termination, modification or suspension to the Trustee, and to the Participating Companies any of the Employees of which are affected thereby. This Subparagraph 1 is subject to the provisions of the Agreement.

2. Upon any termination or partial termination of the Plan, or the complete discontinuance of contributions thereunder, within the meaning of Code Sections 411(d)(3)(A) and (B), the Cash Value of Assets in the Account of any affected Member within the meaning of Code Section 411(d)(3) shall be deemed to have vested and shall be non-forfeitable as of the date of such termination, partial termination or complete discontinuance of contributions.

For purposes of this Subparagraph, the determination as to whether there is a termination or partial termination of the Plan or a complete discontinuance of contributions thereunder and the date thereof and as to the Members affected thereby shall be made by the Company provided, however, that such determination shall be in accordance with the applicable provisions of the Code.

3. The provisions of the foregoing Subparagraph 1 notwithstanding, the Company, by action of its Board of Directors, or by action of the Group Vice President, Human Resources and Corporate Services, Executive Vice President and Chief Financial Officer and Group Vice President and General Counsel, at any time or from time to time may modify any of the provisions of the Plan in any respect retroactively, if and to the extent necessary or appropriate in the judgment of
such officers of the Company to qualify or maintain the Plan and the Trust Fund established thereunder as a plan and trust meeting the requirements of Sections 401(a) and 501(a) of the Code, as now in effect or hereafter amended, or any other applicable provisions of Federal tax laws or other legislation, as now in effect or hereafter amended or adopted, and the regulations thereunder at the time in effect. **This Subparagraph 3 is subject to the provisions of the Agreement.**

4. Anything herein to the contrary notwithstanding, no such termination or modification of the Plan or suspension of any provision thereof may diminish the Cash Value of Assets in the Account of a Member as of the effective date of such termination, modification or suspension.

5. In the event of any merger or consolidation with, or transfer of assets or liabilities to, any other plan, each Employee, Member, former Employee, former Member, beneficiary or estate eligible under the Plan shall, if the Plan is then terminated, receive a benefit immediately after the merger, consolidation or transfer, which is equal to the benefit the Member would have been entitled to receive immediately before the merger, consolidation or transfer if the Plan had then terminated.

**XXII. Conditions on Participation of Subsidiaries of the Company**

The consent of the Company to the participation in the Plan of any Subsidiary of the Company may be conditioned upon such provisions as the Company may prescribe, including, without limitation, conditions as to:

(a) The instruments to be executed and delivered by such Participating Company to the Trustee,

(b) The extent to which the Company shall act as representative of such Participating Company under the Plan, and

(c) The rights of such Participating Company to withdraw from participation in the Plan and the effect of such withdrawal upon the memberships and Accounts in the Plan of Employees of such Participating Company.
XXIII. Member’s Rights Not Transferable

No right or interest of any Member under the Plan or in his or her the Member’s Account shall be assignable or transferable, in whole or in part, either directly or by operation of law or otherwise, including, without limitation, by execution, levy, garnishment, attachment, pledge or in any other manner, except to the extent permitted by Code Section 401(a)(13) or ERISA Section 206(d), and further excluding devolution by death or mental incompetency; no attempted assignment or transfer thereof shall be effective; and no right or interest of any Member under the Plan or in his or her the Member’s Account shall be liable for, or subject to, any obligation or liability of such Member.

XXIV. Designation of Beneficiaries

(1) A Member may file with the Company a written designation of a beneficiary or beneficiaries with respect to all or part of the assets in the Member’s Account. In the case of a married Member who dies, the Cash Value of Assets in such Member’s Account shall be delivered to such Member’s surviving spouse unless the written designation of beneficiary designating a person or persons other than the spouse with respect to all or part of the assets in the Member’s Account includes the written consent of the spouse, witnessed by a notary public. A Member, if married, with such written consent of the spouse, may from time to time revoke or change any such designation of beneficiary.

(2) In the case of an unmarried Member who does not file a written designation of beneficiary, such Member shall be deemed to have designated as beneficiary or beneficiaries under the Plan the person or persons who are entitled in the event of the Member’s death to receive the proceeds under the Company’s Group Life and Disability Insurance Program if the Member is covered under such Program at the date of the Member’s death.

(3) In the event of the death of a Member, the Cash Value of Assets in the Member’s Account under the Plan shall be delivered to, as applicable, such spouse or beneficiaries who shall survive the Member, in accordance with the applicable designation (to
the extent effective and enforceable at the time of the Member’s death) and the provisions of the Plan, subject to such regulations as the Committee from time to time may prescribe in respect of distributions to minors; provided, however, that if the Trustee or the Committee shall be in doubt as to the right of any such person to receive any of the Cash Value of Assets, the Trustee may deliver the same to the estate of the Member, in which case the Trustee, the several Participating Companies and the Committee and the several members thereof and alternates for members shall not be under any further liability to anyone. Except as herein above provided, in the event of the death of a Member, the Cash Value of Assets in the Member’s Account under the Plan shall be delivered to the Member’s estate.

XXV. Limitation on Contributions under Section 415 of the Internal Revenue Code

1. Limitation

Notwithstanding any other provision hereof, the sum of the Annual Additions (as defined in Subparagraph 2 herein) in respect of any Employee for any Limitation Year (as defined in Subparagraph 3 herein) shall not exceed the lesser of:

(a) 100% of the Employee’s compensation as defined in Subparagraph 4 in this Paragraph XXV; or

(b) $40,000, as adjusted for increases in the cost of living under Code Section 415(d).

The limitation under (a) immediately above shall continue to be applied throughout the Limitation Year on the basis of compensation earned through each contribution date; and the limitation under (b) immediately above shall continue to be applied each pay period throughout the Limitation Year with the limitation for a pay period being the cumulative total of the stated dollar amount multiplied by a fraction, the numerator of which is one (1) and the denominator which is the number of pay periods during the Limitation Year for which the limitation is being applied.
2. **Annual Addition**
   The Annual Addition in respect of any Employee for any Limitation Year shall mean the sum for such year of
   
   (a) Company Contributions, **Pre-Tax Contributions, and Roth Contributions** in respect of the Employee under this Plan, plus
   
   (b) The Employee’s After-Tax Contributions.

3. **Limitation Year**
   For purposes of this paragraph, “Limitation Year” shall mean the twelve (12) month period beginning April 1.

4. **Compensation**
   As used herein, **effective January 1, 2008**, “compensation” shall mean the compensation (as defined by Code Section 415(c)(3) (as modified by Code Sections 414(u)(1) and (7) and Treasury Regulations Section 1.415-2) paid or made available to an Employee during the Limitation Year in question. Effective January 1, 2009, differential wages, if paid by the Company to a Member performing qualified military service (as defined in Code Section 414(u)) shall be included as compensation for purposes of this Subparagraph 4.

5. **Order of Application of Limitations**
   If the Annual Addition shall exceed, or shall be reasonably projected to exceed, the limitation of such Annual Addition required by Subparagraph 1, any necessary or appropriate reduction in Employee After-Tax Contributions, **Pre-Tax Contributions, and Roth Contributions** shall be applied, first by reducing amounts contributed as **Pre-Tax and Roth Contributions** with respect to **Profit Sharing Amounts and Lump Sum Bonus Amounts**, second by reducing the Employee’s After-Tax Contributions, third by reducing **Pre-Tax Contributions**, and **fourth by reducing Roth Contributions**.

   Notwithstanding any other provision of the Plan, in conforming to the limitations of this Subparagraph, the aforementioned reductions in After-Tax Contributions, **Pre-Tax Contributions, and Roth Contributions** may be made in less than a full
percentage amount and may be rounded to the nearest cent. Any reduction pursuant to this Paragraph may be effected:

(a) Before the Annual Addition reaches the limitation required by this Paragraph in order to carry out the ordering rule of this Paragraph, or

(b) In accordance with the Employee Plans Compliance Resolution System as set forth in Internal Revenue Service Procedure 2008-50, as subsequently updated, modified or superseded.

6. Members in Plans of Subsidiaries or Affiliated Employer

If a Member, at any time during the Limitation Year, was a participant under any defined contribution plan (as that term is used in Code Section 415(c)) of a Subsidiary of the Company (all such plans being referred to herein collectively as “affiliate plans”), then the determination of the Annual Addition in respect of such Member for such Limitation Year shall be modified as provided in this Subparagraph:

(a) Any employer contributions (as that term is used in Code Section 415(c)(2)(A)) and any forfeitures allocated during such year for the Account of such Member under all affiliate plans in respect of services performed prior to the Member’s commencement of participation under this Plan shall be added to the amount determined under Subparagraph 2; and

(b) Any Employee contributions (as that term is used in Code Section 415(c)(2)(B)) by such Member during such year under all affiliate plans in respect of services performed prior to the Member’s commencement of participation under this Plan shall be taken into account for purposes of Subparagraph 2.

XXVI. Transfer of Assets to or from the Plan

1. A Member may elect to have the Plan accept a transfer from a savings plan of a Subsidiary where the Member was previously employed of any fully vested amounts, either in the form of cash or Company stock, provided that such acceptance would not require the Plan to provide benefits in an amount or form not
otherwise provided under the Plan in order to preserve an accrued benefit under the transferor plan. Amounts transferred would be invested in accordance with the Member’s election among investment elections available under the Plan made at the time of election to have assets transferred. Thereafter, all such assets shall be subject to all provisions of the Plan applicable to any other assets credited to the Accounts of Members.

2. A Member who is no longer eligible to contribute to the Plan may elect to have transferred from the Plan all, but not less than all, assets in such Member’s Account under the Plan, either in the form of cash or Company stock, to a savings plan of a Subsidiary where the Member is currently employed, subject to acceptance by the transferee plan.

3. Effective December 31, 2004, the ZF Batavia LLC Savings Plan for Hourly Employees ("ZFBSPHE") was merged with the Plan, and all assets of the ZFBSPHE were transferred to the Plan on this date.

XXVII. Employee Stock Ownership Plan

1. There was established in the Plan an Employee Stock Ownership Plan ("ESOP") effective January 1, 1989. The ESOP consists of all the shares of Company stock in the Plan at any time and from time to time including all the shares in the Ford Stock Fund, shares formerly allocated to Members’ Accounts and shares held in the suspense account as hereinafter described and all assets attributable to Contributions made after December 31, 1988, provided that the ESOP established in the Plan remains designed to invest exclusively in Company stock except for a small liquidity component to support daily activity.

2. The trustee of the ESOP shall be the Trustee of the Plan or such other qualified organization as the Company shall select (the “Trustee of the ESOP”). The Trustee of the Plan and the Trustee of the ESOP shall hold, invest, transfer and distribute the shares of Company stock and all other assets in the ESOP in accordance with the provision of this Paragraph XXVII and the Plan. In the event the Company selects an organization other than the Trustee of the Plan to be Trustee of the ESOP, their
duties under the ESOP shall be allocated between them as hereinafter provided or in accordance with the provisions of the trust agreements appointing such Trustee of the Plan and Trustee of the ESOP.

3. **Loans**
   
   (a) The Trustee of the ESOP shall borrow on behalf of the ESOP an amount not exceeding the amount of dividends estimated by the Trustee of the ESOP, after consultation with the Trustee of the Plan and the Treasurer of the Company, to be paid on Company stock held continuously since January 1, 1989 in the ESOP for such period as the Trustee of the ESOP shall select, subject to a guarantee by the Company of payment of any such loan.

   (b) The Trustee of the ESOP is authorized to borrow such amount from such persons, including the Company, as the Trustee of the ESOP shall determine. The loan shall provide for repayment, within such period as the Trustee of the ESOP shall have selected, and shall be payable on such other terms as the Trustee of the ESOP in its sole discretion shall determine. The interest rate of a loan must not be in excess of a reasonable rate of interest.

   (c) The proceeds of any such loan shall be used by the Trustee of the ESOP to purchase as soon as practicable shares of Company stock in accordance with the provisions of Paragraph XVII hereof. The Trustee of the ESOP is authorized to pledge such stock as security for payment of such loan. The loan shall be without recourse against the ESOP.

4. The Trustee of the ESOP shall hold the shares of Company stock so purchased in the Plan in a suspense account unallocated until such time as all or part of the related loan and interest thereon is paid as hereinafter provided. The Trustee of the ESOP shall vote shares of Company stock in the suspense account in its discretion, notwithstanding the provisions of Paragraph XVIII hereof.
5. The Trustee of the Plan and the Trustee of the ESOP shall apply dividends paid on Company stock held in the ESOP with respect to which a loan was taken, including shares held in the Ford Stock Fund, to payment of such loan made in accordance with Subparagraph 3 hereof and interest thereon.

In the event that such dividends paid on Company stock are not sufficient to enable the Trustee of the ESOP to make any payment on such loan the Trustee of the ESOP shall sell shares of Company stock held in the suspense account in an amount necessary to permit such payment provided, however, that the Company may elect to make an additional contribution to the Plan by making payment to the Trustee of the ESOP in an amount sufficient to enable the Trustee of the ESOP to make all or part of such payment without selling shares of Company stock held in the suspense account.

In the event that such dividends paid on Company stock and the amount realized from the sale of Company stock held in the suspense account are not sufficient to enable the Trustee of the ESOP to make any payment on such loan, the Company shall make an additional contribution to the Plan by making payment to the Trustee of the ESOP in an amount sufficient to enable the Trustee of the ESOP to make such payment or shall pay such amount to the lender.

6. The shares held in the suspense account shall be released from the suspense account to the Trustee of the Plan in an amount that bears the same ratio to the total number of shares in the suspense account as the amount of principal and interest paid on the loan bears to the total amount of principal and interest outstanding. The Trustee of the Plan shall allocate such shares so released to the Ford Stock Fund and the Accounts of Members who have elected to invest in the Ford Stock Fund shall be adjusted as if the dividends paid on Company stock with respect to shares held in the Ford Stock Fund had been used to acquire shares of Company stock in the open market on the last day of the month preceding the date such shares are released from the suspense account.
To the extent that the number of shares released from the suspense account at any time is less than the number that would be required for allocation to the Ford Stock Fund if the dividends paid on Company stock had been used to acquire shares of Company stock in the open market at the closing price on the New York Stock Exchange on the dividend payment date, the Trustee of the ESOP shall release additional shares from the suspense account so that the value at the closing price on the New York Stock Exchange on the dividend payment date of the total number of shares released to the Trustee of the Plan for the Ford Stock Fund shall equal the total of:

(a) The dividends paid to the Trustee of the ESOP by the Trustee of the Plan with respect to Company stock held in the Ford Stock Fund, and

(b) The dividends received by the Trustee of the ESOP with respect to Company stock held in the suspense account.

If there are not enough additional shares in the suspense account to satisfy the requirement of the immediately preceding sentence, the Company shall make an additional contribution to the Plan in an amount sufficient to permit the Trustee of the ESOP to acquire additional shares so that the value at the closing price on the dividend payment date of the shares released to the Trustee of the Plan plus cash, if any, shall equal the dividends paid by the Trustee of the Plan with respect to Company stock to the Trustee of the ESOP. If at the end of any Plan Year, or after the final payment of any loan effected pursuant to Subparagraph 3 above, additional shares of Company stock have been released from the suspense account during the Plan Year to satisfy the requirements of the first sentence of this paragraph and there is not at the end of the Plan Year an excess of shares as described in the immediately following paragraph at least equal in value to the value of the additional shares released (measured as provided in the first sentence of this paragraph previously in the Plan Year, the Company shall make an additional contribution to the Plan so that the total value of the excess shares described in the
immediately following paragraph and the contribution equals the value (as determined in the first sentence of this paragraph) of the additional shares released.

To the extent that the number of shares released from the suspense account at any time exceeds the number that would be required if the dividend paid on Company stock had been used to acquire shares of Company stock in the open market, the excess shall be held by the Trustee of the ESOP and released at the end of the calendar year to the Trustee of the Plan for an addition to the Ford Stock Fund and allocation of additional units in the Ford Stock Fund to the Accounts of Members in an amount proportional to the number of Ford Stock Fund units in their Accounts.

7. Contributions to the ESOP for any eligible Employee who is a highly compensated employee shall be limited to the extent required under the principles described in Paragraph IV with respect to Pre-Tax Contributions and Roth Contributions.

8. The Committee is authorized to make such adjustments in the administration of the Plan and the ESOP as it deems necessary, appropriate or desirable to carry out the purposes and intents of this Paragraph XXVII.

9. In the event that any or all of the tax benefits available under the tax laws on the effective date hereof are restricted or eliminated, as determined by the Company, the Trustee of the ESOP is authorized upon direction by the Company to sell upon such terms, at such times and to such persons, as the Trustee of the ESOP in its sole discretion shall determine, any or all of the shares of Company stock in the suspense account and to use the proceeds of such sale to pay all or part of the loan balance outstanding, together with interest thereon. Any excess shares in the suspense account at such time shall be allocated as provided in Subparagraph 6 hereof.
XXVIII. Claim and Appeal Procedure

1. Denial of a Claim for Benefits or Participation

A claimant shall make a claim for benefits or participation by making a request in accordance with the Plan. **Unless a different period of limitation is specifically provided under ERISA, the claim must be submitted by the claimant within twelve months after the date of the last action that gave rise to the claim.** If a claim for benefits or participation is denied in whole or in part, the claimant will receive written notification from the third party plan administrator within ninety (90) days from the date the claim for benefits or participation is received. Such notice shall be deemed given upon mailing, full postage prepaid in the United States mail or if provided electronically to the claimant. Any actual denial of a claim under this Plan shall be written and set forth in a manner calculated to be understood by the claimant. The denial of claim shall include:

(a) The specific reason or reasons for the denial,

(b) Specific reference to pertinent Plan provisions on which the denial is based along with a copy of such Plan provisions or a statement that one will be furnished at no charge upon the claimant's request,

(c) A description of any additional material or information necessary for the claimant to perfect the claim and an explanation of why such material or information is necessary, and

(d) Appropriate information as to the steps to be taken if the claimant wishes to submit the Member's claim for review, along with a statement of the claimant's right to bring a civil action under Section 502(a) of ERISA following an adverse benefit determination on review.

If the third party plan administrator determines that an extension of time for processing is required, written notice of the extension shall be furnished to the claimant prior to the termination of the initial ninety (90) day period. In no event shall such extension exceed a period of ninety (90)
days from the end of such initial period. The extension notice shall indicate the special circumstances requiring an extension of time and the date by which the Plan expects to render the determination.

2. Review of Denial of the Claim for Benefits or Participation

In the event that the third party plan administrator denies a claim, a claimant may:

(a) Request a review upon appeal by written application to the Board of Appeals described in this Paragraph XXVIII, Subparagraph (2),

(b) Review pertinent documents, and

(c) Submit issues and comments in writing.

A claimant must request a review upon an appeal of the denial of the claim by the third party plan administrator under this Plan within sixty (60) days after the date of the written notification of denial of the claim.

There shall be established a Board of Appeals, composed of six (6) members, three (3) appointed by the Company (hereinafter referred to as the Company members) and three (3) appointed by the National Ford Director of the Union (hereinafter referred to as Union members). Each member of the Board of Appeals shall have an alternate. In the event a member is absent from a meeting of the Board of Appeals, the alternate may attend and, when in attendance, shall exercise the duties of the member. Either the Company or the Union at any time may remove a member or alternate appointed by it and may appoint a member or alternate to fill any vacancy among members or alternates appointed by it.

No person shall act as a member of the Board of Appeals or as an alternate for such member unless notice of the appointment has been given in writing by the party making the appointment to the other party.
The Board of Appeals shall meet at such times and for such periods for the transaction of necessary business, but not less than semi-annually or as may be mutually agreed by its members.

To constitute a quorum for the transaction of business, the presence of four (4) members of the Board of Appeals shall be required. At all meetings of the Board of Appeals, the member or members present as appointed by the Company shall have in the aggregate a total of one vote to be cast on behalf of the Company and the member or members present as appointed by the Union shall have in the aggregate a total of one vote to be cast on behalf of the Union.

The Company and Union members of the Board of Appeals shall appoint an impartial third person to act as an Impartial Chairperson, who shall vote on the disposition of appeals with respect to which the parties cannot agree. The Impartial Chairperson shall serve until such time as the member may be requested to resign by three members of the Board of Appeals. In the event that the Company and Union members of the Board of Appeals are unable to agree upon an Impartial Chairperson, the Impartial Umpire under the Collective Bargaining Agreement between the Company and Union then in effect shall make the selection; provided, however, that the Company and Union members may by agreement request such Impartial Umpire to serve as the Impartial Chairperson of the Board of Appeals. The Impartial Chairperson shall be considered a member of the Board of Appeals with respect to matters on which the Impartial Chairperson is to vote.

The compensation and expenses of the Company members will be paid by the Company and the compensation and expenses of the Union members will be paid by the Union. The parties shall share the cost of such Impartial Chairperson, if any, equally. The Board of Appeals and any member thereof shall be entitled to rely upon the correctness
of any information furnished by the Trustee, the Union or the Company.

Since the **Board of Appeals** is reviewing the appeal, it will be considered at the **Board of Appeals’** next regularly scheduled meeting. If it is filed within thirty (30) days of the next meeting, a decision by the **Board of Appeals**, as appropriate shall be made by the date of the second meeting after receipt of the claimant's request for review. Under special circumstances, an extension of time for processing may be required, in which case a decision shall be rendered. If an extension is required because information is incomplete, the review period will be tolled from date the notice was sent to the date information is received. In the event such an extension is needed, written notice of the extension shall be provided to the claimant prior to the commencement of the extension.

Written notice of a decision will be made not any later than five (5) days after the decision has been made by the **Board of Appeals**. The decision on review shall be in writing in a manner calculated to be understood by the claimant, and include (i) the specific reason or reasons for the denial; (ii) specific reference to pertinent Plan provisions on which the denial is based along with a copy of such Plan provisions or a statement that one will be furnished at no charge upon the claimant's request; (iii) a statement that the claimant is entitled to receive, upon request and free of charge, reasonable access to, and copies of, all documents, records, and other information relevant to the claimant's claim for benefits; and (iv) a statement of the claimant's right to bring a civil action under Section 502(a) of ERISA following an adverse benefit determination on review.

Decisions of the **Board of Appeals** are final and conclusive and shall bind and may be relied upon by the Member, beneficiary(ies) or the estate or legal representative thereof, the Trustee and all other parties in interest, unless arbitrary and capricious.
3. Fiduciary Claims

(a) A claimant **may, at such claimant’s option**, make a claim alleging breach of fiduciary duties by filing a written claim with the Plan Administrator. The claim must specifically set forth the facts concerning the alleged breach and must clearly identify the Plan fiduciary who claimant alleges has committed a fiduciary breach. The claim shall cite the legal basis for the allegation of fiduciary breach and shall set forth the remedy that the claimant requests on behalf of the Plan.

(b) The Plan Administrator shall review the claim and make a determination within ninety (90) days from the date the claim is received. Such notice shall be deemed given upon mailing, full postage prepaid in the United States mail or if provided electronically to the claimant. Any actual denial of a claim shall be written and set forth in a manner calculated to be understood by the claimant. The denial of the claim shall include the elements set forth in Subsection (2) above. If the Plan Administrator determines that an extension of time for processing is required, written notice of the extension shall be furnished to the claimant prior to the termination of the initial ninety (90) day period. In no event shall such extension exceed a period of ninety (90) days from the end of such initial period. The extension notice shall indicate the special circumstances requiring an extension of time and the date by which the Plan Administrator expects to render the determination. At the Plan Administrator's discretion, the claim may be referred to the Committee or the Group Vice President and General Counsel for review.

(c) In the event that the Plan Administrator denies a claim, a claimant may (i) request a review upon appeal by written application to the Committee; (ii) review pertinent Plan documents; and (iii) submit issues and comments in writing. A claimant must request a review upon appeal of the denial of the claim by the Plan Administrator under this Plan within sixty (60) days after the claimant receives
written notification of denial of the claim. The appeal will be considered at the Committee's next regularly scheduled meeting. If the appeal is filed within thirty (30) days of the next meeting, a decision by the Committee, as appropriate, shall be made by the second meeting after receipt of the claimant's request for review. Under special circumstances, an extension of time for processing may be required, in which case a decision shall be rendered by the date of the third meeting. If an extension is required because information is incomplete, the review period will be tolled from the date the notice was sent to the date the information is received. In the event such an extension is needed, written notice of the extension shall be provided to the claimant prior to the commencement of the extension. In reviewing the claim, the Committee may retain experts or other independent advisors. In such event, an extension of time for processing may be required but a decision on the appeal shall be made as soon as is reasonably practicable under the circumstances. Written notice of the decision will be made to the claimant not any later than five (5) days after the decision has been made by the Committee. At the Committee's discretion, an appeal from a denial of the claim by the Plan Administrator, or a referral of a claim directly to the Committee by the Plan Administrator, may be referred to the Group Vice President and General Counsel for review.

(d) When a claim for breach of fiduciary duty, or an appeal from a denial of a fiduciary duty claim under Subsections (3b) and (3c) above, is referred to the Group Vice President and General Counsel, such person shall have full authority and sole discretion to determine the manner in which to discharge such person's responsibility with respect to the review of the claim or the appeal. This includes, but is not limited to, retaining the responsibility to review the claim or appeal, appointing an independent fiduciary, seeking a declaratory judgment in federal court, or seeking review of the claim or appeal by an existing or specially appointed committee of the Board of Directors. The Group Vice
President and General Counsel, or any person who is responsible for making the decision with respect to the claim or appeal as determined by the Group Vice President and General Counsel as described above ("Appointee"), may retain experts or other independent advisors in such person's sole discretion with respect to review of the claim or appeal. The claim or appeal shall be reviewed on the basis of the written record submitted by the claimant and the record developed by the Plan Administrator, if any.

(e) A decision shall be made as soon as reasonably practicable under the circumstances. Written notice of the decision will be made to the claimant not any later than five (5) days after the decision has been made. The decision on review shall be in writing in a manner calculated to be understood by claimant, and include (i) the specific reason or reasons for the denial; (ii) specific reference to pertinent Plan provisions on which the denial is based along with a copy of such Plan provisions or a statement that one will be furnished at no charge upon the claimant's request; (iii) a statement that the claimant is entitled to receive, upon request and free of charge, reasonable access to, copies of, all documents, records, and other information relevant to the claimant's claim; and (iv) a statement of the claimant's right to bring a civil action under Section 502(a) of ERISA following an adverse determination on review.

The Plan Administrator, Committee, the Group Vice President and General Counsel or the Appointees each severally shall have full power and discretion under the Plan to consider Member fiduciary claims.

Decisions of the Committee, the Group Vice President and General Counsel or the Appointees, as the case may be, are final and conclusive and shall bind and may be relied upon by the Members, beneficiary(ies), or the estate or legal representative thereof, the Trustee and all other parties in interest, unless arbitrary and capricious.
XXIX. Limitation on Claims

No legal action may be brought by a Member, dependent, beneficiary, or the estate or legal representative thereof for entitlement to benefits under the Plan until after the claims and appeals procedures of the Plan have been exhausted. Such legal action must be brought no later than twenty-four months after the date of the Board of Appeal’s denial of the appeal. For matters not specifically addressed in Paragraph XXVIII, no other actions may be brought against the Plan more than twenty-four months after the date of the last action that gave rise to the claim. If a court determines that these provisions allow an unreasonably short period of time to bring a legal action, then the court shall enforce these provisions as far as possible and declare the legal action barred unless it was started within the minimum reasonable time that the action should have been started.
APPENDIX A

ADDITIONAL MUTUAL FUNDS AND NON-MUTUAL FUNDS

TARGET-DATE RETIREMENT FUNDS – PASSIVELY MANAGED*
BlackRock LifePath® Index Retirement NL Fund
BlackRock LifePath® Index 2020 NL Fund
BlackRock LifePath® Index 2025 NL Fund
BlackRock LifePath® Index 2030 NL Fund
BlackRock LifePath® Index 2035 NL Fund
BlackRock LifePath® Index 2040 NL Fund
BlackRock LifePath® Index 2045 NL Fund
BlackRock LifePath® Index 2050 NL Fund
BlackRock LifePath® Index 2055 NL Fund

EQUITY FUNDS - PASSIVELY MANAGED
BlackRock International All Cap Equity Index NL Fund*
Vanguard Extended Market Index Fund – Institutional Plus
Vanguard U.S. Equity Index Fund*

EQUITY FUNDS - ACTIVELY MANAGED
Fidelity Growth Company Fund – Class K
Neuberger Berman Genesis Fund – R6 Class
T. Rowe Price International Small-Cap Equity Trust*

REAL ASSETS – PASSIVELY MANAGED
SSgA Real Asset Fund – Class A*

*Non-Mutual Funds
APPENDIX B

PARTICIPATING EMPLOYERS

AS OF DECEMBER 31, 2014

Ford Motor Company
Section 1. Establishment of Plan

The UAW-Ford Legal Services Plan for UAW-Represented Hourly Employees of Ford Motor Company in the United States, hereafter “Plan”, is established, as set forth herein, for the purpose of providing certain specified, personal legal service benefits to Participants in accordance with Section 120 of the Internal Revenue Code of 1986, as amended, as in effect prior to its expiration on June 30, 1992. If Section 120 is reenacted (either as Section 120 or in any successor form), the benefits provided under the Plan shall be in accordance with that law. The Plan covers only legal services in matters arising under the laws of the United States or Canada, or any political subdivision thereof.

Section 2. Definitions

A. “Assistant Director” means an individual, nominated by the Director, and appointed by the Committee, who is responsible for administering the Plan in a given functional or geographic area, under the supervision of the Director.

B. “Attorney” means an individual licensed to practice law in the relevant state(s) and/or jurisdiction(s).

C. “Benefits” means the specified, personal legal services and related items, including but not limited to, court costs, filing fees, deposition and discovery, which are necessary and appropriate to the particular legal representation or proceeding provided pursuant to this Plan.

D. “Collective Bargaining Agreement” means the Collective Bargaining Agreement between the Company and the Union which is in effect at the particular time.

E. “Committee” means the Administrative Committee, as provided for in Section 3 of this Plan.

F. “Company” means Ford Motor.
G. “Cooperating Attorney” means an Attorney, other than a full- or part-time employee of the Plan, who has contracted with the Plan to provide one or more benefits to Participants.

H. “Covered Dependent” means individuals related to an Employee, Retiree or Surviving Spouse in any of the following ways:

(i) “Spouse,” which means the individual currently married to an Employee or Retiree under the laws of the relevant jurisdiction. A spouse by common-law marriage is a Covered Dependent only where such a relationship with the Employee or Retiree is recognized by the laws of the relevant jurisdiction, otherwise not.

(ii) “Unmarried Children,” which means children by birth or legal adoption or legal guardianship, including the after-born or surviving child of a deceased Employee or Retiree, provided they meet the requirements of one of the following Subsections:

(a) Children under age twenty-five (25) of the Employee, Retiree or Surviving Spouse, while they are residing in and members of the household of the Employee, Retiree or Surviving Spouse;

(b) Children under age twenty-five (25) of the Spouse of the Employee or Retiree while they are residing in and are members of the household of the Employee or Retiree;

(c) Children under age twenty-five (25) who do not reside with the Employee, Retiree or Surviving Spouse and who are not members of his/her household but who are the legal responsibility of the Employee, Retiree or Surviving Spouse (e.g., children of divorced parents, legal wards, children confined to training institutions, children in school).

(d) Children twenty-five (25) years of age or older of an Employee, Retiree or Surviving Spouse or of the Spouse of an Employee or Retiree if the children are disabled by a medically determinable physical or...
mental condition which prevents the child from engaging in substantial gainful activity and which can be expected to be of long, continued or indefinite duration or result in death, provided that each such disabled child must legally reside in and be a member of the household of the Employee, Retiree or Surviving Spouse.

(iii) “Other Dependents,” which means individuals who are dependents of an Employee or Retiree as defined under Section 152 of the Internal Revenue Code.

Eligibility under Subsection (ii) ceases at the end of the calendar year in which the child becomes age twenty-five (25) except as provided under (d) above.

I. “Director” means the individual appointed by the Committee who is responsible for administering the Plan, as set out in Section 3A(v) of this Plan.

J. “Employee” means a full-time hourly employee represented by the UAW who is actively employed by the Company on an hourly basis, or who retains seniority rights under the terms of the Ford-UAW Collective Bargaining Agreement.


L. “Named Fiduciary” means the Administrative Committee of the Plan. The Committee may delegate authority to carry out such of its responsibilities as it deems proper to the extent permitted by ERISA.

M. “Fund” means the fund of assets established and maintained to provide Benefits under the Plan, as set out in the Funding Instrument and Section 6 of this Plan.

N. “Funding Agency” means the trustee(s), including ancillary trustee(s), if any, or both, individually or collectively, which has undertaken to hold and invest the assets of the Fund and pay Benefits, directly or indirectly, under this Plan.
O. “Funding Instrument” means the trust instrument(s) undertaken by the Funding Agency, including ancillary trust agreements, if any.

P. “Legal Worker” means any individual, other than an Attorney or clerical employee, who is employed by the Plan, either on a full- or part-time basis, to assist a Staff Attorney or Cooperating Attorney in providing Benefits.

Q. “Plan” means the UAW-Ford Legal Services Plan for UAW-Represented Hourly Employees of Ford Motor Company as set forth herein.

R. “Participant” means an Employee, Retiree, Surviving Spouse and/or Covered Dependent, as defined in this Section 2.

S. “Personnel Administrator” means an individual nominated by the Director, and appointed by the Committee, who is responsible for functions assigned by the Director, and performed under the supervision of the Director.

T. “Retiree” means any individual who was formerly an Employee, who is eligible for benefits, other than a deferred vested pension, under the Ford-UAW Retirement Plan, as amended from time to time.

U. “Seniority” means seniority status under the terms of the Collective Bargaining Agreement.

V. “Surviving Spouse” means an Employee’s or Retiree’s spouse who survives him/her; however, a dependent of a Surviving Spouse is eligible only if such dependent was a Covered Dependent of the deceased Employee or Retiree.

W. “Staff Attorney” means an Attorney, employed by the Plan on a full- or part-time basis, other than a Cooperating Attorney.

X. “Union” means the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW.
Section 3. Administration
A. Allocation of Power and Duties
The Plan shall be administered by the following, who shall have the powers and duties specified herein and none other:

(i) Union: name and monitor its Members of the Committee, as provided in B below.

(ii) Company: name and monitor its Members of the Committee, as provided in B below.

(iii) Independent Member: act as Chair of the Committee, and carry out such other responsibilities as may be expressly delegated by the Union and Company Members of the Committee.

(iv) Committee: The Committee shall have such powers and duties, not otherwise assigned by this Section, as are necessary for proper administration of the Plan, including, but not limited to, the following:

(a) Select, appoint, remove, direct, and monitor the Director.

(b) Receive the Director’s nomination(s) for Assistant Director(s) and Personnel Administrator, and select, appoint, and remove Assistant Director(s) and Personnel Administrator.

(c) Provide a mechanism, as set out in C below, for review and adjudication of the appeal of individuals dissatisfied with the actions of the Director, Assistant Director(s), or any representative of the Plan.

(d) In its sole discretion, establish limitations of any Benefit, but may not expand benefits beyond those specified in Section 5 below.

(e) Prescribe uniform rules and regulations, consistent with the Provisions of this Plan, for determining an individual’s eligibility for Benefits and for determining whether a claimed Benefit is covered or not.
(f) Prescribe uniform procedures to apply for Benefits under the Plan and for furnishing evidence necessary to establish entitlement to such Benefits.

(g) In its discretion, prescribe uniform procedures for evaluating Benefit usage under the Plan and collecting data thereon.

(h) Either directly or by delegation, request disbursement from the Fund in accordance with provisions of the Plan and the Funding Instrument and receive such disbursements. Establish and maintain such depository and other accounts as may be required.

(i) Receive a report, not less frequently than quarterly, together with an annual report, from the Director on the operation and status of the Plan.

(j) Receive a report, not less frequently than annually, from the Funding Agency on the status of the Fund.

(k) Prescribe geographic locations and procedures for providing Benefits under the Plan.

(l) Delegate any of the above powers and duties in such manner as the Committee considers necessary and proper.

(v) Director: In addition to those delegated by the Committee, the Director shall have the following powers and duties:

(a) Act as the chief executive officer of the Plan.

(b) When duly authorized, take such action in the name of the Plan or the Committee as is necessary to administer the Plan.

(c) Keep the books and records of the Plan and, not less frequently than annually, cause those books to be audited by an independent Certified Public Accountant.

(d) Prepare, file and provide to relevant Participants all required documents and forms in the manner and with
the frequency required by law and regulations thereunder.

(e) Receive applications for Benefits under the Plan.

(f) Make initial determination of eligibility for and amount of Benefits.

(g) Prepare and recommend to the Committee an annual budget for the Plan.

(h) Prepare and present to the Committee quarterly and annual reports on the operation and status of the Plan.

(i) Recommend Assistant Directors and Personnel Administrator to the Committee for appointment.

(j) Select and hire, under procedures approved by the Committee, a financial officer(s), all necessary Staff Attorneys, Legal Workers, clerical personnel, and such other personnel as are necessary for the operation of the Plan.

(k) Negotiate and enter into contracts with Cooperating Attorneys, under such terms and conditions as the Committee may set.

(l) Implement procedures, as appropriate, for evaluating Benefit usage under the Plan. Advise and inform the Committee on patterns of Benefit usage. Recommend changes which may be helpful in delivering Benefits and otherwise accomplishing the purposes of the Plan.

(vi) Assistant Director(s) and Personnel Administrator: Assistant Director(s) and Personnel Administrator, when appointed, shall have such powers and duties as the Director, with the authorization of the Committee, may delegate.

(vii) Funding Agency: The powers and duties set out in Section 6A hereof, as more fully specified in the Funding Instrument.
B. **Structure and Operation of the Committee**

The Committee shall have the following structure and functions:

(i) **Appointment:** The Committee shall consist of three (3) Members appointed by the Company (Company Members); three (3) Members appointed by the Union (Union Members); and, as Chair of the Committee, an Independent Member mutually satisfactory to the Company and the Union. Either the Company or Union may appoint alternate Member(s). The Union may remove any Committee Member, or alternate, appointed by it. The Company may remove any Committee Member, or alternate, appointed by it. Any removal or appointment shall be effective upon receipt of written notification by the remaining Members of the Committee.

(ii) **Compensation:** Union and Company Members of the Committee will serve without compensation from the Plan. The compensation of the Chair will be paid by the Plan and will be set by majority vote of the Committee. The Plan will procure the appropriate fiduciary duty, errors and omissions, and related insurance coverage for Committee Members, administrative personnel and Staff Attorneys, but only to the extent and on the conditions allowable by ERISA. The Plan will bear the cost of such insurance coverage.

(iii) **Quorums and Decisions:** To constitute a quorum at any Committee meeting, at least two (2) Union Members and two (2) Company Members shall be present. At all Committee meetings, the Company Members shall have three (3) votes and the Union Members shall have three (3) votes. The vote of any absent or abstaining Member shall be equally divided between the other Members present appointed by the same party. Decisions of the Committee shall be by majority of votes cast and the result shall be final and binding. In the event of a tie vote, the Chair shall cast the deciding vote.
(iv) Frequency of Meetings: The Committee shall meet not less frequently than quarterly. Formal minutes of Committee meetings shall be prepared and kept.

(v) Requests of Funding Agency: The Committee shall not request disbursements from the assets of the Fund unless the disbursement is pursuant to the provisions of the Plan.

(vi) Limitation on Authority: The Committee shall have no power to add to, subtract from, or modify any of the terms of this Plan, or to waive or fail to apply any requirement of eligibility for a Benefit under the Plan, except as provided by the Plan. In particular, the Committee shall have no authority to modify or delete any of the exclusions set out in Section 5D.

(vii) Standard of Review: The Committee shall have full power and authority to administer the Plan and to interpret its provisions. Any decision or interpretation of the provisions of the Plan shall be final and binding upon the Company, the Union, the Participants and any other claimants under the Plan, and shall be given full force and effect, subject only to an arbitrary and capricious standard of review. This standard shall apply to all actions or decisions of the Committee taken pursuant to Section 3A.

C. Appeal Procedure

Any Participant who, for any reason, is dissatisfied with any action or inaction of a Staff Attorney, Cooperating Attorney or Legal Worker in connection with the Plan has a right to complain in writing to the appropriate Assistant Director, who shall within 30 days prepare a proposed written decision and forward it, with the complaint, to the Director for approval or revision. The Director shall, within 20 days after receipt of complaint and proposed decision from Assistant Director, furnish the Participant with a copy of his written decision. A Participant who is dissatisfied with the Director’s decision may, within 30 days after the date of the decision, appeal to the Administrative Committee. Appeals shall be in writing and shall specify the reasons claimed to justify a reversal or modification of the Director’s decision. Initially, the Committee shall review
the merits of any appeal if a majority of the Committee Members vote to do so. The Committee may, however, by majority vote, adopt procedures governing the handling and types of appeals which it will review. If the Committee chooses not to review an appeal, the decision of the Director shall be final and binding on all parties, and the Director shall so notify the Participant in writing. If the Committee decides an appeal, the Director shall give the Participant written notice of the Committee’s decision, which shall be final and binding on all parties.

D. **Responsibility of Co-fiduciaries**
Each Fiduciary may rely upon any such direction, information or action of another Fiduciary as being proper under this Plan and is not required to inquire into the propriety of any such direction, information or action.

E. **No Enlargement of Rights**
The Company’s and the Union’s rights under existing Collective Bargaining Agreements shall not be affected by reason of any of the provisions of this Plan.

F. **Administration**
The Committee shall be the “Administrator” of the Plan as that term is defined in ERISA.

Section 4. **Eligibility**

A. **Eligible Persons**
The following individuals shall be eligible to receive the Benefits set out in Section 5:

(i) Employees with at least ninety (90) days of Seniority, provided, however, that eligibility ceases for any such employee who has been continuously laid off for a period of twenty-four (24) months after the end of the month in which his/her layoff began.

(ii) Individuals who are:

(a) Surviving Spouses of Employees eligible under (i) above who are eligible for surviving spouse benefits under the Ford-UAW Retirement Plan (but not preretirement benefits under the Retirement Equity
Act) or who are eligible for transition, bridge or health insurance benefits under the Insurance Program,

(b) Domestic Partners, as provided by the Company’s healthcare benefit eligibility criteria, of such Employees, or

(c) Covered Dependents of such Employees, provided, however, that upon the death of the Employee or Surviving Spouse, eligibility of Covered Dependents, Surviving Spouses and Domestic Partners not otherwise eligible shall continue until the end of the twelfth month following the month in which such death occurs.

(iii) Retirees and Surviving Spouses of Retirees (but not of a former employee receiving a deferred vested benefit) who are eligible for surviving spouse benefits under the Ford-UAW Retirement Plan (but not preretirement benefits under the Retirement Equity Act) or who are eligible for transition or bridge benefits under the Insurance Program, and Covered Dependents of such Retirees.

B. **Ineligible Persons**

The following classes of individuals are ineligible to participate in this plan, regardless of any other Plan terms to the contrary, and regardless of whether the individual is a common-law employee of the Company:

(i) Leased employees as defined under IRC Section 414(n);

(ii) Contract workers, bundled-service employees, consultants, or similarly situated individuals who have represented contractor;

(iii) Employees represented by a labor organization which has not signed an Agreement making the Plan applicable to such employees;

(iv) Temporary employees.
C. **Loss of Seniority**

Any otherwise eligible employee who has lost seniority under the terms of the Ford-UAW Collective Bargaining Agreement shall not be eligible to receive Benefits under this Plan. If such an employee is reinstated and reacquires seniority, his/her eligibility, if any, shall resume on the effective date that such employee reacquires seniority. However, eligibility of such an individual shall not terminate while a grievance related to loss of seniority is being pursued by the Union under the Collective Bargaining Agreement.

Section 5. **Benefits**

A. **Covered Benefits**

(i) **Categories**

Subject to the limitations and exclusions of this Section, the Plan will provide the Benefits set out in Table A, below, to all Participants who meet the eligibility requirements of Section 4 above, provided that each such Participant makes timely and adequate application therefor.

**TABLE A**

**Category 1:**

Social Security Disability
suspensions or terminations
Other Social Security Claims
Veterans’ Benefits Claims
Food Stamp or Other Public Assistance Claims
Medicare Appeals

**Category 2:**

Moving Violations
Other Traffic Offenses, other than parking violations

**Category 3:**

Misdemeanors
Juvenile Offenses
Category 4:
- Divorce, separation, annulment, dissolution, maintenance and child custody
- Guardianships
- Probate proceedings
- Wills, Codicils and Trusts
- Adoption or legitimization of child
- Termination of Parental Rights (excluding cases where criminal charges are involved)
- Name changes
- Nonsupport and alimony
- Naturalization, immigration and deportation

Category 5:
- Defense of collection action on personal or family debts
- Defense of garnishment
- Repossession and replevin
- Personal bankruptcy

Category 6:
- Consumer complaints and warranty
- Contracts for goods and services
- Insurance claims or loss of coverage

Category 7:
- IRS audits and administrative proceedings
- Federal, state or local claim to taxes

Category 8:
- Tenant representation
- Leases on personal or family residence
- Property damage, real and personal
- Real estate of family or personal residence, including real estate closing, purchase, mortgage, sale, foreclosure, boundary dispute, title dispute, zoning matters and eminent domain
- Property tax assessment dispute
(ii) Services
(a) Full Service
All required legal services, including litigation and any costs of litigation, shall be provided for the following:

From Category 1:
Social Security Disability suspension
or terminations

From Category 4:
Uncontested Divorces, Uncontested Custody,
Uncontested Nonsupport and Uncontested
Alimony (full service is available for each such
benefit only in jurisdictions where attorneys are
required to appear to finalize proceedings)

Post-Divorce Modification of Child Support Orders
or Alimony Orders (full service is only available
for modification of an order solely because of a
material change in the Participant’s earnings
from the Company)

Guardianships

Probate proceedings

Wills, Codicils and Trusts

Adoption or legitimization of child

Termination of Parental Rights (excluding cases
where criminal charges are involved)

Name changes

All of Category 5.

All of Category 6.

From Category 7:

IRS audits and administrative proceedings
(administrative appearances only)

All of Category 8.
(b) Appeals.
   (i) Appeals may be provided for Medicare claims from Category 1 but only if, in the opinion of the Director or his/her designee, there is a substantial likelihood of prevailing on such appeal.
   (ii) Appeals shall be provided for matters within Categories 5 and 6.
   (iii) Upon approval of the Committee, appeals may be provided for cases in the following Categories or Subcategories:
       From Category 1:
       Social Security Disability suspensions or terminations
       From Category 4:
       Guardianships
       Probate proceedings
       Wills, Codicils and Trusts
       Adoption or legitimization of child
       Termination of Parental Rights (excluding cases where criminal charges are involved)
       Name changes
       All of Category 8.

(c) Office Work Only.
   Work by an Attorney, in his/her office, shall be provided for all categories listed in Table A. Only office work and/or Referral Benefits shall be provided for categories or subcategories not listed under Subsection 5A(ii)(a) above.

(d) Referral Benefit.
   As to any category or subcategory listed in Table A but not listed in Subsection 5A(ii)(a) above, the Plan will provide a referral to a Cooperating Attorney. In such a case, if the Participant accepts the referral, the
office work benefit under Subsection 5A(ii)(c) above ends, and the Participant will pay the Cooperating Attorney at the rates set out in the Cooperating Attorney Agreement.

(iii) Special Benefit

(a) The Plan will provide Office Work Only services described below to Employees, Retirees, Spouses, Surviving Spouses and the related persons set forth in Article IX, Section 19 of the Ford-UAW National Agreement solely for the purposes of preparing for, or dealing with, the incapacity or death of the mother, father, step-mother, or step-father of an Employee, Retiree, Spouse or Surviving Spouse.

(b) For purposes of this Section 5(A)(iii), Office Work Only services will be provided for the following Category 4 benefits: Guardianships, Probate proceedings, Wills, Codicils, Trusts, and all Category 8 benefits.

(c) When a related person set forth in Article IX, Section 19 of the Ford-UAW National Agreement is requesting services under this section, said related person, the Employee, Retiree, Spouse, Surviving Spouse, and if applicable, the mother, father, step-mother or step-father, after full and adequate disclosure, must provide prior written consent to the representation delivered under this Section 5(A)(iii), and waive any actual or potential conflict of interest as required by applicable law.

(d) Section 5(A)(iii) shall be effective March 1, 2000.

B. Benefits Delivery

Benefits shall be provided solely through Staff Attorneys, Cooperating Attorneys and Legal Workers.

C. Discretionary Limitations

Notwithstanding Section 3B(vi), any Benefit provided under Section 5A, and not excluded under Section 5D, shall be subject to such general and prospective limitations as the Committee,
in its sole discretion, may impose on either a permanent or temporary basis. The Plan shall not provide, nor shall it be liable, for Benefits in excess of such limitations.

D. Exclusions

Notwithstanding Section 5A above, the Plan shall not provide Benefits, or in any other manner pay for the following:

(i) Any proceeding in which the Company, its subsidiaries, its dealers, or any of its officers or agents has an adversarial interest to the Participant;

(ii) Any proceeding against the Union, any of its subordinate or affiliated bodies, or the officers, or agents of such, or against any labor organization representing employees of the Company;

(iii) Any proceeding where the Union itself would be prohibited from defraying the costs of such legal services by the provisions of the Labor-Management Reporting and Disclosure Act of 1959, and any proceeding arising under the National Labor Relations Act, as amended, or under the Labor Management Relations Act, as amended;

(iv) Fines and penalties, whether civil or criminal;

(v) Any judgment for civil damages;

(vi) Any action pending on or before April 1, 1985;

(vii) Legal services which are not personal legal services within the meaning of Section 120 of the Internal Revenue Code of 1986, as amended, prior to its expiration on June 30, 1992;

(viii) Any proceeding involving another eligible Participant as an adverse party, unless the Participants are separately represented;

(ix) Nonlegal costs attendant to the purchase or sale of real estate;

(x) Matters involving election laws, or warrant to any civil office;
(xi) Workers’ Compensation or Unemployment Compensation matters involving the Company;

(xii) Any bankruptcy proceeding that would result in discharge of a debt owed to the Company, the Union, or any benefit plan or trust established or maintained by the Company;

(xiii) Any dispute involving the Plan; and

(xiv) Proceedings against any benefit plan or arising out of any benefit plan established or maintained by the Company, including proceedings against any trust or insurance carrier through which such benefits are provided to the Company, its employees or retirees.

E. Coordination of Benefits
The Plan shall not be liable to provide Benefits in any matter to the extent that the Participant has a right to substantially identical benefits under the terms of an insurance contract, or any other legally enforceable arrangement. Where multiple coverage results under this Plan by reason of the relation of two (or more) Participants, the Plan shall only be liable for one set of Benefits. If any insurance contract or any other legally enforceable arrangement exists, the services under this Plan shall be secondary to such other coverage.

F. Nonalienation of Benefits
Assignment, pledge or encumbrance, of any kind, of Benefits under this Plan shall not be permitted or recognized under any circumstances; nor shall Benefits be subject to attachment or other legal process for debts of Participants. Upon notice of any such assignment or attachment of any kind, the Benefit shall automatically terminate and thereafter may be applied by the Committee, in its discretion, for the benefit of the Participant.

G. No Vested Rights
This Plan creates no vested rights of any kind. No Participant, nor any person claiming through him/her, shall have any right, title or interest in or to the Fund, other property of the Plan, or part thereof.
Section 6. Financing

A. Fund

The Fund shall be held by a corporate trustee(s) or Bank(s), under a Funding Instrument(s). The Company shall select the Funding Agency(s), and there shall be an appropriate Funding Instrument. The Fund will consist of the monies transferred to it from the Company. The Funding Agency shall retain all assets of the Fund, including investment income, if any, for the exclusive benefit of Participants, and it shall be used to pay Benefits for Participants or to pay administrative expenses of the Plan. The assets of the Fund, including investment income, shall never revert to or inure to the benefit of either the Company, the Union, or any named Fiduciary.

B. Contributions

The Company will make available, for funding the Plan, the balance of the Fund and the accrual balance on the Company’s books at the end of the 2007 Ford-UAW Collective Bargaining Agreement term. In addition, should the Fund balance and the accrual balance increase to three and one half (3.5) million dollars according to the Company accrual and expenditure records, the Company also will make available an amount equal to 10¢ for each hour worked after the date of such increase to three and one half (3.5) million dollars, through December 31, 2013 as specified in Section 7(E). However, should the Fund balance and the accrual balance decline to two (2.0) million dollars according to the Company accrual and expenditure records, the 10¢ accrual rate will increase to 19.5¢ until the Fund balance and the accrual balance reach three and one half (3.5) million dollars, at which time the accrual rate will revert to 10¢. Effective January 1, 2012, should the Fund balance and the accrual balance decline to two (2.0) million dollars according to the Company accrual and expenditure records, and the number of hours worked in a week is less than one and two tenths (1.2) million hours, the accrual rate will increase to 21.0¢ until the Fund balance and accrual balance are greater than two (2.0) million dollars or the actual hours worked are greater than one and two tenths (1.2) million hours, at which time the fluctuating accrual method of 19.5¢ and 10¢ described above would apply. The Fund balance and accrual balance will be capped at three and one half (3.5) million dollars. This
fluctuating accrual method will continue through December 31, 2013 after which the accrual balance will decline without further increase until the earlier of (i) the date on which the accrual balance declines to zero (0) dollars, or (ii) the date on which all Benefits under the Plan have been provided and all Plan liabilities extinguished, including any unforeseen closure costs. The Company, to the extent of its obligations hereunder, will transfer monies to the Fund on a weekly basis in an amount sufficient to handle the administration of the Plan. Should the Committee judge the assets of the Fund inadequate, the Company and the Union will meet expeditiously to resolve this issue.

Section 7. Merger, Amendment or Termination of Plan

A. The Company and Union, by mutual agreement, may modify, amend, or terminate the Plan, in whole or in part.

B. Residual Amounts on Termination

In the event of total termination of the Plan, any residual assets in the Fund shall be applied by the Committee for the purpose of providing to Employees any benefits described in Section 501(c)(9), 501(c)(17), and/or 501(c)(20) of the Internal Revenue Code, or any successor provisions then in effect. In no event shall the assets of the Fund revert to or inure to the benefit of the Company, the Union, or the Named Fiduciary.

C. No Additional Liability

Upon termination of the Plan, the Benefits payable shall be only such as can be provided by the assets of the Fund when distributed pursuant to this Section.

D. IRS Qualification

The Plan’s Funding Instrument(s) shall be, and remain, exempt under Internal Revenue Code Section 501(a) as an organization or trust described in Internal Revenue Code Sections 501(c)(9) and/or 501(c)(20). The Company and Union shall make any amendments which are required by the Internal Revenue Service to keep the Plan so qualified.
E. **Termination of Plan**
   This Plan shall be terminated for all current and future Eligible Persons, as defined in Section 4 of the Legal Services Plan Agreement, on December 31, 2013. Any application for covered benefits under the Plan received by 11:59 p.m. on December 31, 2013, shall be processed by the Plan. Pending legal matters, including applications received by 11:59 p.m. on December 31, 2013, shall be processed to the conclusion of the matter. The Plan shall have no liability for representation of Participants upon the conclusion of the aforementioned matters.
IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the date first above written.

FORD MOTOR COMPANY

William C. Ford, Jr. 
Mark R. Fields 
Joe Hinrichs 
John J. Fleming 
William P. Dirksen 
Bruce Hettle 
Stacey Allerton 
Bernie Swartout 
Jack L. Halverson 
Alan Evans 
Frederiek Toney 
Anthony Hoskins 
Alex Maciag 
Helmut E. Nittmann 
David Cook

Jim Larese 
James E. Brown 
Steve Guilfoyle 
Tyffani Morgan-Smith 
Mark Jones 
Julie Lavender 
Stephen M. Kulp 
Terri Faison 
John Wright 
Don Gelasas 
Cameron Ruesch 
Christine Baker

International Union 
Dennis Williams 
Jimmy Settles 
Greg Drudi 
Chuck Browning 
Darryl Nolen 
Bob Tiseo 
Don Godfrey 
Garry Bernath

National Ford Council 
Bernie Ricke, Subcouncil #1 
Scott Eskridge, Subcouncil #2 
Anthony Richard, Subcouncil #1 
Tim Rowe, Subcouncil #2 
Fred Weemis, Subcouncil #2 
Jeff Wright, Subcouncil #2 
Greg Tyler, Subcouncil #3 
Mike Beydoun, Subcouncil #3 
T. J. Gomez, Subcouncil #4 
Mark Payne, Subcouncil #4 
Dave Mason, Subcouncil #5 
Jim Caygill, Subcouncil #5 
Romeo Torres, Subcouncil #7 
Anderson Robinson Jr., Recording Secretary
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<td>7</td>
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<td>Residual Amounts on Termination</td>
<td>7(B)</td>
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<td>No Additional Liability</td>
<td>7(C)</td>
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<td>IRS Qualification</td>
<td>7(D)</td>
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<tr>
<td>Termination of Plan</td>
<td>7(E)</td>
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Ford Motor Company and the UAW recognize their respective responsibilities under federal and state laws relating to fair employment practices. The Company and the Union recognize the moral principles involved in the area of civil rights and have reaffirmed in their Collective Bargaining Agreement their commitment not to discriminate because of race, religion, color, age, sex, sexual orientation, union activity, national origin, or against any employee with disabilities.

Greg Drudi     Roy Escandon     Angelique Peterson-Mayberry
Don Godfrey    Jeffrey Faber    Gregory Poet
Darryl Nolen   Brett Fox       Reggie Ransom
Bob Tiseo      Kenneth Gafa    Lorenzo Robinson
Phil Argento   Michael Gammella Michael Robison
Tracy Ausen    Raenell Glenn   Nick Rutovic
Carol Bagdady  R. Brian Goff   Angelo Sacino
Matthew Barnett Ruth Golden    Les Shaw
Monica Bass    Jane Granger    Michael Shoemaker
David Berry    Andre Green     Casandra Shortridge
Carlo Bishop   Joe Gucciardo   Larry Shrader
Shawn Campbell Dan Huddleston  Garry Sommerville
Jerry Carson   Michael Joseph  Jeffrey Terry
Alfonzo Cash   Thomas Kanitz   Kevin Tolbert
Tiffany Coger  Brandon Keatts  Vaughan Tolliver
Gerard Coiffard Michael Kerr    Tony Vultaggio
Sean Coughlin  Jerry Lawson    Deneen Whitaker
Chris Crump    John McCollum   Mike Whited
Ronda Danielson Lisa Mayberry  Mark Williams
Rocky Di Iacovo Armando Medel  Michael Woolman
Gregg Dunn     Robb Miller     Steve Zimmerla
Jodey Dunn     Walter Mills    Rudy Gomez
Bill Eaddy     Gloria Moya     Dan Taylor
Bill Ellis     Rick Pack